

Rehabilitation of Wilmington Harbor South Jetty

Wilmington Harbor Christina River, Delaware

Construction Solicitation and Specifications

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CAUTION TO BIDDERS

All information required by the terms of the Solicitation must be furnished. MISTAKES OR OMISSIONS CAN BE COSTLY. Important items for you to check are included in but not limited to those listed below. This checklist is furnished only to assist you in submitting a proper bid. Check as you read. 1 Are you registered in the Central Contractor Database? See DFARS Clause 252.204-7004 "REQUIRED CENTRAL CONTRACTOR REGISTRATION" in Section 00700 of this solicitation. [] Have you acknowledged all amendments? Have you submitted your bid on the latest amended bid schedule? [] Have you completed the "Representations and Certifications" (Section 00600) portion of the Solicitation? Is your Contractor Establishment Code listed on the Standard Form 1442? [] Is your bid properly signed by an officer of your company? [] If a bid guarantee is required, is it included with your bid {A late bid guarantee is treated the same as a late bid.) and is it in the proper amount? (Usually 20 percent of the total bid price, including any options or additives.) If your bid guarantee is in the form of a bid bond, is the bond properly signed by both the bidder and surety and are all required seals affixed? A bid guarantee is required when your bid exceeds \$100,000.00. [] Is the name in which you submitted the bid the same on your bid as on the bid bond? [] If required, have you entered a unit price for each bid item? {The solicitation will specifically state when this is necessary.} [] The Government may reject a bid as nonresponsive if it is materially and mathematically unbalanced as to price for any bid item or combination of items. A bid is unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated for other work. [] Are decimals in unit prices in the proper places? Are your figures legible? [] Are the extensions of your unit prices, and your total bid price correct? [] Are all erasures or corrections initialed by the person signing the bid? Have you restricted your bid by altering the provisions of the solicitation? [] If you are a large business and your bid is greater than \$1,000,000.00 have you included your Sub-Contracting Plan in your bid package? {NOTE: AN AWARD WILL NOT BE MADE WITHOUT AN APPROVED SUB-CONTRACTING PLAN. IN ORDER TO BE APPROVED YOUR PLAN MUST DESIGNATE 5% OF THE TOTAL SUB-CONTRACTING DOLLARS TO SMALL DISADVANTAGED BUSINESSES). [] Is the envelope containing your bid properly identified that it is a sealed bid and does it contain the correct solicitation number and bid opening time? [] Will your bid arrive on time? See paragraph entitled "Late Submissions, Modifications, and Withdrawals of Bids" in the Instructions to Bidders (Section 00100) of the solicitation.

July 02, 1998

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INVITATION NO. DACW61-00-B-0005

PHILADELPHIA DISTRICT CORPS OF ENGINEERS

INVITATION FOR BIDS FOR

REHABILITATION OF WILMINGTON HARBOR SOUTH JETTY

WILMINGTON HARBOR CHRISTINA RIVER, DELAWARE

- I. NOTE THE AFFIRMATIVE ACTION REQUIREMENT OF THE EQUAL OPPORTUNITY CLAUSE WHICH MAY APPLY TO THE CONTRACT RESULTING FROM THIS SOLICITATION.
- II. BIDDERS ARE REQUIRED TO COMPLETE THE REPRESENTATION AND CERTIFICATIONS PORTION OF SECTION 00600 OF THIS SOLICITATION AND SUBMIT THIS WITH THEIR BID.
- III. BIDDERS, OFFERORS AND APPLICANTS ARE CAUTIONED TO NOTE THE CERTIFICATION OF NONSEGREGATED FACILITIES" IN THE SOLICITATION. FAILURE OF A BIDDER OR OFFEROR TO AGREE TO THE CERTIFICATION WILL RENDER HIS BID OR OFFER NONRESPONSIVE TO THE TERMS OF SOLICITATIONS INVOLVING AWARDS OF CONTRACTS EXCEEDING \$10,000 WHICH ARE NOT EXEMPT FROM THE PROVISIONS OF THE EQUAL OPPORTUNITY CLAUSE. (1984 APR)
- IV. BIDDERS ARE INVITED TO VISIT THE WORK SITE ON 20 JUNE 2000. SEE SECTION 01010 "SUMMARY OF WORK" FOR ADDITIONAL INFORMATION.

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Invitation for Bids DACW61-00-B-0005

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Invitation for Bids DACW61-00-B-0005

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		Ī			·
SOLICITATION, OFFER,	1. SOLICITATION NO.			3. DATE ISSUED	PAGE OF PAGES
AND AWARD	DACW61-00-B-0005	SEALED BID (IFB)			
(Construction, Alteration, or Repair)		NEGOTIATED (RFP)		14 June 2000	
IMPORTANT - The "offer" section on the re	everse must be fully co	ompleted by offeror.			•
4. CONTRACT NO.	5. REQUISITION/PURCHAS W25PHS-9333-8153	E REQUEST NO.	6. PROJEC	CT NO.	
7. ISSUED BY CODE		8. ADDRESS OFFER TO			
USACE, Philadelphia (CENAP-CT-C) POC: David Dilks 100 Penn Square East Wanamaker Building Philadelphia, Pennsylvania 19107-3390		See Item 7			
9. FOR INFORMATION A. NAME David Dilks		B. TELEPHONE NO		rea code) (NO COLLECT CA 15-656-6894	LLS)
		TATION			
NOTE: In sealed bid solicitations "offer					
10. THE GOVERNMENT REQUIRES PERFORMANCE OF	THE WORK DESCRIBED IN TI	HESE DOCUMENTS (Title, i	identifying no.,	, date):	
Project Title: Rehabilitation of Wilmington	Harbor South Jetty, Wi	lmington, Delaware.			
Solicitation No.: DACW61-00-B-0005					
Issue Date: 14 June 2000 Bid Opening Date: 18 July 2000 at 2:00 PM	[
Site Visit: A site visit will be held on Tuesd conference room (2nd floor) of the Diamond blue, is located on the right after coming the project and site and any potential problems of Mr. Dwight Pakan at 215-656-6785. This is this solicitation.	d State Port Corporation rough the Port's main gincident to the prosecut information is also avail	a's Robert S. Senseny ate. The purpose of t ion of work. If additi able in FAR 52.236-2	Building. he meeting onal infor 7, Site vis	The Senseny Build g is to acquaint bidd mation is required, j sit (Construction) in	ing, which is ers with the olease contact Section 00100 o
11. The Contractor shall begin performance with	in10 calendar o	days and complete it wit	hin	450 calendar days	s after receiving
award, X notice to proceed. This pe	erformance period is	mandatory, ne	egotiable.	(See	
12A. THE CONTRACTOR MUST FURNISH ANY REQUIR (If "YES," indicate within how may calendar days after an	RED PERFORMANCE AND PAY ward in Item 12B.)	MENT BONDS?		12B. CALENDAR DAYS	
X YES NO				10	
13. ADDITIONAL SOLICITATION REQUIREMENTS:					
local time 18 July 2000 (date). If containing offers shall be marked to show the		ation, offers will be publi	cly opened	at that time. Sealed	envelopes
B. An offer guarantee X is, is not required.					
C. All offers are subject to the (1) work requirer reference.	ments, and (2) other prov	isions and clauses incorp	oorated in t	he solicitation in full te	xt or by
D. Offers providing less than60 cale	endar days for Governmen	t acceptance after the d	ate offers a	are due will not be con	sidered and

will be rejected.

	OFFER	(Must be full	y completed	by offeror)				
14. NAME AND ADDRESS OF OFFEROR (Include ZIP Code)				15. TELEPHONE NO. (Include area code)				
			16. REMITTANCE ADDRESS (Include only if different than Item 14)					
CODE FACILITY CODE								
17. The offeror agrees to perform the work required at by the Government in writing within ca ment stated in Item 13D. Failure to insert any number mea	lendar days	after the date	offers are due	. (Insert any nu				•
AMOUNTS								
18. The offeror agrees to furnish any require	ed perfor	mance and	payment bo	onds.				
1 (The offeror acknowledge	9. ACKN	NOWLEDGN of amendmen	MENT OF AN	MENDMENT	S e number and	d date of each)	
AMENDMENT NO.				8			<u>′</u>	
DATE								
	CN OFFED		20B. SIGNAT	LIDE			20C. OFFER	DATE
20A. NAME AND TITLE OF PERSON AUTHORIZED TO SI (Type or print)	GN OFFER		ZUB. SIGNAT	UKE			20C. OFFER	DATE
21. ITEMS ACCEPTED:	WARD	(To be com	pleted by C	Government	.)			
22. AMOUNT		23. ACCOU	NTING AND	APPROPRIAT	TION DATA			
24. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 Copies unless otherwise specified)				HAN FULL AN 5.C 2304(c) (ETITION PURSU		
26. ADMINISTERED BY CODE			27. PAYMENT WILL BE MADE BY					
CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE								
28. NEGOTIATED AGREEMENT Contractor is required to sign this document and return copies to issuing office.) Contractor agrees to furnish and deliver all items or perform all work requirements identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications incorporated by reference in or attached to this contract.			on your offer, and (b) this contract award. No further contractual document is necessary.			vard con- ntion and		
30A. NAME AND TITLE OF CONTRACTOR OR PERSON A TO SIGN (Type or print)	AUTHORIZE	D	31A. NAME (DF CONTRACT	ING OFFICER	(Type or print)		
30B. SIGNATURE	30C. I	DATE	31B. UNITED	STATES OF A	MERICA		31C.	AWARD DATE
			BY					

BIDDING SCHEDULE (To be attached to SF 1442)

Ite		Estimated	IIn i t	Unit	Estimated
No.	Description	Quantity	Unit	Price	Amount_
1.	Project Mobilization and Demobilization	1	Job	L.S.	\$
2.	Work Hours Lost Due to Hot Works Shut Down	300	Hours	\$	\$
3.	Work Hours Lost Due to Interruption of Scheduled Work Hours	240 1	Hours	\$	\$
4.	Removal and Disposal of Existing Concrete Cofferdam Caps	1	Job	L.S.	\$
5.	Removal and Disposal of Existing Bollards for Cel Nos. 1, 5, 6, 7 and 8	1	Job	L.S.	\$
6.	Removal and Disposal of Additional Bollards	6	Ea.	\$	\$
7.	Support and Protection of Items to Remain In Place	1	Job	L.S.	\$
8.	Excavation within Cells for Construction of Cofferdam Caps	1,150	C.Y.	\$	\$
9.	Excavation for Construction of Cofferdam Encasements	ion 1	Job	L.S.	\$
10.	Pre-construction Surveys for the Articulating Concrete Revetment	s 1	Job	L.S.	\$
11.	Excavation of Slope for the Articulating Concrete Revetment	5,500	C.Y.	\$	\$
12.	Removal of Pile Obstructions	20	Ea.	\$	\$
13.	Removal of Concrete and Rock Obstructions	110	C.Y.	\$	\$
14.	Installation of Articulating Concrete Revetment	6,230	S.Y.	\$	\$

(Continued)

Item No.		Estimated Quantity	Unit	Unit Price	Estimated Amount
	<u>-</u>				<u>_</u>
15.	Construction of the Articulating Concrete Revetment Anchor Trench	1,080	L.F.	\$	\$
16.	Construction of the Articulating Concrete Revetment Side Trenches	126	L.F.	\$	\$
17.	As-built Surveys for the Articulating Concrete Revetment	1	Job	L.S.	\$
18.	Concrete Formwork	1	Job	L.S.	\$
19.	Deformed Steel Bars for Concrete Reinforcement	154,000	Lbs.	\$	\$
20.	Concrete for Cofferdam Caps and Encasements	4,175	C.Y.	\$	\$
21.	Miscellaneous Metal Item	ıs 1	Job	L.S.	\$

TOTAL ESTIMATED AMOUNT \$_____

- 52.204-6 DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (JUN 99)
- (a) Contractor identification is essential for complying with statutory contract reporting requirements. Therefore, the offeror is requested to enter, in the block with its name and address on the Standard Form 33 or similar document, the annotation "DUNS" followed by the DUNS number which identifies the offeror's name and address exactly as stated in the offer.
- (b) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one. A DUNS number will be provided immediately by telephone at no charge to the offeror. For information on obtaining a DUNS number, the offeror, if located within the United States, should call Dun and Bradstreet at 1-800-333-0505. The offeror should be prepared to provide the following information:
- (1) Company name.
- (2) Company address.
- (3) Company telephone number.
- (4) Line of business.
- (5) Chief executive officer/key manager.
- (6) Date the company was started.
- (7) Number of people employed by the company.
- (8) Company affiliation.
- (c) Offerors located outside the United States may obtain the location and phone number of the local Dun and Bradstreet Information Services office from the Internet Home Page at http://www.customerservice@dnb.com/. If an offeror is unable to locate a local service center, it may send an e-mail to Dun and Bradstreet at globalinfo@dnb.com.

(End of provision)

52.211-2 AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND STANDARDS (DODISS) AND DESCRIPTIONS LISTED IN THE ACQUISITION MANAGEMENT SYSTEMS AND DATA REQUIREMENTS CONTROL LIST, DOD 5010.12-L (DEC 1999)

Copies of specifications, standards, and data item descriptions cited in this solicitation may be obtained--

- (a) From the ASSIST database via the Internet at http://assist.daps.mil; or
- (b) By submitting a request to the--Department of Defense Single Stock Point (DoDSSP), Building 4, Section D, 700 Robbins Avenue, Philadelphia, PA 19111-5094, Telephone (215) 697-2667/2179, Facsimile (215) 697-1462.

(End of provision)

52.214-1 SOLICITATION DEFINITIONS--SEALED BIDDING (JUL 1987)

"Government" means United States Government.

"Offer" means "bid" in sealed bidding.

"Solicitation" means an invitation for bids in sealed bidding.

(End of provision)

- 52.214-3 AMENDMENTS TO INVITATIONS FOR BIDS (DEC 1989)
- (a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.
- (b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date in the space provided

for this purpose on the form for submitting a bid, (3) by letter or telegram, or (4) by facsimile, if facsimile bids are authorized in the solicitation. The Government must receive the acknowledgment by the time and at the place specified for receipt of bids.

(End of provision)

52.214-4 FALSE STATEMENTS IN BIDS (APR 1984)

Bidders must provide full, accurate, and complete information as required by this solicitation and its attachments. The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001

(End of provision)

52.214-5 SUBMISSION OF BIDS (MAR 1997)

- (a) Bids and bid modifications shall be submitted in sealed envelopes or packages (unless submitted by electronic means) (1) addressed to the office specified in the solicitation, and (2) showing the time and date specified for receipt, the solicitation number, and the name and address of the bidder.
- (b) Bidders using commercial carrier services shall ensure that the bid is addressed and marked on the outermost envelope or wrapper as prescribed in subparagraphs (a)(1) and (2) of this provision when delivered to the office specified in the solicitation.
- (c) Telegraphic bids will not be considered unless authorized by the solicitation; however, bids may be modified or withdrawn by written or telegraphic notice.
- (d) Facsimile bids, modifications, or withdrawals, will not be considered unless authorized by the solicitation.
- (e) Bids submitted by electronic commerce shall be considered only if the electronic commerce method was specifically stipulated or permitted by the solicitation.

52.214-6 EXPLANATION TO PROSPECTIVE BIDDERS (APR 1984)

Any prospective bidder desiring an explanation or interpretation of the solicitation, drawings, specifications, etc., must request it in writing soon enough to allow a reply to reach all prospective bidders before the submission of their bids. Oral explanations or instructions given before the award of a contract will not be binding. Any information given a prospective bidder concerning a solicitation will be furnished promptly to all other prospective bidders as an amendment to the solicitation, if that information is necessary in submitting bids or if the lack of it would be prejudicial to other prospective bidders.

(End of provision)

- 52.214-7 LATE SUBMISSIONS, MODIFICATIONS, AND WITHDRAWALS OF BIDS (NOV 1999)
- (a) Bidders are responsible for submitting bids, and any modifications or withdrawals, so as to reach the Government office designated in the invitation for bids (IFB) by the time specified in the IFB. If no time is specified in the IFB, the time for receipt is 4:30 p.m., local time, for the designated Government office on the date that bids are due.
- (b)(1) Any bid, modification, or withdrawal received at the Government office designated in the IFB after the exact time specified for receipt of bids is ``late'' and will not be considered unless it is received before award is made, the Contracting Officer determines that accepting the late bid would not unduly delay the acquisition; and--
- (i) If it was transmitted through an electronic commerce method authorized by the IFB, it was received at the initial point of entry to the Government infrastructure not later than 5:00~p.m. one working day prior to the date specified for receipt of bids; or
- (ii) There is acceptable evidence to establish that it was received at the Government installation designated for receipt of bids and was under the Government's control prior to the time set for receipt of bids.
- (2) However, a late modification of an otherwise successful bid that makes its terms more favorable to the Government, will be considered at any time it is received and may be accepted.

- (c) Acceptable evidence to establish the time of receipt at the Government installation includes the time/date stamp of that installation on the bid wrapper, other documentary evidence of receipt maintained by the installation, or oral testimony or statements of Government personnel.
- (d) If an emergency or unanticipated event interrupts normal Government processes so that bids cannot be received at the Government office designated for receipt of bids by the exact time specified in the IFB and urgent Government requirements preclude amendment of the IFB, the time specified for receipt of bids will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal Government processes resume.
- (e) Bids may be withdrawn by written notice received at any time before the exact time set for receipt of bids. If the IFB authorizes facsimile bids, bids may be withdrawn via facsimile received at any time before the exact time set for receipt of bids, subject to the conditions specified in the provision at 52.214-31, Facsimile Bids. A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for receipt of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.

(End of provision)

- 52.214-18 PREPARATION OF BIDS--CONSTRUCTION (APR 1984)
- (a) Bids must be (1) submitted on the forms furnished by the Government or on copies of those forms, and (2) manually signed. The person signing a bid must initial each erasure or change appearing on any bid form.
- (b) The bid form may require bidders to submit bid prices for one or more items on various bases, including--
- (1) Lump sum bidding;
- (2) Alternate prices;
- (3) Units of construction; or
- (4) Any combination of subparagraphs (1) through (3) above.
- (c) If the solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "no bid" in the space provided for any item on which no price is submitted.
- (d) Alternate bids will not be considered unless this solicitation authorizes their submission.
- 52.214-19 CONTRACT AWARD--SEALED BIDDING--CONSTRUCTION (AUG 1996)
- (a) The Government will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the Government, considering only price and the price-related factors specified elsewhere in the solicitation.
- (b) The Government may reject any or all bids, and waive informalities or minor irregularities in bids received.
- (c) The Government may accept any item or combination of items, unless doing so is precluded by a restrictive limitation in the solicitation or the bid.
- (d) The Government may reject a bid as nonresponsive if the prices bid are materially unbalanced between line items or subline items. A bid is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated in relation to cost for other work, and if there is a reasonable doubt that the bid will result in the lowest overall cost to the Government even though it may be the low evaluated bid, or if it is so unbalanced as to be tantamount to allowing an advance payment.
- 52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a firm fixed price construction contract resulting from this solicitation.

(End of clause)

- 52.225-10 NOTICE OF BUY AMERICAN ACT/BALANCE OF PAYMENTS PROGRAM REQUIREMENT--CONSTRUCTION MATERIALS (FEB 2000)
- (a) Definitions. Construction material, domestic construction material, and foreign construction material, as used in this provision, are defined in the clause of this solicitation entitled ``Buy American Act--Balance of Payments Program--Construction Materials'' (Federal Acquisition Regulation (FAR) clause 52.225-9).
- (b) Requests for determinations of inapplicability. An offeror requesting a determination regarding the inapplicability of the Buy American Act or Balance of Payments Program should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of the clause at FAR 52.225-9 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act or Balance of Payments Program before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.
- (c) Evaluation of offers. (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act or Balance of Payments Program, based on claimed unreasonable cost of domestic construction material, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(3)(i) of the clause at FAR 52.225-9.
- (2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.
- (d) Alternate offers.
- (1) When an offer includes foreign construction material not listed by the Government in this solicitation in paragraph (b)(2) of the clause at FAR 52.225-9, the offeror also may submit an alternate offer based on use of equivalent domestic construction material.
- (2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of the clause at FAR 52.225-9 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.
- (3) If the Government determines that a particular exception requested in accordance with paragraph (c) of the clause at FAR 52.225-9 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic construction material, and the offeror shall be required to furnish such domestic construction material. An offer based on use of the foreign construction material for which an exception was requested--
- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

(End of provision)

- 52.233-2 SERVICE OF PROTEST (AUG 1996)
- (a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from Mr. Robert Sharamatew, Chief, Contracting Division, U.S. Army Corps of Engineers, Philadelphia District, Rm 643, Wanamaker Building, 100 Penn Square East, Philadelphia, PA 19107-3390.
- (b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

(End of provision)

52.236-27 SITE VISIT (CONSTRUCTION) (FEB 1995) - ALTERNATE I (FEB 1995)

- (a) The clauses at 52.236-2, Differing Site Conditions, and 52.236-3, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded as a result of this solicitation. Accordingly, offerors or quoters are urged and expected to inspect the site where the work will be performed.
- (b) An organized site visit has been scheduled for-9:00 a.m. on Tuesday, 20 June 2000
 - (c) Participants will meet at--

The main conference room (2nd floor) of the Diamond State Port Corporation's Robert S. Senseny Building. The Senseny Building, which is blue, is located on the right after coming through the Port's main gate. The purpose of the meeting is to acquaint bidders with the project and site, and any potential problems incident to the prosecution of work. If additional information is required, please contact Mr. Dwight Pakan at 215-656-6785

52.214-5000 APPARENT CLERICAL MISTAKES (MAR 1995)--EFARS

- (a) For the purpose of initial evaluations of bids, the following will be utilized in the resolving arithmetic discrepancies found on the face of bidding schedule as submitted by the bidder:
 - (1) Obviously misplaced decimal points will be corrected;
 - (2) Discrepancy between unit price and extended price, the unit price will govern;
 - (3) Apparent errors in extension of unit prices will be corrected;
 - (4) Apparent errors in addition of lump-sum and extended prices will be corrected.
- (b) For the purpose of bid evaluation, the government will proceed on the assumption that the bidder intends his bid to be evaluated on basis of the unit prices, the totals arrived at by resolution of arithmetic discrepancies as provided above and the bid will be so reflected on the abstract of bids.
- (c) These correction procedures shall not be used to resolve any ambiguity concerning which bid is low.

(End of statement)

252.236-7008 CONTRACT PRICES - BIDDING SCHEDULES. (DEC 1991)

- (a) The Government's payment for the items listed in the Bidding Schedule shall constitute full compensation to the Contractor for --
- (1) Furnishing all plant, labor, equipment, appliances, and materials; and
- (2) Performing all operations required to complete the work in conformity with the drawings and specifications.
- (b) The Contractor shall include in the prices for the items listed in the Bidding Schedule all costs for work in the specifications, whether or not specifically listed in the Bidding Schedule.

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CLAUSES INCORPORATED BY FULL TEXT

- 52.203-2 CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985)
- (a) The offeror certifies that --
- (1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods of factors used to calculate the prices offered:
- (2) The prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
- (3) No attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
- (b) Each signature on the offer is considered to be a certification by the signatory that the signatory -
- (1) Is the person in the offeror's organization responsible for determining the prices offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contradictory to subparagraphs (a)(1) through (a)(3) above; or
- (2) (i) Has been authorized, in writing, to act as an agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above
- _____ (insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization);
- (ii) As an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and
- (iii) As an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.
- (c) If the offeror deletes or modifies subparagraph (a)(2) above, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

(End of clause)

- 52.203-11 CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991)
- (a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this Certification.
- (b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989,--
- (1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;
- (2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form

LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and

- (3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.
- (c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

(End of provision)

52.204-3 TAXPAYER IDENTIFICATION (OCT 1998)

(a) Definitions.

Common parent, as used in this provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

Taxpayer Identification Number (TIN), as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

- (b) All offerors must submit the information required in paragraphs (d) through (f) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the IRS. If the resulting contract is subject to the payment reporting requirements described in Federal Acquisition Regulation (FAR) 4.904, the failure or refusal by the offeror to furnish the information may result in a 31 percent reduction of payments otherwise due under the contract.
- (c) The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror's relationship with the Government (31 U.S.C. 7701(c)(3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.

(d)	Taxpayer Identification Number (TIN).
	TIN:
	TIN has been applied for.
	TIN is not required because:
	Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not income effectively connected with the conduct of a trade or business in the United States does not have an office or place of business or a fiscal paying agent in the United States;
	Offeror is an agency or instrumentality of a foreign government;
	Offeror is an agency or instrumentality of the Federal Government.
(e)	Type of organization.
	Sole proprietorship;
	Partnership;
	<pre>Corporate entity (not tax-exempt);</pre>
	Corporate entity (tax-exempt);
	Government entity (Federal, State, or local);
	Foreign government;
	International organization per 26 CFR 1.6049-4;

Other-----

- (f) Common parent.

 ___ Offeror is not owned or controlled by a common parent as defined in paragraph (a) of this provision.

 ___ Name and TIN of common parent:

 Name-----
 TIN-------
- 52.204-5 WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS) (MAY 1999)
- (a) Definition. Women-owned business concern, as used in this provision, means a concern that is at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.
- (b) Representation. [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, Small Business Program Representations, of this solicitation.] The offeror represents that it () is, () is not a women-owned business concern.

(End of provision)

(End of provision)

- 52.209-5 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (MAR 1996)
- (a)(1) The Offeror certifies, to the best of its knowledge and belief, that-
- (i) The Offeror and/or any of its Principals--
- (A) Are [] are not [] presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
- (B) Have [] have not [], within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and
- (C) Are $[\]$ are not $[\]$ presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision (a)(1)(i)(B) of this provision.
- (ii) The Offeror has [] has not [], within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
- (2) "Principals," for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE, FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE.

- (b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.
- (d) Nothing contained in the foregoing shall be construed to require establishment of a system of

records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default.

(End of provision)

- 52.219-1 SMALL BUSINESS PROGRAM REPRESENTATIONS (MAY 1999) ALTERNATE I (OCT 1998) & ALTERNATE II (NOV 1999)
- (a)(1) The standard industrial classification (SIC) code for this acquisition is 1629.
- (2) The small business size standard is \$17.0 million.
- (3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.
- (b) Representations. (1) The offeror represents as part of its offer that it ($\,$) is, ($\,$) is not a small business concern.
- (2) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, for general statistical purposes, that it () is, () is not a small disadvantaged business concern as defined in 13 CFR 124-1002.
- (3) (Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents as part of its offer that it () is, () is not a women-owned small business concern.
- (4) ([Complete only if offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, as part of its offer, that--
- (i) It ____is, ___is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR Part 126; and
- (ii) It ____ is, ____ is not a joint venture that complies with the requirements of 13 CFR Part 126, and the representation in paragraph (b)(4)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture.([The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture: ______.) Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.
- (5) (Complete only if offeror represented itself as a small business concern in paragraph (b)(1) of this provision.) The offeror represents, as part of its offer, that--
- (i) It () is, () is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal place of ownership, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and
- (ii) It () is, () is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(5)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. [The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture: _______.] Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.
- (c) Definitions. "Joint venture," for purposes of a small disadvantaged business (SDB) set-aside or price evaluation preference (as prescribed at 13 CFR 124.321), is a concern that is owned and controlled by one or more socially and economically disadvantaged individuals entering into a

joint venture agreement with one or more business concerns and is considered to be affiliated for size purposes with such other concern(s). The combined annual receipts or employees of the concerns entering into the joint venture must meet the applicable size standard corresponding to the SIC code designated for the contract. The majority of the venture's earnings must accrue directly to the socially and economically disadvantaged individuals in the SDB concern(s) in the joint venture. The percentage of the ownership involvement in a joint venture by disadvantaged individuals must be at least 51 percent.

"Small business concern," as used in this provision, means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and the size standard in paragraph (a) of this provision.

"Small disadvantaged business concern," as used in this provision, means a small business concern that (1) is at least 51 percent unconditionally owned by one or more individuals who are both socially and economically disadvantaged, or a publicly owned business having at least 51 percent of its stock unconditionally owned by one or more socially and economically disadvantaged individuals, and (2) has its management and daily business controlled by one or more such individuals. This term also means a small business concern that is at least 51 percent unconditionally owned by an economically disadvantaged Indian tribe or Native Hawaiian Organization, or a publicly owned business having at least 51 percent of its stock unconditionally owned by one or more of these entities, which has its management and daily business controlled by members of an economically disadvantaged Indian tribe or Native Hawaiian Organization, and which meets the requirements of 13 CFR Part 124.

"Women-owned small business concern," as used in this provision, means a small business concern--

- (1) Which is at least 51 percent owned by one or more women or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
- (2) Whose management and daily business operations are controlled by one or more women.
- (d) Notice. (1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the setaside contains restrictions on the source of the end items to be furnished.
- (2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall--
- (i) Be punished by imposition of fine, imprisonment, or both;
- (ii) Be subject to administrative remedies, including suspension and debarment; and
- (iii) Be ineligible for participation in programs conducted under the authority of the Act.

(End of provision)

- 52 219-2 EQUAL LOW BIDS. (OCT 1995)
- (a) This provision applies to small business concerns only.
- (b) The bidder's status as a labor surplus area (LSA) concern may affect entitlement to award in case of tie bids. If the bidder wishes to be considered for this priority, the bidder must identify, in the following space, the LSA in which the costs to be incurred on account of manufacturing or production (by the bidder or the first-tier subcontractors) amount to more than 50 percent of the contract price.

(c) Failure to identify the labor surplus area as specified in paragraph (b) of this provision

will preclude the bidder from receiving priority consideration. If the bidder is awarded a contract as a result of receiving priority consideration under this provision and would not have otherwise received award, the bidder shall perform the contract or cause the contract to be performed in accordance with the obligations of an LSA concern.

52.222-22 PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999)

The offeror represents that --

- (a) $[\]$ It has, $[\]$ has not participated in a previous contract or subcontract subject to the Equal Opportunity clause of this solicitation;
- (b) [] It has, [] has not, filed all required compliance reports; and
- (c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.

(End of provision)

- 52.223-13 CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING (OCT 1996)
- (a) Submission of this certification is a prerequisite for making or entering into this contract imposed by Executive Order 12969, August 8, 1995.
- (b) By signing this offer, the offeror certifies that--
- (1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of EPCRA and section 6607 of PPA; or
- (2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: (Check each block that is applicable.)
- [] (i) The facility does not manufacture, process or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);
- [] (ii) The facility does not have 10 or more full-time employees as specified in section 313.(b)(1)(A) of EPCRA 42 U.S.C. 11023(b)(1)(A);
- [] (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- [] (iv) The facility does not fall within Standard Industrial Classification Code (SIC) designations 20 through 39 as set forth in FAR section 19.102 of the Federal Acquisition Regulation; or
- [] (v) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.
- 52.222-23 NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY FOR CONSTRUCTION (FEB 1999)
- (a) The offeror's attention is called to the Equal Opportunity clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.
- (b) The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for minority	Goals for female
participation for each	participation for each
trade	trade
12.3%	6.9%

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the

covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice form, and these notices may be obtained from any Office of Federal Contract Compliance Programs office.

- (c) The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction,'' and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.
- (d) The Contractor shall provide written notification to the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the --
- (1) Name, address, and telephone number of the subcontractor;
- (2) Employer's identification number of the subcontractor;
- (3) Estimated dollar amount of the subcontract;
- (4) Estimated starting and completion dates of the subcontract; and
- (5) Geographical area in which the subcontract is to be performed.
- (e) As used in this Notice, and in any contract resulting from this solicitation, the "covered area" is Wilmington Harbor, Christina River, New Castle County, Delaware (SMSA 9160, Wilmington, DE-NJ-MD).
- 252.247-7022 REPRESENTATION OF EXTENT OF TRANSPORTATION BY SEA (AUG 1992)
- (a) The Offeror shall indicate by checking the appropriate blank in paragraph (b) of this provision whether transportation of supplies by sea is anticipated under the resultant contract. The term supplies is defined in the Transportation of Supplies by Sea clause of this solicitation.
- (b) Representation. The Offeror represents that it:
- (1) Does anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.
- (2) Does not anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.
- (c) Any contract resulting from this solicitation will include the Transportation of Supplies by Sea clause. If the Offeror represents that it will not use ocean transportation, the resulting contract will also include the Defense FAR Supplement clause at 252.247-7024, Notification of Transportation of Supplies by Sea. (End of provision)

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SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN

DATE:
CONTRACTOR:
ADDRESS:
SOLICITATION OR CONTRACT NUMBER:
ITEM/SERVICE:
The following, together with any attachments, is hereby submitted as a Subcontracting Plan to satisfy the applicable requirements of Public Law $95-507$ as implemented by OFPP Policy Letter $80-2$.
1. (a) The following percentage goals (expressed in terms of a percentage of total planned subcontracting dollars) are applicable to the contract cited above or to the contract awarded under the solicitation cited.
(i) Small Business Concerns:% of total planned subcontracting dollars under this contract will go to subcontractors who are small business concerns.
(ii) Small Disadvantaged Business Concerns:% of total planned subcontracting dollars under this contract will go to subcontractors who are small concerns owned and controlled by socially and economically disadvantaged individuals. This percentage is included in the percentage shown under 1.(a)(i) above, as a subset.
(iii) Women-Owned Small Business Concerns: % of total planned subcontracting dollars under this contract will go to subcontractors who are women-owned small business concerns. This percentage is included in the percentage shown under 1.(a)(i) above, as a subset.
(b) The following dollar values correspond to the percentage goals shown in (a) above.
(i) Total dollars planned to be subcontracted to small business concerns:
(ii) Total dollars planned to be subcontracted to small disadvantaged business concerns: \$ This dollar amount is included in the amount shown under 1.(b)(i) above, as a subset.
(iii) Total dollars planned to be subcontracted to women-owned small business concerns:
\$ This dollar amount is included in the amount
shown under 1.(b)(i) above, as a subset.
(c) The total estimated dollar value of all planned subcontracting (to all types of business concerns) under this contract is \$
(d) The following principal products and/or services will be subcontracted under this contract, and the distribution among small, small disadvantaged, and women-owned small business concerns is as follows:
(Products/services planned to be subcontracted to small business concerns are identified by *, to small disadvantaged business concerns by ** and women-owned small business concerns by ***)

(Attachment may be used if additional space is required)

(e) The following method was used in developing subcontract goals (i.e., statement explaining how the product and service areas to be subcontracted were established, how the areas to be subcontracted to small, small disadvantaged, and women-owned small business concerns were determined, and how small, small disadvantaged, and women-owned small business concerns capabilities were determined, to include identification of source lists utilized in making those determination).

(f) Indirect and over head costs [] have [] have not been included in the goals specified in 1(a) and 1(b).
(g) If "have" is checked, explain the method used in determining the proportionate share of indirect and overhead cost to be allocated as subcontracts to small business concerns, small disadvantaged business concerns, and women-owned small business concerns.
2. The following individual will administer the subcontracting program:
Name:
Address & Telephone:
Telephone:
This individual's specific duties, as they relate to the firm's subcontracting program are as follows:
General overall responsibility for this company's Small Business Program, the development, preparation and execution of individual subcontracting plans and for monitoring performance relative to contractual subcontracting requirements contained in this plan, including but not limited to:
(a) Developing and maintaining bidders lists of small, small disadvantaged, and women-owned small business concerns from all possible sources.
(b) Ensuring that procurement packages are structured to permit small, small disadvantaged, and women-owned business concerns to participate to the maximum extent possible.
(c) Assuring inclusion of small, small disadvantaged, and women-owned business concerns in all solicitations for products or services which they are capable of providing.
(d) Reviewing solicitations to remove statements, clauses, etc., which may tend to restrict or prohibit small, small disadvantaged, and women-owned business participation.
(e) Ensuring periodic rotation of potential subcontractors on bidders lists.
(f) Ensuring that the bid proposal review board documents its reasons for rejecting low bids submitted by small, small disadvantaged, and women-owned business concerns.
(g) Ensuring the establishment and maintenance of records of solicitations and subcontract award activity.
(h) Attending or arranging for attendance of company counselors at Business Opportunity Workshops, Minority Business Enterprise Seminars, Trade Fairs, etc.
(i) Conducting or arranging for conduct of motivational training for purchasing personnel pursuant to the intent of P.O. 95-507.
(j) Monitoring attainment of proposed goals.
(k) Preparing and submitting periodic subcontracting reports required.
(1) Coordinating contractor's activities during the conduct of compliance reviews by Federal Agencies.
(m) Coordinating the conduct of contractor's activities involving its small, small disadvantaged, and women-owned business subcontracting program.
(n) Additions to (or deletions from) the duties specified above are as follows:

- 3. The following efforts will be taken to assure that small, small disadvantaged, and womenowned business concerns will have an equitable opportunity to compete for subcontracts:
 - (a) Outreach efforts will be made as follows:
 - (i) Contacts with minority and small business trade associations
 - (ii) Contacts with business development organizations
 - (iii) Attendance at small and minority business procurement conferences
 - (iv) Sources will be requested from SBA's PASS system.
 - (b) The following internal efforts will be made to guide and encourage buyers:
 - (i) Workshops, seminars and training programs will be conducted
 - (ii) Activities will be monitored to evaluate compliance with this subcontracting plan.
- (c) Small, small disadvantaged, and women-owned business concern source lists, guides and other data identifying small, small disadvantaged, and women-owned business concerns will be maintained and utilized by buyers in soliciting subcontracts.
 - (d) Additions to (or deletions from) the above listed efforts are as follows:
- 4. The bidder (contractor) agrees that the clause entitled Utilization of Small, Small Disadvantaged, and Women-Owned Small Business Concerns will be included in all subcontracts which offer further subcontracting opportunities, and all subcontractors except small business concerns who receive subcontracts in excess of \$500,000 will be required to adopt and comply with a subcontracting plan similar to this one. Such plans will be reviewed by comparing them with the provisions of Public Law 95-507, and assuring that all minimum requirements of an acceptable subcontracting plan have been satisfied. The acceptability of percentage goals shall be determined on a case-by-case basis depending on the supplies/services involved, the availability of potential small, small disadvantaged, and women-owned subcontractors, and prior experience. Once approved and implemented, plans will be monitored through the submission of periodic reports, and/or, as time and availability of funds permit, periodic visits to subcontractors facilities to review applicable records and subcontracting program progress.
- 5. The bidder (contractor) agrees to submit such periodic reports and cooperate in any studies or surveys as may be required by the contracting agency or the Small business Administration in order to determine the extent of compliance by the bidder with the subcontracting plan and with the clause entitled Utilization of Small, Small Disadvantaged, and Women-Owned Small Business Concerns, contained in the contract.
- 6. The bidder (contractor) agrees that he will maintain at least the following types of records to document compliance with this subcontracting plan:
- (a) Small, Small Disadvantaged, and Women-Owned Business concern source lists, guides and other data identifying SB/SDB/WO vendors.
- (b) Organizations contacted for small, small disadvantaged, and women-owned business sources.
- (c) On a contract-by-contract basis, records on all subcontract solicitations over \$100,000, indicating on each solicitation (1) whether small business concerns were solicited, and if not, who not; (2) whether small disadvantaged business concerns were solicited, and if not, why not; (3) whether women-owned business concerns were solicited and if not, why not; and (4) reasons for the failure of solicited small, small disadvantaged, or women-owned business concerns to receive the subcontract award.
- (d) Records to support other outreach efforts: Contacts with Minority and Small Business Trade Associations, etc. Attendance at small and minority business procurement conferences and trade fairs.

- (e) Records to support internal activities to guide and encourage buyers: Workshops, seminars, training programs, etc. Monitoring activities to evaluate compliance.
- (f) On a contract-by-contract basis, records to support subcontract award data to include name and address or subcontractor .
- Signed:

 Typed Name:

 Title:

 Date:

 Contracting Officer

 Date:

 NOTE TO CONTRACTING OFFICER: Upon incorporation of a plan into the contract, indicate herein the estimated dollar value of the contract:

SECTION 00700 Contract Clauses

CLAUSES INCORPORATED BY FULL TEXT

52.202-1 DEFINITIONS (OCT 1995) --ALTERNATE I (APR 1984)

- (a) "Head of the agency" (also called "agency head") or "Secretary" means the Secretary (or Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, including any deputy or assistant chief official of the agency; and the term "authorized representative" means any person, persons, or board (other than the Contracting Officer) authorized to act for the head of the agency or Secretary.
- (b) "Commercial component" means any component that is a commercial item.
- (c) "Component" means any item supplied to the Federal Government as part of an end item or of another component.
- (d) "Nondevelopmental item" means--
- (1) Any previously developed item of supply used exclusively for governmental purposes by a Federal agency, a State or local government, or a foreign government with which the United States has a mutual defense cooperation agreement;
- (2) Any item described in paragraph (e)(1) of this definition that requires only minor modification or modifications of a type customarily available in the commercial marketplace in order to meet the requirements of the procuring department or agency; or
- (3) Any item of supply being produced that does not meet the requirements of paragraph (e)(1) or (e)(2) solely because the item is not yet in use.
- (e) "Contracting Officer" means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.
- (f) Except as otherwise provided in this contract, the term "subcontracts" includes, but is not limited to, purchase orders and changes and modifications to purchase orders under this contract.

52.203-3 GRATUITIES (APR 1984)

- (a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--
- (1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and
- (2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.
- (b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.
- (c) If this contract is terminated under paragraph (a) of this clause, the Government is entitled--
- (1) To pursue the same remedies as in a breach of the contract; and
- (2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)
- (d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

52.203-5 COVENANT AGAINST CONTINGENT FEES (APR 1984)

- (a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.
- (b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.
- "Bona fide employee," as used in this clause, means a person, employed by a contractor and subject to the contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.
- "Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.
- "Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

(End of clause)

- 52.203-6 RESTRICTIONS ON SUBCONTRACTOR SALES TO THE GOVERNMENT (JUL 1995)
- (a) Except as provided in (b) of this clause, the Contractor shall not enter into any agreement with an actual or prospective subcontractor, nor otherwise act in any manner, which has or may have the effect of restricting sales by such subcontractors directly to the Government of any item or process (including computer software) made or furnished by the subcontractor under this contract or under any follow-on production contract.
- (b) The prohibition in (a) of this clause does not preclude the Contractor from asserting rights that are otherwise authorized by law or regulation.
- (c) The Contractor agrees to incorporate the substance of this clause, including this paragraph (c), in all subcontracts under this contract which exceed \$100,000.
- 52.203-7 ANTI-KICKBACK PROCEDURES. (JUL 1995)
- (a) Definitions.
- "Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract.
- "Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.
- "Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.
- "Prime Contractor," as used in this clause, means a person who has entered into a prime contract with the United States.
- "Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.
- "Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

- "Subcontractor," as used in this clause, (1) means any person, other than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime contract or a subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.
- "Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.
- (b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from -
- (1) Providing or attempting to provide or offering to provide any kickback;
- (2) Soliciting, accepting, or attempting to accept any kickback; or
- (3) Including, directly or indirectly, the amount of any kickback in the contract price charged by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.
- (c)(1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.
- (2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.
- (3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.
- (4) The Contracting Officer may (i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or (ii) direct that the Prime Contractor withhold, from sums owed a subcontractor under the prime contract, the amount of any kickback. The Contracting Officer may order the monies withheld under subdivision (c)(4)(ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c)(4)(i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.
- (5) The Contractor agrees to incorporate the substance of this clause, including this subparagraph (c)(5) but excepting subparagraph (c)(1), in all subcontracts under this contract which exceed \$100,000.
- 52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)
- (a) If the Government receives information that a contractor or a person has engaged in conduct constituting a violation of subsection (a), (b), (c), or (d) of Section 27 of the Office of Federal Procurement Policy Act (41 U.S.C. 423) (the Act), as amended by section 4304 of the 1996 National Defense Authorization Act for Fiscal Year 1996 (Pub. L. 104-106), the Government may-
- (1) Cancel the solicitation, if the contract has not yet been awarded or issued; or
- (2) Rescind the contract with respect to which--
- (i) The Contractor or someone acting for the Contractor has been convicted for an offense where the conduct constitutes a violation of subsection 27 (a) or (b) of the Act for the purpose of either--
- (A) Exchanging the information covered by such subsections for anything of value; or
- (B) Obtaining or giving anyone a competitive advantage in the award of a Federal agency procurement contract; or
- (ii) The head of the contracting activity has determined, based upon a preponderance of the evidence, that the Contractor or someone acting for the Contractor has engaged in conduct constituting an offense punishable under subsections 27(e)(1) of the Act.

- (b) If the Government rescinds the contract under paragraph (a) of this clause, the Government is entitled to recover, in addition to any penalty prescribed by law, the amount expended under the contract
- (c) The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law, regulation, or under this contract.
- 52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)
- (a) The Government, at its election, may reduce the price of a fixed-price type contract and the total cost and fee under a cost-type contract by the amount of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or designee determines that there was a violation of subsection 27 (a), (b), or (c) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in section 3.104 of the Federal Acquisition Regulation.
- (b) The price or fee reduction referred to in paragraph (a) of this clause shall be-
- (1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;
- (2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;
- (3) For cost-plus-award-fee contracts--
- (i) The base fee established in the contract at the time of contract award;
- (ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.
- (4) For fixed-price-incentive contracts, the Government may--
- (i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or
- (ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.
- (5) For firm-fixed-price contracts, by 10 percent of the initial contract price or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award.
- (c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.
- (d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

(End of clause)

- 52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUN 1997)
- (a) Definitions.
- "Agency," as used in this clause, means executive agency as defined in 2.101.

- "Covered Federal action," as used in this clause, means any of the following Federal actions:
- (1) The awarding of any Federal contract.
- (2) The making of any Federal grant.
- (3) The making of any Federal loan.
- (4) The entering into of any cooperative agreement.
- (5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- "Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Natives.
- "Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.
- "Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.
- "Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:
- (1) An individual who is appointed to a position in the Government under title 5, United States Code, including a position under a temporary appointment.
- (2) A member of the uniformed services, as defined in subsection 101(3), title 37, United States Code.
- (3) A special Government employee, as defined in section 202, title 18, United States Code.
- (4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, United States Code, appendix 2.
- "Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State, and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.
- "Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.
- "Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.
- "Recipient," as used in this clause, includes the Contractor and all subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.
- "Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State," as used in this clause, means a State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, a territory or possession of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

- (b) Prohibitions.
- (1) Section 1352 of title 31, United States Code, among other things, prohibits a recipient of a Federal contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.
- (3) The prohibitions of the Act do not apply under the following conditions:
- (i) Agency and legislative liaison by own employees.
- (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.
- (B) For purposes of subdivision (b)(3)(i)(A) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.
- (C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:
- (1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.
- (2) Technical discussions and other activities regarding the application or adaptation of theperson's products or services for an agency's use.
- (D) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action--
- (1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;
- (2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and
- (3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.
- (E) Only those services expressly authorized by subdivision (b)(3)(i)(A) of this clause are permitted under this clause.
- (ii) Professional and technical services.
- (A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--
- (1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

- (2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.
- (B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.
- (C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.
- (D) Only those services expressly authorized by subdivisions (b)(3)(ii)(A)(1) and (2) of this clause are permitted under this clause.
- (E) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.
- (c) Disclosure.
- (1) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, OMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b)(1) of this clause, if paid for with appropriated funds.
- (2) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c)(1) of this clause. An event that materially affects the accuracy of the information reported includes—
- (i) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or
- (ii) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or
- (iii) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.
- (3) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.
- (4) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.

- (d) Agreement. The Contractor agrees not to make any payment prohibited by this clause.
- (e) Penalties.
- (1) Any person who makes an expenditure prohibited under paragraph (a) of this clause or who fails to file or amend the disclosure form to be filed or amended by paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.
- (2) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.
- (f) Cost allowability. Nothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

52.204-4 PRINTING/COPYING DOUBLE-SIDED ON RECYCLED PAPER (JUN 1996)

- (a) In accordance with Executive Order 12873, dated October 20, 1993, as amended by Executive Order 12995, dated March 25, 1996, the Offeror/Contractor is encouraged to submit paper documents, such as offers, letters, or reports, that are printed/copied double-sided on recycled paper that has at least 20 percent postconsumer material.
- (b) The 20 percent standard applies to high-speed copier paper, offset paper, forms bond, computer printout paper, carbonless paper, file folders, white woven envelopes, and other uncoated printed and writing paper, such as writing and office paper, book paper, cotton fiber paper, and cover stock. An alternative to meeting the 20 percent postconsumer material standard is 50 percent recovered material content of certain industrial by-products.
- 52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT. (JUL 1995)
- (a) The Government suspends or debars Contractors to protect the Government's interests. The Contractor shall not enter into any subcontract in excess of the \$25,000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.
- (b) The Contractor shall require each proposed first-tier subcontractor, whose subcontract will exceed \$25,000, to disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principles, is or is not debarred, suspended, or proposed for debarment by the Federal Government.
- (c) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for information on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs). The notice must include the following:
- (1) The name of the subcontractor.
- (2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

52.211-18 VARIATION IN ESTIMATED QUANTITY (APR 1984)

If the quantity of a unit-priced item in this contract is an estimated quantity and the actual quantity of the unit-priced item varies more than 15 percent above or below the estimated quantity, an equitable adjustment in the contract price shall be made upon demand of either party. The equitable adjustment shall be based upon any increase or decrease in costs due solely

to the variation above 115 percent or below 85 percent of the estimated quantity. If the quantity variation is such as to cause an increase in the time necessary for completion, the Contractor may request, in writing, an extension of time, to be received by the Contracting Officer within 10 days from the beginning of the delay, or within such further period as may be granted by the Contracting Officer before the date of final settlement of the contract. Upon the receipt of a written request for an extension, the Contracting Officer shall ascertain the facts and make an adjustment for extending the completion date as, in the judgement of the Contracting Officer, is justified.

- 52.214-26 AUDIT AND RECORDS--SEALED BIDDING. (OCT 1997)
- (a) As used in this clause, records includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form.
- (b) Cost or pricing data. If the Contractor has been required to submit cost or pricing data in connection with the pricing of any modification to this contract, the Contracting Officer, or an authorized representative of the Contracting Officer, in order to evaluate the accuracy, completeness, and currency of the cost or pricing data, shall have the right to examine and audit all of the Contractor's records, including computations and projections, related to--
- (1) The proposal for the modification;
- (2) The discussions conducted on the proposal(s), including those related to negotiating;
- (3) Pricing of the modification; or
- (4) Performance of the modification.
- (c) Comptroller General. In the case of pricing any modification, the Comptroller General of the United States, or an authorized representative, shall have the same rights as specified in paragraph (b) of this clause.
- (d) Availability. The Contractor shall make available at its office at all reasonable times the materials described in reproduction, until 3 years after final payment under this contract, or for any other period specified in Subpart 4.7 of the Federal Acquisition Regulation (FAR). FAR Subpart 4.7, Contractor Records Retention, in effect on the data of this contract, is incorporated by reference in its entirety and made a part of this contract.
- (1) If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement.
- (2) Records pertaining to appeals under the Disputes clause or to litigation or the settlement of claims arising under or relating to the performance of this contract shall be made available until disposition of such appeals, litigation, or claims.
- (e) The Contractor shall insert a clause containing all the provisions of this clause, including this paragraph (e), in all subcontracts expected to exceed the threshold in FAR 15.403-4(a)(1) for submission of cost or pricing data.
- 52.214-27 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA MODIFICATIONS SEALED BIDDING. (OCT 1997)
- (a) This clause shall become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for the submission of cost or pricing data at FAR 15.403-4(a)(1), except that this clause does not apply to a modification if an exception under FAR 15.403-1(b) applies.
- (1) Based on adequate price competition;
- (2) Based on established catalog or market prices of commercial items sold in substantial quantities to the general public; or
- (3) Set by law or regulation.
- (b) If any price, including profit, negotiated in connection with any modification under this clause, was increased by any significant amount because

- (1) the Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data;
- (2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Pricing Data; or
- (3) any of these parties furnished data of any description that were not accurate, the price shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) above.
- (c) Any reduction in the contract price under paragraph (b) above due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which:
- (1) the actual subcontract; or
- (2) the actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.
- (d) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made:
- (1) the Contractor agrees not to raise the following matters as a defense:
- (i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted;
- (ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer;
- (iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract; or
- (iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.
- (2) Except as prohibited by subdivision (d)(2)(ii) of this clause:
- (i) an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if:
- (A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and
- (B) The Contractor proves that the cost or pricing data were available before the date of agreement on the price of the contract (or price of the modification) and that the data were not submitted before such date.
- (ii) An offset shall not be allowed if:
- (A) The understated data was known by the Contractor to be understated when the Certificate of Current Cost or Pricing Data was signed; or (B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the date of agreement on price.
- (e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid:
- (1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and

- (2) A penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data which were incomplete, inaccurate, or noncurrent.
- 52.214-28 SUBCONTRACTOR COST OR PRICING DATA MODIFICATIONS SEALED BIDDING. (OCT 1997)
- (a) The requirements of paragraphs (b) and (c) of this clause shall:
- (1) become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at (FAR) 48 CFR 15.403-4(a)(1); and
- (2) be limited to such modifications.
- (b) Before awarding any subcontract expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), on the date of agreement on price or the date of award, whichever is later; or before pricing any subcontract modifications involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless an exception under FAR 15.403-1(b) applies.
- (1) Based on adequate price competition;
- (2) Based on established catalog or market prices of commercial items sold in substantial quantities to the general public; or
- (3) Set by law or regulation.
- (c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in subsection 15.406-2 of the Federal Acquisition Regulation that, to the best of its knowledge and belief, the data submitted under paragraph (b) above were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.
- (d) The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that, when entered into, exceeds the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1).
- 52.215-21 REQUIREMENTS FOR COST OR PRICING DATA OR INFORMATION OTHER THAN COST OR PRICING DATA--MODIFICATIONS (OCT 1997)
- (a) Exceptions from cost or pricing data. (1) In lieu of submitting cost or pricing data for modifications under this contract, for price adjustments expected to exceed the threshold set forth at FAR 15.403-4 on the date of the agreement on price or the date of the award, whichever is later, the Contractor may submit a written request for exception by submitting the information described in the following subparagraphs. The Contracting Officer may require additional supporting information, but only to the extent necessary to determine whether an exception should be granted, and whether the price is fair and reasonable—
- (i) Identification of the law or regulation establishing the price offered. If the price is controlled under law by periodic rulings, reviews, or similar actions of a governmental body, attach a copy of the controlling document, unless it was previously submitted to the contracting office.
- (ii) Information on modifications of contracts or subcontracts for commercial items. (A) If--
- (1) The original contract or subcontract was granted an exception from cost or pricing data requirements because the price agreed upon was based on adequate price competition or prices set by law or regulation, or was a contract or subcontract for the acquisition of a commercial item; and
- (2) The modification (to the contract or subcontract) is not exempted based on one of these exceptions, then the Contractor may provide information to establish that the modification would not change the contract or subcontract from a contract or subcontract for the acquisition of a commercial item to a contract or subcontract for the acquisition of an item other than a commercial item.

- (B) For a commercial item exception, the Contractor shall provide, at a minimum, information on prices at which the same item or similar items have previously been sold that is adequate for evaluating the reasonableness of the price of the modification. Such information may include—
- (1) For catalog items, a copy of or identification of the catalog and its date, or the appropriate pages for the offered items, or a statement that the catalog is on file in the buying office to which the proposal is being submitted. Provide a copy or describe current discount policies and price lists (published or unpublished), e.g., wholesale, original equipment manufacturer, or reseller. Also explain the basis of each offered price and its relationship to the established catalog price, including how the proposed price relates to the price of recent sales in quantities similar to the proposed quantities.
- (2) For market-priced items, the source and date or period of the market quotation or other basis for market price, the base amount, and applicable discounts. In addition, describe the nature of the market.
- (3) For items included on an active Federal Supply Service Multiple Award Schedule contract, proof that an exception has been granted for the schedule item.
- (2) The Contractor grants the Contracting Officer or an authorized representative the right to examine, at any time before award, books, records, documents, or other directly pertinent records to verify any request for an exception under this clause, and the reasonableness of price. For items priced using catalog or market prices, or law or regulation, access does not extend to cost or profit information or other data relevant solely to the Contractor's determination of the prices to be offered in the catalog or marketplace.
- (b) Requirements for cost or pricing data. If the Contractor is not granted an exception from the requirement to submit cost or pricing data, the following applies:
- (1) The Contractor shall submit cost or pricing data and supporting attachments in accordance with Table 15-2 of FAR 15.408.

As soon as practicable after agreement on price, but before award (except for unpriced actions), the Contractor shall submit a Certificate of Current Cost or Pricing Data, as prescribed by FAR 15.406-2.

52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (OCT 1999)

- (a) It is the policy of the United States that small business concerns, HUBZone small business concerns, small business concerns owned and controlled by socially and economically disadvantaged individuals, and small business concerns owned and controlled by women shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns, HUBZone small business concerns, small business concerns owned and controlled by socially and economically disadvantaged individuals, and small business concerns owned and controlled by women.
- (b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.
- (2) HUBZone small business concern means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.
- (3) Small business concern owned and controlled by socially and economically disadvantaged individuals and small disadvantaged business concern mean a small business concern that represents, as part of its offer that--
- (i) It has received certification as a small disadvantaged business concern consistent with 13 CFR 124, Subpart B;

- (ii) No material change in disadvantaged ownership and control has occurred since its certification;
- (iii) Where the concern is owned by one or more individuals, the net worth of each individual upon whom the certification is based does not exceed 750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and
- (iv) It is identified, on the date of its representation, as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net).
- (4) Small business concern owned and controlled by women means a small business concern--
- (i) Which is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and
- (ii) Whose management and daily business operations are controlled by one or more women.
- (d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as a small business concern, a HUBZone small business concern, a small business concern owned and controlled by socially and economically disadvantaged individuals, or a small business concern owned and controlled by women.

- 52.219-9 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (OCT 1999)--ALTERNATE I (JAN 1999).
- (a) This clause does not apply to small business concerns.
- (b) "Commercial product," as used in this clause, means a product in regular production that is sold in substantial quantities to the general public and/or industry at established catalog or market prices. It also means a product which, in the opinion of the Contracting Officer, differs only insignificantly from the Contractor's commercial product.
- "Subcontract," as used in this clause, means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.
- (c) The apparent low bidder, upon request by the Contracting Officer, shall submit a subcontracting plan, where applicable, that separately addresses subcontracting with small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns. If the bidder is submitting an individual contract plan, the plan must separately address subcontracting with small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be submitted within the time specified by the Contracting Officer. Failure to submit the subcontracting plan shall make the bidder ineligible for the award of a contract.
- (d) The offeror's subcontracting plan shall include the following:
- (1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.
- (2) A statement of--
- (i) Total dollars planned to be subcontracted;
- (ii) Total dollars planned to be subcontracted to small business concerns;
- (iii) Total dollars planned to be subcontracted to HUBZone small business concerns;
- (iv) Total dollars planned to be subcontracted to small disadvantaged business concerns; and
- (v) Total dollars planned to be subcontracted to women-owned small business concerns.

- (3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to--
- (i) Small business concerns;
- (ii) HUBZone small business concerns;
- (iii) Small disadvantaged business concerns; and
- (iv) Women-owned small business concerns.
- (4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.
- (5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, HUBZone, small disadvantaged and women-owned small business source list. Use of PRO-Net as its source list does not relieve a firm of its responsibilities (e.g., outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.
- (6) A statement as to whether or not the offeror in included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—
- (i) Small business concerns;
- (ii) HUBZone small business concerns;
- (iii) Small disadvantaged business concerns; and
- (iv) Women-owned small business concerns.
- (7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.
- (8) A description of the efforts the offeror will make to assure that small business, HUBZone small business, small disadvantaged and women-owned small business concerns have an equitable opportunity to compete for subcontracts.
- (9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) who receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a plan similar to the plan agreed to by the offeror.
- (10) Assurances that the offeror will (i) cooperate in any studies or surveys as may be required, (ii) submit periodic reports in order to allow the Government to determine the extent of compliance by the offeror with the subcontracting plan, (iii) submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with the instructions on the forms or as provided in agency regulations and in paragraph (j) of this clause; and (iv) ensure that its subcontractors agree to submit Standard Forms 294 and 295.
- (11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated)
- (i) Source lists (e.g., PRO-Net), guides, and other data that identify small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.
- (ii) Organizations contacted in an attempt to locate sources that are small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.

- (iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating--
- (A) Whether small business concerns were solicited and, if not, why not;
- (B) Whether HUBZone small business concerns were solicited and, if not, why not;
- (C) Whether small disadvantaged business concerns were solicited and, if not, why not;
- (D) Whether women-owned small business concerns were solicited and, if not, why not; and
- (E) If applicable, the reason award was not made to a small business concern.
- (iv) Records of any outreach efforts to contact--
- (A) Trade associations;
- (B) Business development organizations; and
- (C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and womenowned small business sources.
- (v) Records of internal guidance and encouragement provided to buyers through-
- (A) Workshops, seminars, training, etc.; and
- (B) Monitoring performance to evaluate compliance with the program's requirements.
- (vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.
- (e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:
- (1) Assist small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.
- (2) Provide adequate and timely consideration of the potentialities of small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all ``make-or-buy'' decisions.
- (3) Counsel and discuss subcontracting opportunities with representatives of small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.
- (4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.
- (f) A master subcontracting plan on a plant or division-wide basis which contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided, (1) the master plan has been approved, (2) the offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer, and (3) goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.
- (g)(1) If a commercial product is offered, the subcontracting plan required by this clause may relate to the offeror's production generally, for both commercial and noncommercial products, rather than solely to the Government contract. In these cases, the offeror shall, with the concurrence of the Contracting Officer, submit one company-wide or division-wide annual plan.
- (2) The annual plan shall be reviewed for approval by the agency awarding the offeror its first prime contract requiring a subcontracting plan during the fiscal year, or by an agency

satisfactory to the Contracting Officer.

- (3) The approved plan shall remain in effect during the offeror's fiscal year for all of the offeror's commercial products.
- (h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be con-sidered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.
- (i) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization Of Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the contract.
- (j) The Contractor shall submit the following reports:
- (1) Standard Form 294, Subcontracting Report for Individual Contracts. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.
- (2) Standard Form 295, Summary Subcontract Report. This report encompasses all the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor's format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by Standard Industrial Classification (SIC) Major Group. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant SIC Major Group and report all awards to that subcontractor under its predominant SIC Major Group.

(End of clause)

52.219-16 LIQUIDATED DAMAGES-SUBCONTRACTING PLAN (JAN 1999)

- (a) Failure to make a good faith effort to comply with the subcontracting plan, as used in this clause, means a willful or intentional failure to perform in accordance with the requirements of the subcontracting plan approved under the clause in this contract entitled "Small Business Subcontracting Plan," or willful or intentional action to frustrate the plan.
- (b) Performance shall be measured by applying the percentage goals to the total actual subcontracting dollars or, if a commercial plan is involved, to the pro rata share of actual subcontracting dollars attributable to Government contracts covered by the commercial plan. If, at contract completion or, in the case of a commercial plan, at the close of the fiscal year for which the plan is applicable, the Contractor has failed to meet its subcontracting goals and the Contracting Officer decides in accordance with paragraph (c) of this clause that the Contractor failed to make a good faith effort to comply with its subcontracting plan, established in accordance with the clause in this contract entitled ``Small Business Subcontracting Plan,'' the Contractor shall pay the Government liquidated damages in an amount stated. The amount of probable damages attributable to the Contractor's failure to comply shall be an amount equal to the actual dollar amount by which the Contractor failed to achieve each subcontract goal.
- (c) Before the Contracting Officer makes a final decision that the Contractor has failed to make such good faith effort, the Contracting Officer shall give the Contractor written notice specifying the failure and permitting the Contractor to demonstrate what good faith efforts have been made and to discuss the matter. Failure to respond to the notice may be taken as an admission that no valid explanation exists. If, after consideration of all the pertinent data, the Contracting Officer finds that the Contractor failed to make a good faith effort to comply with the subcontracting plan, the Contracting Officer shall issue a final decision to that effect and require that the Contractor pay the Government liquidated damages as provided in paragraph (b) of this clause.
- (d) With respect to commercial plans, the Contracting Officer who approved the plan will perform the functions of the Contracting Officer under this clause on behalf of all agencies with contracts covered by the commercial plan.
- (e) The Contractor shall have the right of appeal, under the clause in this contract entitled Disputes, from any final decision of the Contracting Officer.
- (f) Liquidated damages shall be in addition to any other remedies that the Government may have.

52.222-1 NOTICE TO THE GOVERNMENT OF LABOR DISPUTES (FEB 1997)

If the Contractor has knowledge that any actual or potential labor dispute is delaying or threatens to delay the timely performance of this contract, the Contractor shall immediately give notice, including all relevant information, to the Contracting Officer.

52.222-3 CONVICT LABOR (AUG 1996)

The Contractor agrees not to employ in the performance of this contract any person undergoing a sentence of imprisonment which has been imposed by any court of a State, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands. This limitation, however, shall not prohibit the employment by the Contractor in the performance of this contract of persons on parole or probation to work at paid employment during the term of their sentence or persons who have been pardoned or who have served their terms. Nor shall it prohibit the employment by the Contractor in the performance of this contract of persons confined for violation of the laws of any of the States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, or the Trust Territory of the Pacific Islands who are authorized to work at paid employment in the community under the laws of such jurisdiction, if--

- (a)(1) The worker is paid or is in an approved work training program on a voluntary basis;
- (2) Representatives of local union central bodies or similar labor union organizations have been consulted;
- (3) Such paid employment will not result in the displacement of employed workers, or be applied in skills, crafts, or trades in which there is a surplus of available gainful labor in the locality, or impair existing contracts for services; and
- (4) The rates of pay and other conditions of employment will not be less than those paid or provided for work of a similar nature in the locality in which the work is being performed; and
- (b) The Attorney General of the United States has certified that the work-release laws or regulations of the jurisdiction involved are in conformity with the requirements of Executive Order 11755, as amended by Executive Orders 12608 and 12943.

(End of clause)

52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT - OVERTIME COMPENSATION. (JUL 1995)

- (a) Overtime requirements. No Contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics (see Federal Acquisition Regulation (FAR) 22.300) shall require or permit any such laborers or mechanics in any workweek in which the individual is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than 1 1/2 times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.
- (b) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the provisions set forth in paragraph (a) of this clause, the Contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanics employed in violation of the provisions set forth in paragraph (a) of this clause in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by provisions set forth in paragraph (a) of this clause.
- (c) Withholding for unpaid wages and liquidated damages. The Contracting Officer shall upon his or her own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same Prime Contractor, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act which is held by the same Prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in the provisions set forth in paragraph (b) of

this clause.

- (d) Payrolls and basic records. (1) The Contractor or subcontractor shall maintain payrolls and basic payroll records during the course of contract work and shall preserve them for a period of 3 years from the completion of the contract for all laborers and mechanics working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Nothing in this paragraph shall require the duplication of records required to be maintained for construction work by Department of Labor regulations at 29 CFR 5.5(a)(3) implementing the Davis-Bacon Act.
- (2) The records to be maintained under paragraph (d)(1) of this clause shall be made available by the Contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit such representatives to interview employees during working hours on the job.
- (e) Subcontracts. The Contractor or subcontractor shall insert in any subcontracts exceeding \$100,000 the provisions set forth in paragraphs (a) through (e) of this clause and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the provisions set forth in paragraphs (a) through (e) of this clause.

52.222-6 DAVIS-BACON ACT (FEB 1995)

- (a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.
- (b)(1) The Contracting Officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:
- (i) The work to be performed by the classification requested is not performed by a classification in the wage determination.
- (ii) The classification is utilized in the area by the construction industry.
- (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (2) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe benefits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or disapprove

every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

- (3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.
- (4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b)(2) and (b)(3) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (d) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, That the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

52.222-7 WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Prime Contractor, or any other Federally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

52.222-8 PAYROLLS AND BASIC RECORDS (FEB 1988)

- (a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis-Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- (b)(1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph (a) of

this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.

- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify—
- (i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;
- (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and
- (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b)(2) of this clause.
- (4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.
- (c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

52.222-9 APPRENTICES AND TRAINEES (FEB 1988)

(a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State

Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

52.222-10 COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

52.222-11 SUBCONTRACTS (LABOR STANDARDS (FEB 1988)

- (a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act-Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination-Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.
- (b)(1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.
- (2) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

52.222-12 CONTRACT TERMINATION--DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis-Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and subcontractor as provided in 29 CFR 5.12.

52.222-13 COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

52.222-14 DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

52.222-15 CERTIFICATION OF ELIGIBILITY (FEB 1988)

- (a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

52.222-21 PROHIBITION OF SEGREGATED FACILITIES (FEB 1999)

- (a) Segregated facilities, as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.
- (b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.
- (c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Opportunity clause of this contract.

(End of clause)

52.222-26 EQUAL OPPORTUNITY (FEB 1999)

- (a) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with subparagraphs (b)(1) through (11) of this clause. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.
- (b) During performing this contract, the Contractor agrees as follows:
- (1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. However, it shall not be a violation of this clause for the Contractor to extend a publicly announced preference in employment to Indians living on or near an Indian reservation, in connection with employment opportunities on or near an Indian reservation, as permitted by 41 CFR 60-1.5.
- (2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include, but not be limited to, (i) employment, (ii) upgrading, (iii) demotion, (iv) transfer, (v) recruitment or recruitment advertising, (vi) layoff or termination, (vii) rates of pay or other forms of compensation, and (viii) selection for training, including

apprenticeship.

- (3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.
- (4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
- (5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.
- (6) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.
- (7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. The Contractor shall also file Standard Form 100 (EEO-1), or any successor form, as prescribed in 41 CFR part 60-1. Unless the Contractor has filed within the 12 months preceding the date of contract award, the Contractor shall, within 30 days after contract award, apply to either the regional Office of Federal Contract Compliance Programs (OFCCP) or the local office of the Equal Employment Opportunity Commission for the necessary forms.
- (8) The Contractor shall permit access to its premises, during normal business hours, by the contracting agency or the OFCCP for the purpose of conducting on-site compliance evaluations and complaint investigations. The Contractor shall permit the Government to inspect and copy any books, accounts, records (including computerized records), and other material that may be relevant to the matter under investigation and pertinent to compliance with Executive Order 11246, as amended, and rules and regulations that implement the Executive Order.
- (9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended; in the rules, regulations, and orders of the Secretary of Labor; or as otherwise provided by law.
- (10) The Contractor shall include the terms and conditions of subparagraphs (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.
- (11) The Contractor shall take such action with respect to any subcontract or purchase order as the contracting officer may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance; provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.
- (c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.
- 52.222-27 AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR CONSTRUCTION (FEB 1999)
- (a) Definitions. "Covered area," as used in this clause, means the geographical area described in the solicitation for this contract.
- "Deputy Assistant Secretary," as used in this clause, means Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, or a designee.
- "Employer's identification number," as used in this clause, means the Federal Social Security number used on the employer's quarterly federal tax return, U.S. Treasury Department Form 941.
- "Minority," as used in this clause, means--
- (1) American Indian or Alaskan Native (all persons having origins in any of the original peoples

of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

- (2) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);
- (3) Black (all persons having origins in any of the black African racial groups not of Hispanic origin); and
- (4) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race).
- (b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade, each such subcontract in excess of \$10,000 shall include this clause and the Notice containing the goals for minority and female participation stated in the solicitation for this contract.
- (c) If the Contractor is participating in a Hometown Plan (41 CFR 60-4) approved by the U.S. Department of Labor in a covered area, either individually or through an association, its affirmative action obligations on all work in the plan area (including goals) shall comply with the plan for those trades that have unions participating in the plan. Contractors must be able to demonstrate participation in, and compliance with, the provisions of the plan. Each Contractor or subcontractor participating in an approved plan is also required to comply with its obligations under the Equal Opportunity clause, and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good-faith performance by other Contractors or subcontractors toward a goal in an approved plan does not excuse any Contractor's or subcontractor's failure to make good-faith efforts to achieve the plan's goals.
- (d) The Contractor shall implement the affirmative action procedures in subparagraphs (g)(1) through (16) of this clause. The goals stated in the solicitation for this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.
- (e) Neither the terms and conditions of any collective bargaining agreement, nor the failure by a union with which the Contractor has a collective bargaining agreement, to refer minorities or women shall excuse the Contractor's obligations under this clause, Executive Order 11246, as amended, or the regulations thereunder.
- (f) In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- (g) The Contractor shall take affirmative action to ensure equal employment opportunity. The evaluation of the Contractor's compliance with this clause shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully and implement affirmative action steps at least as extensive as the following:
- (1) Ensure a working environment free of harassment, intimidation, and coercion at all sites and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure that foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.
- (2) Establish and maintain a current list of sources for minority and female recruitment. Provide written notification to minority and female recruitment sources and community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
- (3) Establish and maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant, referrals of minorities or females from unions, recruitment sources, or community organizations, and the action taken with respect to each individual. If an individual was sent to the union hiring hall for referral and not referred back to the Contractor by the union or, if referred back, not employed by the

Contractor, this shall be documented in the file, along with whatever additional actions the Contractor may have taken.

- (4) Immediately notify the Deputy Assistant Secretary when the union or unions with which the Contractor has a collective bargaining agreement has not referred back to the Contractor a minority or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
- (5) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (g)(2) of this clause.
- (6) Disseminate the Contractor's equal employment policy by--
- (i) Providing notice of the policy to unions and to training, recruitment, and outreach programs, and requesting their cooperation in assisting the Contractor in meeting its contract obligations;
- (ii) Including the policy in any policy manual and in collective bargaining agreements;
- (iii) Publicizing the policy in the company newspaper, annual report, etc.;
- (iv) Reviewing the policy with all management personnel and with all minority and female employees at least once a year; and
- (v) Posting the policy on bulletin boards accessible to employees at each location where construction work is performed.
- (7) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions. Conduct review of this policy with all on-site supervisory personnel before initiating construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- (8) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.
- (9) Direct recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 month before the date for acceptance of applications for apprenticeship or training by any recruitment source, send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- (10) Encourage present minority and female employees to recruit minority persons and women. Where reasonable, provide after-school, summer, and vacation employment to minority and female youth both on the site and in other areas of the Contractor's workforce.
- (11) Validate all tests and other selection requirements where required under 41 CFR 60-3.
- (12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc., opportunities for promotion.
- (13) Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the Contractor's obligations under this contract are being carried out.
- (14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user rest rooms and necessary dressing or sleeping areas shall be provided to assure privacy between the sexes.
- (15) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

- (16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's equal employment policy and affirmative action obligations.
- (h) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (g)(1) through (16) of this clause. The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant may be asserted as fulfilling one or more of its obligations under subparagraphs (g)(1) through (16) of this clause, provided the Contractor--
- (1) Actively participates in the group;
- (2) Makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry;
- (3) Ensures that concrete benefits of the program are reflected in the Contractor's minority and female workforce participation;
- (4) Makes a good-faith effort to meet its individual goals and timetables; and
- (5) Can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply is the Contractor's, and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- (i) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.
- (j) The Contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- (k) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.
- (1) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Equal Opportunity clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.
- (m) The Contractor in fulfilling its obligations under this clause shall implement affirmative action procedures at least as extensive as those prescribed in paragraph (g) of this clause, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of Executive Order 11246, as amended, the implementing regulations, or this clause, the Deputy Assistant Secretary shall take action as prescribed in 41 CFR 60-4.8.
- (n) The Contractor shall designate a responsible official to--
- (1) Monitor all employment-related activity to ensure that the Contractor's equal employment policy is being carried out;
- (2) Submit reports as may be required by the Government; and
- (3) Keep records that shall at least include for each employee the name, address, telephone number, construction trade, union affiliation (if any), employee identification number, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, separate records are not required to be maintained.

Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

52.222-35 AFFIRMATIVE ACTION FOR DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA (APR 1998)

- (a)) Definitions. As used in this clause--
- All employment openings includes all positions except executive and top management, those positions that will be filled from within the contractor's organization, and positions lasting 3 days or less. This term includes full-time employment, temporary employment of more than 3 days' duration, and part-time employment.

Appropriate office of the State employment service system means the local office of the Federal-State national system of public employment offices with assigned responsibility to serve the area where the employment opening is to be filled, including the District of Columbia, Guam, the Commonwealth of Puerto Rico, and the Virgin Islands.

Positions that will be filled from within the Contractor's organization means employment openings for which no consideration will be given to persons outside the Contractor's organization (including any affiliates, subsidiaries, and parent companies) and includes any openings that the Contractor proposes to fill from regularly established ``recall'' lists. The exception does not apply to a particular opening once an employer decides to consider applicants outside of its organization.

Veteran of the Vietnam era means a person who--

- (1) Served on active duty for a period of more than 180 days, any part of which occurred between August 5, 1964, and May 7, 1975, and was discharged or released therefrom with other than a dishonorable discharge; or
- (2) Was discharged or released from active duty for a service-connected disability if any part of such active duty was performed between August 5, 1964, and May 7, 1975.
- (b) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against the individual because the individual is a disabled veteran or a veteran of the Vietnam era. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified disabled veterans and veterans of the Vietnam era without discrimination based upon their disability or veterans' status in all employment practices such as--
- (i) Employment;
- (ii) Upgrading;
- (iii) Demotion or transfer;
- (iv) Recruitment;
- (v) Advertising;
- (vi) Layoff or termination;
- (vii) Rates of pay or other forms of compensation; and
- (viii) Selection for training, including apprenticeship.
- (2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as amended.
- (c) Listing openings. (1) The Contractor agrees to list all employment openings existing at contract award or occurring during contract performance, at an appropriate office of the State employment service system in the locality where the opening occurs. These openings include those occurring at any Contractor facility, including one not connected with performing this contract. An independent corporate affiliate is exempt from this requirement.
- (2) State and local government agencies holding Federal contracts of \$10,000 or more shall also list all their employment openings with the appropriate office of the State employment service.
- (3) The listing of employment openings with the State employment service system is required at least concurrently with using any other recruitment source or effort and involves the obligations of placing a bona fide job order, including accepting referrals of veterans and nonveterans. This listing does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.

- (4) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State employment service system, in each State where it has establishments, of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State system, it need not advise the State system of subsequent contracts. The Contractor may advise the State system when it is no longer bound by this contract clause.
- (d) Applicability. This clause does not apply to the listing of employment openings that occur and are filled outside the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, and the Virgin Islands.
- (e) Postings. (1) The Contractor agrees to post employment notices stating (i) the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified disabled veterans and veterans of the Vietnam era, and (ii) the rights of applicants and employees.
- (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. They shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, Department of Labor (Deputy Assistant Secretary), and provided by or through the Contracting Officer.
- (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of the Act, and is committed to take affirmative action to employ, and advance in employment, qualified disabled veterans and veterans of the Vietnam Era.
- (f) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.
- (g) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

- 52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)
- (a) General. (1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental disability. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices such as--
- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff, and rehiring;
- (iii) Rates of pay or any other form of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the ${\tt Contractor};$
- (vii) Selection and financial support for training, including apprenticeships, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- (viii) Activities sponsored by the Contractor, including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.

- (2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.
- (b) Postings. (1) The Contractor agrees to post employment notices stating--
- (i) The Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified individuals with disabilities; and
- (ii) The rights of applicants and employees.
- (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. The Contractor shall ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair). The notices shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance of the U.S. Department of Labor (Deputy Assistant Secretary) and shall be provided by or through the Contracting Officer.
- (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified individuals with physical or mental disabilities.
- (c) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.
- (d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$10,000 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

- 52.222-37 EMPLOYMENT REPORTS ON DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA (JAN 1999)
- (a) Unless the Contractor is a State or local government agency, the Contractor shall report at least annually, as required by the Secretary of Labor, on--
- (1) The number of disabled veterans and the number of veterans of the Vietnam era in the workforce of the contractor by job category and hiring location; and
- (2) The total number of new employees hired during the period covered by the report, and of that total, the number of disabled veterans, and the number of veterans of the Vietnam era.
- (b) The above items shall be reported by completing the form entitled "Federal Contractor Veterans' Employment Report VETS-100."
- (c) Reports shall be submitted no later than September 30 of each year beginning September 30, 1988.
- (d) The employment activity report required by paragraph (a)(2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date: (1) As of the end of any pay period during the period January through March 1st of the year the report is due, or (2) as of December 31, if the contractor has previous written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).
- (e) The count of veterans reported according to paragraph (a) of this clause shall be based on voluntary disclosure. Each Contractor subject to the reporting requirements at 38 U.S.C. 4212 shall invite all disabled veterans and veterans of the Vietnam era who wish to benefit under the affirmative action program at 38 U.S.C. 4212 to identify themselves to the Contractor. The invitation shall state that the information is voluntarily provided; that the information will be kept confidential; that disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment; and that the information will be used only in accordance with the regulations promulgated under 38 U.S.C. 4212.

(f) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$10,000 or more unless exempted by rules, regulations, or orders of the Secretary.

(End of clause)

- 52.223-5 POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION (APR 1998)
- (a) Executive Order 12856 of August 3, 1993, requires Federal facilities to comply with the provisions of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA)(42 U.S.C. 11001-11050) and the Pollution Prevention Act of 1990 (PPA)(42 U.S.C. 13101-13109).
- (b) The Contractor shall provide all information needed by the Federal facility to comply with the emergency planning reporting requirements of Section 302 of EPCRA; the emergency notice requirements of Section 304 of EPCRA; the list of Material Safety Data Sheets required by Section 311 of EPCRA; the emergency and hazardous chemical inventory forms of Section 312 of EPCRA; the toxic chemical release inventory of Section 313 of EPCRA, which includes the reduction and recycling information required by Section 6607 of PPA; and the toxic chemical reduction goals requirements of Section 3-302 of Executive Order 12856.

- 52.223-6 DRUG-FREE WORKPLACE (JAN 1997)
- (a) Definitions. As used in this clause --
- "Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 1308.15.
- "Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to deter- mine violations of the Federal or State criminal drug statutes.
- "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession, or use of any controlled substance.
- "Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract at which employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.
- "Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.
- "Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.
- (b) The Contractor, if other than an individual, shall-- within 30 days after award (unless a longer period is agreed to in writing for contracts of 30 days or more performance duration), or as soon as possible for contracts of less than 30 days performance duration--
- (1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;
- (2) Establish an ongoing drug-free awareness program to inform such employees about--
- (i) The dangers of drug abuse in the workplace;
- (ii) The Contractor's policy of maintaining a drug-free workplace;
- (iii) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (3) Provide all employees engaged in performance of the contract with a copy of the statement

required by subparagraph (b)(1) of this clause;

- (4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--
- (i) Abide by the terms of the statement; and
- (ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 days after such conviction.
- (5) Notify the Contracting Officer in writing within 10 days after receiving notice under subdivision (b)(4)(ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;
- (6) Within 30 days after receiving notice under subdivision (b)(4)(ii) of this clause of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:
- (i) Taking appropriate personnel action against such employee, up to and including termination; or
- (ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and
- (7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)(1) though (b)(6) of this clause.
- (c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this contract.
- (d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this clause may, pursuant to FAR 23.506, render the Contractor subject to suspension of contract payments, termination of the contract for default, and suspension or debarment.

(End of clause)

- 52.223-14 TOXIC CHEMICAL RELEASE REPORTING (OCT 1996)
- (a) Unless otherwise exempt, the Contractor, as owner or operator of a facility used in the performance of this contract, shall file by July 1 for the prior calendar year an annual Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023(a) and (g)), and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106). The Contractor shall file, for each facility subject to the Form R filing and reporting requirements, the annual Form R throughout the life of the contract.
- (b) A Contractor owned or operated facility used in the performance of this contract is exempt from the requirement to file an annual Form R if--
- (1) The facility does not manufacture, process, or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);
- (2) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);
- (3) The facility does not meet the reporting thresholds of toxic chemicals established under of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- (4) The facility does not fall within Standard Industrial Classification Code (SIC) designations 20 through 39 as set forth in section 19.102 of the Federal Acquisition Regulation (FAR); or
- (5) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

- (c) If the Contractor has certified to an exemption in accordance with one or more of the criteria in paragraph (b) of this clause, and after award of the contract circumstances change so that any of its owned or operated facilities used in the performance of this contract is no longer exempt--
- (1) The Contractor shall notify the Contracting Officer; and
- (2) The Contractor, as owner or operator of a facility used in the performance of this contract that is no longer exempt, shall (i) submit a Toxic Chemical Release Inventory Form (Form R) on or before July 1 for the prior calendar year during which the facility becomes eligible; and (ii) continue to file the annual Form R for the life of the contract for such facility.
- (d) The Contracting Officer may terminate this contract or take other action as appropriate, if the Contractor fails to comply accurately and fully with the EPCRA and PPA toxic chemical release filing and reporting requirements.
- (e) Except for acquisitions of commercial items, as defined in FAR Part 2, the Contractor shall--
- (1) For competitive subcontracts expected to exceed \$100,000 (including all options), include a solicitation provision substantially the same as the provision at FAR 52.223-13, Certification of Toxic Chemical Release Reporting; and
- (2) Include in any resultant subcontract exceeding \$100,000 (including all options), the substance of this clause, except this paragraph (e).
- 52.225-9 BUY AMERICAN ACT--TRADE AGREEMENTS--BALANCE OF PAYMENTS PROGRAM—CONSTRUCTION MATERIALS (FEB 2000)
- (a) Definitions. As used in this clause--

Component means any article, material, or supply incorporated directly into construction materials.

Construction material means an article, material, or supply brought to the construction site by the Contractor or a subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

Cost of components means--

- (1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the end product (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued);
- (2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

Domestic construction material means--

- (1) An unmanufactured construction material mined or produced in the United States; or
- (2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

Foreign construction material means a construction material other than a domestic construction material.

United States means the 50 States and the District of Columbia, U.S. territories and possessions, Puerto Rico, the Northern Mariana Islands, and any other place subject to U.S. jurisdiction, but does not include leased bases.

- (b) Domestic preference. (1) This clause implements the Buy American Act (41 U.S.C. 10a-10d) and the Balance of Payments Program by providing a preference for domestic construction material. The Contractor shall use only domestic construction material in performing this contract, except as provided in paragraphs (b)(2) and (b)(3) of this clause.
- (2) This requirement does not apply to the construction material or components listed by the Government as follows: none [Contracting Officer to list applicable excepted materials or indicate ``none'']
- (3) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(2) of this clause if the Government determines that
- (i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the requirements of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent. For determination of unreasonable cost under the Balance of Payments Program, the Contracting Officer will use a factor of 50 percent;
- (ii) The application of the restriction of the Buy American Act or Balance of Payments Program to a particular construction material would be impracticable or inconsistent with the public interest; or
- (iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.
- (c) Request for determination of inapplicability of the Buy American Act or Balance of Payments Program. (1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(3) of this clause shall include adequate information for Government evaluation of the request, including--
- (A) A description of the foreign and domestic construction materials;
- (B) Unit of measure;
- (C) Quantity;
- (D) Price;
- (E) Time of delivery or availability;
- (F) Location of the construction project;
- (G) Name and address of the proposed supplier; and
- (H) A detailed justification of the reason for use of foreign construction materials cited in accordance with paragraph (b)(3) of this clause.
- (ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.
- (iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).
- (iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.
- (2) If the Government determines after contract award that an exception to the Buy American Act or Balance of Payments Program applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(3)(i) of this clause.

- (3) Unless the Government determines that an exception to the Buy American Act or Balance of Payments Program applies, use of foreign construction material is noncompliant with the Buy American Act or Balance of Payments Program.
- (d) Data. To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

Foreign and Domestic Construction Materials Price Comparison

Construction material description (dollars) \1\	Unit of measure	Quantity	Price
 Item 1			
Foreign construction material			
Domestic construction material			
Item 2 Foreign construction material			
Domestic construction material			
Include all delivery costs to the cons duty-free entry certificate is issued).			
List name, address, telephone number, response; if oral, attach summary.	and contact for suppliers	surveyed. Attach copy of	
Include other applicable supporting in	nformation.		
(End of clause)			
52 225-13 RESTRICTIONS ON CERTAIN	J FOREIGN PURCHASES (FEB 20	00)	

- (a) The Contractor shall not acquire, for use in the performance of this contract, any supplies or services originating from sources within, or that were located in or transported from or through, countries whose products are banned from importation into the United States under regulations of the Office of Foreign Assets Control, Department of the Treasury. Those countries are Cuba, Iran, Iraq, Libya, North Korea, and Sudan.
- (b) The Contractor shall not acquire for use in the performance of this contract any supplies or services from entities controlled by the government of Iraq.
- (c) The Contractor shall insert this clause, including this paragraph (c), in all subcontracts.

(End of clause)

- 52.226-1 UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES (FEB 2000)
- (a) For Department of Defense contracts, this clause applies only if the contract includes a subcontracting plan incorporated under the terms of the clause at 52.219-9, Small Business Subcontracting Plan. It does not apply to contracts awarded based on a subcontracting plan submitted and approved under paragraph (g) of the clause at 52.219-9.
- (b) Definitions. As used in this clause:
- "Indian" means any person who is a member of any Indian tribe, band, group, pueblo or community which is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452(c) and any ``Native'' as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601).
- "Indian organization" means the governing body of any Indian tribe or entity established or recognized by the governing body of an Indian tribe for the purposes of 25 U.S.C., chapter 17.

- "Indian-owned economic enterprise" means any Indian-owned (as determined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for the purpose of profit, provided that Indian ownership shall constitute not less than 51 percent of the enterprise.
- "Indian tribe" means any Indian tribe, band, group, pueblo or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, which is recognized by the Federal Government as eligible for services from BIA in accordance with 25 U.S.C. 1542(c).
- "Interested party" means a prime contractor or an actual or prospective offeror whose direct economic interest would be affected by the award of a subcontract or by the failure to award a subcontract.
- (c) The Contractor agrees to use its best efforts to give Indian organizations and Indian-owned economic enterprises (25 U.S.C. 1544) the maximum practicable opportunity to participate in the subcontracts it awards to the fullest extent consistent with efficient performance of its contract.
- (1) The Contracting Officer and the Contractor, acting in good faith, may rely on the representation of an Indian organization or Indian-owned economic enterprise as to its eligibility, unless an interested party challenges its status or the Contracting Officer has independent reason to question that status. In the event of a challenge to the representation of a subcontractor, the Contracting Officer shall refer the matter to the U.S. Department of the Interior, Bureau of Indian Affairs (BIA), Attn: Chief, Division of Contracting and Grants Administration, 1849 C Street, NW, MS-334A-SIB, Washington, DC 20245. The BIA will determine the eligibility and notify the Contracting Officer. The 5 percent incentive payment will not be made within 50 working days of subcontract award or while a challenge is pending. If a subcontractor is determined to be an ineligible participant, no incentive payment will be made under the Indian Incentive Program.
- (2) The Contractor may request an adjustment under the Indian Incentive Program to the following:
- (i) The estimated cost of a cost-type contract.
- (ii) The target cost of a cost-plus-incentive-fee prime contract.
- (iii) The target cost and ceiling price of a fixed-price incentive prime contract.
- (iv) The price of a firm-fixed-price prime contract.
- (3) The amount of the equitable adjustment to the prime contract shall be 5 percent of the estimated cost, target cost or firm-fixed-price included in the subcontract initially awarded to the Indian organization or Indian-owned economic enterprise.
- (4) The Contractor has the burden of proving the amount claimed and must assert its request for an adjustment prior to completion of contract performance.
- (d) T The Contracting Officer, subject to the terms and conditions of the contract and the availability of funds, will authorize an incentive payment of 5 percent of the amount paid to the subcontractor. The Contracting Officer will seek funding in accordance with agency procedures.

52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)

(a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent (1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or (2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with (i) specifications or written provisions forming a part of this contract or (ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder (including any lower-tier subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.

(b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed the simplified acquisition threshold (however, omission of this clause from any subcontract, including those at or below the simplified acquisition threshold, does not affect this authorization and consent.)

52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)

- (a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copyright infringement based on the performance of this contract of which the Contractor has knowledge.
- (b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.
- (c) The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the simplified acquisition threshold at (FAR) 2.101.to exceed the dollar amount set forth in 13.000 of the Federal Acquisition Regulation (FAR).

(End of clause)

52.227-4 PATENT INDEMNITY--CONSTRUCTION CONTRACTS (APR 1984)

Except as otherwise provided, the Contractor agrees to indemnify the Government and its officers, agents, and employees against liability, including costs and expenses, for infringement upon any United States patent (except a patent issued upon an application that is now or may hereafter be withheld from issue pursuant to a Secrecy Order under 35 U.S.C. 181) arising out of performing this contract or out of the use or disposal by or for the account of the Government of supplies furnished or work performed under this contract.

52.228-1 BID GUARANTEE (SEP 1996)

- (a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of the bid.
- (b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids, and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.—
- (c) The amount of the bid guarantee shall be 20% percent of the bid price or \$3,000,000.00, whichever is less.-
- (d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.-
- (e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid, and the bid guarantee is available to offset the difference.

52.228-2 ADDITIONAL BOND SECURITY (OCT 1997)

The Contractor shall promptly furnish additional security required to protect the Government and persons supplying labor or materials under this contract if--

- (a) Any surety upon any bond, or issuing financial institution for other security, furnished with this contract becomes unacceptable to the Government.
- (b) Any surety fails to furnish reports on its financial condition as required by the Government;
- (c) The contract price is increased so that the penal sum of any bond becomes inadequate in the opinion of the Contracting Officer; or
- (d) An irrevocable letter of credit (ILC) used as security will expire before the end of the period of required security. If the Contractor does not furnish an acceptable extension or replacement ILC, or other acceptable substitute, at least 30 days before an ILC's scheduled expiration, the Contracting officer has the right to immediately draw on the ILC.
- 52.228-5 INSURANCE--WORK ON A GOVERNMENT INSTALLATION (JAN 1997)
- (a) The Contractor shall, at its own expense, provide and maintain during the entire performance of this contract, at least the kinds and minimum amounts of insurance required in the Schedule or elsewhere in the contract.
- (b) Before commencing work under this contract, the Contractor shall notify the Contracting Officer in writing that the required insurance has been obtained. The policies evidencing required insurance shall contain an endorsement to the effect that any cancellation or any material change adversely affecting the Government's interest shall not be effective (1) for such period as the laws of the State in which this contract is to be performed prescribe, or (2) until 30 days after the insurer or the Contractor gives written notice to the Contracting Officer, whichever period is longer.
- (c) The Contractor shall insert the substance of this clause, including this paragraph (c), in subcontracts under this contract that require work on a Government installation and shall require subcontractors to provide and maintain the insurance required in the Schedule or elsewhere in the contract. The Contractor shall maintain a copy of all subcontractors' proofs of required insurance, and shall make copies available to the Contracting Officer upon request.

- 52.228-11 PLEDGES OF ASSETS (FEB 1992)
- (a) Offerors shall obtain from each person acting as an individual surety on a bid guarantee, a performance bond, or a payment bond--
- (1) Pledge of assets; and
- (2) Standard Form 28, Affidavit of Individual Surety.
- (b) Pledges of assets from each person acting as an individual surety shall be in the form of-
- (1) Evidence of an escrow account containing cash, certificates of deposit, commercial or Government securities, or other assets described in FAR 28.203-2 (except see 28.203-2(b)(2) with respect to Government securities held in book entry form) and/or;
- (2) A recorded lien on real estate. The offeror will be required to provide--
- (i) Evidence of title in the form of a certificate of title prepared by a title insurance company approved by the United States Department of Justice. This title evidence must show fee simple title vested in the surety along with any concurrent owners; whether any real estate taxes are due and payable; and any recorded encumbrances against the property, including the lien filed in favor of the Government as required by FAR 28.203-3(d);
- (ii) Evidence of the amount due under any encumbrance shown in the evidence of title;
- (iii) A copy of the current real estate tax assessment of the property or a current appraisal dated no earlier than 6 months prior to the date of the bond, prepared by a professional appraiser who certifies that the appraisal has been conducted in accordance with the generally accepted appraisal standards as reflected in the Uniform Standards of Professional Appraisal Practice, as promulgated by the Appraisal Foundation.

(End of clause)

52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS. (OCT 1995)

In accordance with Section 806(a)(3) of Pub. L. 102-190, as amended by Sections 2091 and 8105 of Pub. L. 103-355, upon the request of a prospective subcontractor or supplier offering to furnish labor or material for the performance of this contract for which a payment bond has been furnished to the Government pursuant to the Miller Act, the Contractor shall promptly provide a copy of such payment bond to the requester.

52.228-14 IRREVOCABLE LETTER OF CREDIT (DEC 1999)

- (a) `Irrevocable letter of credit'' (ILC), as used in this clause, means a written commitment by a federally insured financial institution to pay all or part of a stated amount of money, until the expiration date of the letter, upon presentation by the Government (the beneficiary) of a written demand therefor. Neither the financial institution nor the offeror/Contractor can revoke or condition the letter of credit.
- (b) If the offeror intends to use an ILC in lieu of a bid bond, or to secure other types of bonds such as performance and payment bonds, the letter of credit and letter of confirmation formats in paragraphs (e) and (f) of this clause shall be used.
- (c) The letter of credit shall be irrevocable, shall require presentation of no document other than a written demand and the ILC (including confirming letter, if any), shall be issued/confirmed by an acceptable federally insured financial institution as provided in paragraph (d) of this clause, and--
- (1) If used as a bid guarantee, the ILC shall expire no earlier than 60 days after the close of the bid acceptance period;
- (2) If used as an alternative to corporate or individual sureties as security for a performance or payment bond, the offeror/Contractor may submit an ILC with an initial expiration date estimated to cover the entire period for which financial security is required or may submit an ILC with an initial expiration date that is a minimum period of one year from the date of issuance. The ILC shall provide that, unless the issuer provides the beneficiary written notice of non-renewal at least 60 days in advance of the current expiration date, the ILC is automatically extended without amendment for one year from the expiration date, or any future expiration date, until the period of required coverage is completed and the Contracting Officer provides the financial institution with a written statement waiving the right to payment. The period of required coverage shall be:
- (i) For contracts subject to the Miller Act, the later of--
- (A) One year following the expected date of final payment;
- (B) For performance bonds only, until completion of any warranty period; or
- (C) For payment bonds only, until resolution of all claims filed against the payment bond during the one-year period following final payment.
- (ii) For contracts not subject to the Miller Act, the later of--
- (A) 90 days following final payment; or
- (B) For performance bonds only, until completion of any warranty period.
- (d) Only federally insured financial institutions rated investment grade or higher shall issue or confirm the ILC. The offeror/Contractor shall provide the Contracting Officer a credit rating that indicates the financial institution has the required rating(s) as of the date of issuance of the ILC. Unless the financial institution issuing the ILC had letter of credit business of less than \$25 million in the past year, ILCs over \$5 million must be confirmed by another acceptable financial institution that had letter of credit business of less than \$25 million in the past year.

(e)	The	following	format	shall	be	used	by	the	issuin	g f:	inancial	${\tt institution}$	to	create	an	ILC:
									_							
[Is:	suing	Financial	Instit	tution'	s I	Letter	rhea	d or	Name	and	Address]				

IRREVOCABLE LETTER OF CREDIT NO
Account party's name
Account party's address
For Solicitation No(for reference only)
TO: [U.S. Government agency]
[U.S. Government agency's address]
1. We hereby establish this irrevocable and transferable Letter of Credit in your favor for one or more drawings up to United States \$ This Letter of Credit is payable at [issuing financial institution's and, if any, confirming financial institution's] office at [issuing financial institution's address and, if any, confirming financial institution's address] and expires with our close of business on, or any automatically extended expiration date.
2. We hereby undertake to honor your or the transferee's sight draft(s) drawn on the issuing or, if any, the confirming financial institution, for all or any part of this credit if presented with this Letter of Credit and confirmation, if any, at the office specified in paragraph 1 of this Letter of Credit on or before the expiration date or any automatically extended expiration date.
3. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this Letter of Credit that it is deemed to be automatically extended without amendment for one year from the expiration date hereof, or any future expiration date, unless at least 60 days prior to any expiration date, we notify you or the transferee by registered mail, or other receipted means of delivery, that we elect not to consider this Letter of Credit renewed for any such additional period. At the time we notify you, we also agree to notify the account party (and confirming financial institution, if any) by the same means of delivery.
4. This Letter of Credit is transferable. Transfers and assignments of proceeds are to be effected without charge to either the beneficiary or the transferee/assignee of proceeds. Such transfer or assignment shall be only at the written direction of the Government (the beneficiary) in a form satisfactory to the issuing financial institution and the confirming financial institution, if any.
5. This Letter of Credit is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of [state of confirming financial institution, if any, otherwise state of issuing financial institution].
6. If this credit expires during an interruption of business of this financial institution as described in Article 17 of the UCP, the financial institution specifically agrees to effect payment if this credit is drawn against within 30 days after the resumption of our business.
Sincerely,
[Issuing financial institution]
(f) The following format shall be used by the financial institution to confirm an ILC:
[Confirming Financial Institution's Letterhead or Name and Address]
(Date)
Our Letter of Credit Advice Number
Beneficiary: [U.S. Government agency]
Issuing Financial Institution:
Issuing Financial Institution's LC No.:
Contlemen

1. We hereby confirm the above indicated Letter of Credit, the original of which is attached,
issued by [name of issuing financial institution] for drawings of up to United State dollars/U.S. \$ and expiring with our close of business on [the
expiration date], or any automatically extended expiration date.
2. Draft(s) drawn under the Letter of Credit and this Confirmation are payable at our office located at
3. We hereby undertake to honor sight draft(s) drawn under and presented with the Letter of Credit and this Confirmation at our offices as specified herein.
4. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this confirmation that it be deemed automatically extended without amendment for one year from the expiration date hereof, or any automatically extended expiration date, unless:
(a) At least 60 days prior to any such expiration date, we shall notify the Contracting Officer or the transferee and the issuing financial institution, by registered mail or other receipted means of delivery, that we elect not to consider this confirmation extended for any such additional period; or
(b) The issuing financial institution shall have exercised its right to notify you or the transferee, the account party, and ourselves, of its election not to extend the expiration date of the Letter of Credit.
5. This confirmation is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extended inconsistent therewith, to the laws of [state of confirming financial institution]
6. If this confirmation expires during an interruption of business of this financial institution as described in Article 17 of the UCP, we specifically agree to effect payment if this credit in drawn against within 30 days after the resumption of our business.
Sincerely,

[Confirming financial institution]
(g) The following format shall be used by the Contracting Officer for a sight draft to draw on the Letter of Credit:
SIGHT DRAFT
(Date)
[Name and address of financial institution]
Pay to the order of [Beneficiary Agency] the sum of United States \$ This draft is drawn under Irrevocable Letter of Credit No
[Beneficiary Agency]
ву:
(End of clause)
52.228-15 PERFORMANCE AND PAYMENT BONDSCONSTRUCTION (SEP 1996)-
(a) Definitions. As used in this clause
Contract price means the award price of the contract or, for requirements contracts, the price

Contract price means the award price of the contract or, for requirements contracts, the price payable for the estimated quantity; or for indefinite-delivery type contracts, the price payable for the specified minimum quantity.

- (b) Unless the resulting contract price is \$100,000 or less, the successful offeror shall be required to furnish performance and payment bonds to the Contracting Officer as follows:
- (1) Performance Bonds (Standard Form 25): (i) The penal amount of performance bonds shall be 100 percent of the original contract price.
- (ii) The Government may require additional performance bond protection when the contract price is increased. The increase in protection shall generally equal 100 percent of the increase in contract price.
- (iii) The Government may secure additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.
- (2) Payment Bonds (Standard Form 25-A):
- (i) The penal amount of payment bonds shall equal--
- (A) 50 percent of the contract price if the contract price is not more than \$1 million;
- (B) 40 percent of the contract price if the contract price is more than 1 million but not more than 5 million; or
- (C) \$2.5 million if the contract price is more than \$5 million.
- (ii) If the original contract price is \$5 million or less, the Government may require additional protection if the contract price is increased. The penal amount of the total protection shall meet the requirement of subparagraph (b)(2)(i) of this clause.
- (iii) The Government may secure additional protection by directing the Contractor to increase the penal sum of the existing bond or to obtain an additional bond.
- (c) The Contractor shall furnish all executed bonds, including any necessary reinsurance agreements, to the Contracting Officer, within the time period specified in the Bid Guarantee provision of the solicitation, or otherwise specified by the Contracting Officer, but in any event, before starting work.
- (d) The bonds shall be in the form of firm commitment, supported by corporate sureties whose names appear on the list contained in Treasury Department Circular 570, individual sureties, or by other acceptable security such as postal money order, certified check, cashier's check, irrevocable letter of credit, or, in accordance with Treasury Department regulations, certain bonds or notes of the United States. Treasury Circular 570 is published in the Federal Register, or may be obtained from the U.S. Department of Treasury, Financial Management Service, Surety Bond Branch, 401 14th Street, NW., 2nd Floor, West Wing, Washington, DC 20227.
- 52.229-3 FEDERAL, STATE, AND LOCAL TAXES (JAN 1991)
- (a) "Contract date," as used in this clause, means the date set for bid opening or, if this is a negotiated contract or a modification, the effective date of this contract or modification.
- "All applicable Federal, State, and local taxes and duties," as used in this clause, means all taxes and duties, in effect on the contract date, that the taxing authority is imposing and collecting on the transactions or property covered by this contract.
- "After-imposed Federal tax," as used in this clause, means any new or increased Federal excise tax or duty, or tax that was exempted or excluded on the contract date but whose exemption was later revoked or reduced during the contract period, on the transactions or property covered by this contract that the Contractor is required to pay or bear as the result of legislative, judicial, or administrative action taking effect after the contract date. It does not include social security tax or other employment taxes.
- "After-relieved Federal tax," as used in this clause, means any amount of Federal excise tax or duty, except social security or other employment taxes, that would otherwise have been payable on the transactions or property covered by this contract, but which the Contractor is not required to pay or bear, or for which the Contractor obtains a refund or drawback, as the result of legislative, judicial, or administrative action taking effect after the contract date.
- (b) The contract price includes all applicable Federal, State, and local taxes and duties.
- (c) The contract price shall be increased by the amount of any after-imposed Federal tax,

provided the Contractor warrants in writing that no amount for such newly imposed Federal excise tax or duty or rate increase was included in the contract price, as a contingency reserve or otherwise.

- (d) The contract price shall be decreased by the amount of any after-relieved Federal tax.
- (e) The contract price shall be decreased by the amount of any Federal excise tax or duty, except social security or other employment taxes, that the Contractor is required to pay or bear, or does not obtain a refund of, through the Contractor's fault, negligence, or failure to follow instructions of the Contracting Officer.
- (f) No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.
- (g) The Contractor shall promptly notify the Contracting Officer of all matters relating to any Federal excise tax or duty that reasonably may be expected to result in either an increase or decrease in the contract price and shall take appropriate action as the Contracting Officer directs.
- (h) The Government shall, without liability, furnish evidence appropriate to establish exemption from any Federal, State, or local tax when the Contractor requests such evidence and a reasonable basis exists to sustain the exemption.

(End of clause)

- 52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (MAY 1997)
- (a) Payment of price. The Government shall pay the Contractor the contract price as provided in this contract.
- (b) Progress payments. The Government shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer.
- (1) The Contractor's request for progress payments shall include the following substantiation:
- (i) An itemization of the amounts requested, related to the various elements of work required by the contract covered by the payment requested.
- (ii) A listing of the amount included for work performed by each subcontractor under the contract.
- $\mbox{(iii)}\ \mbox{A listing of the total amount of each subcontract under the contract.}$
- (iv) A listing of the amounts previously paid to each subcontractor under the contract.
- (v) Additional supporting data in a form and detail required by the Contracting Officer.
- (2) In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site also may be taken into consideration if--
- (i) Consideration is specifically authorized by this contract; and
- (ii) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract.
- (c) Contractor certification. Along with each request for progress payments, the Contractor shall furnish the following certification, or payment shall not be made: (However, if the Contractor elects to delete paragraph (c)(4) from the certification, the certification is still acceptable.)
- I hereby certify, to the best of my knowledge and belief, that--
- (1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;
- (2) Payments to subcontractors and suppliers have been made from previous payments received under the contract, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements and the requirements of chapter 39 of Title 31, United States Code;

- (3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in accordance with the terms and conditions of the subcontract; and
- (4) This certification is not to be construed as final acceptance of a subcontractor's performance.

(Name)		_
(Title)		

(Date)

- (d) Refund of unearned amounts. If the Contractor, after making a certified request for progress payments, discovers that a portion or all of such request constitutes a payment for performance by the Contractor that fails to conform to the specifications, terms, and conditions of this contract (hereinafter referred to as the "unearned amount"), the Contractor shall--
- (1) Notify the Contracting Officer of such performance deficiency; and
- (2) Be obligated to pay the Government an amount (computed by the Contracting Officer in the manner provided in paragraph (j) of this clause) equal to interest on the unearned amount from the 8th day after the date of receipt of the unearned amount until--
- (i) The date the Contractor notifies the Contracting Officer that the performance deficiency has been corrected; or
- (ii) The date the Contractor reduces the amount of any subsequent certified request for progress payments by an amount equal to the unearned amount.
- (e) Retainage. If the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer shall authorize payment to be made in full. However, if satisfactory progress has not been made, the Contracting Officer may retain a maximum of 10 percent of the amount of the payment until satisfactory progress is achieved. When the work is substantially complete, the Contracting Officer may retain from previously withheld funds and future progress payments that amount the Contracting Officer considers adequate for protection of the Government and shall release to the Contractor all the remaining withheld funds. Also, on completion and acceptance of each separate building, public work, or other division of the contract, for which the price is stated separately in the contract, payment shall be made for the completed work without retention of a percentage.
- (f) Title, liability, and reservation of rights. All material and work covered by progress payments made shall, at the time of payment, become the sole property of the Government, but this shall not be construed as—
- (1) Relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or
- (2) Waiving the right of the Government to require the fulfillment of all of the terms of the contract.
- (g) Reimbursement for bond premiums. In making these progress payments, the Government shall, upon request, reimburse the Contractor for the amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor has furnished evidence of full payment to the surety. The retainage provisions in paragraph (e) of this clause shall not apply to that portion of progress payments attributable to bond premiums.
- (h) Final payment. The Government shall pay the amount due the Contractor under this contract after--
- (1) Completion and acceptance of all work;
- (2) Presentation of a properly executed voucher; and

- (3) Presentation of release of all claims against the Government arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned under the Assignment of Claims Act of 1940 (31 U.S.C. 3727 and 41 U.S.C. 15).
- (i) Limitation because of undefinitized work. Notwithstanding any provision of this contract, progress payments shall not exceed 80 percent on work accomplished on undefinitized contract actions. A "contract action" is any action resulting in a contract, as defined in FAR Subpart 2.1, including contract modifications for additional supplies or services, but not including contract modifications that are within the scope and under the terms of the contract, such as contract modifications issued pursuant to the Changes clause, or funding and other administrative changes.
- (j) Interest computation on unearned amounts. In accordance with 31 U.S.C. 3903(c)(1), the amount payable under subparagraph (d)(2) of this clause shall be--
- (1) Computed at the rate of average bond equivalent rates of 91-day Treasury bills auctioned at the most recent auction of such bills prior to the date the Contractor receives the unearned amount; and
- (2) Deducted from the next available payment to the Contractor.

52.232-17 INTEREST (JUNE 1996)

- (a) Except as otherwise provided in this contract under a Price Reduction for Defective Cost or Pricing Data clause or a Cost Accounting Standards clause, all amounts that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each sixmonth period as fixed by the Secretary until the amount is paid. reproduce, prepare derivative works, distribute copies to the public, and (b) Amounts shall be due at the earliest of the following dates:
- (1) The date fixed under this contract.
- (2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.
- (3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the amount of debt.
- (4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.
- (c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986)

- (a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence.
- (b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.

(c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document (including this contract) or information related to work under this contract until the Contracting Officer authorizes such action in writing.

52.232-27 PROMPT PAYMENT FOR CONSTRUCTION CONTRACTS (JUN 1997)

Notwithstanding any other payment terms in this contract, the Government will make invoice payments and contract financing payments under the terms and conditions specified in this clause. Payment shall be considered as being made on the day a check is dated or the date of an electronic funds transfer. Definitions of pertinent terms are set forth in section 32.902 of the Federal Acquisition Regulation. All days referred to in this clause are calendar days, unless otherwise specified. (However, see subparagraph (a)(3) concerning payments due on Saturdays, Sundays, and legal holidays.)

- (a) Invoice payments. (1) Types of invoice payments. For purposes of this clause, there are several types of invoice payments that may occur under this contract, as follows:
- (i) Progress payments, if provided for elsewhere in this contract, based on Contracting Officer approval of the estimated amount and value of work or services performed, including payments for reaching milestones in any project:
- (A) The due date for making such payments shall be 14 days after receipt of the payment request by the designated billing office. If the designated billing office fails to annotate the payment request with the actual date of receipt at the time of receipt, the payment due date shall be the 14th day after the date of the Contractor's payment request, provided a proper payment request is received and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.
- (B) The due date for payment of any amounts retained by the Contracting Officer in accordance with the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, shall be as specified in the contract or, if not specified, 30 days after approval for release to the Contractor by the Contracting Officer.
- (ii) Final payments based on completion and acceptance of all work and presentation of release of all claims against the Government arising by virtue of the contract, and payments for partial deliveries that have been accepted by the Government (e.g., each separate building, public work, or other division of the contract for which the price is stated separately in the contract):
- (A) The due date for making such payments shall be either the 30th day after receipt by the designated billing office of a proper invoice from the Contractor, or the 30th day after Government acceptance of the work or services completed by the Contractor, whichever is later. If the designated billing office fails to annotate the invoice with the date of actual receipt at the time of receipt, the invoice payment due date shall be the 30th day after the date of the Contractor's invoice, provided a proper invoice is received and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.
- (B) On a final invoice where the payment amount is subject to contract settlement actions (e.g., release of claims), acceptance shall be deemed to have occurred on the effective date of the contract settlement.
- (2) Contractor's invoice. The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in subdivisions (a)(2)(i) through (a)(2)(ix) of this clause. If the invoice does not comply with these requirements, it shall be returned within 7 days after the date the designated billing office received the invoice, with a statement of the reasons why it is not a proper invoice. Untimely notification will be taken into account in computing any interest penalty owed the Contractor in the manner described in subparagraph (a)(4) of this clause.
- (i) Name and address of the Contractor.
- (ii) Invoice date. (The Contractor is encouraged to date invoices as close as possible to the date of mailing or transmission.)
- (iii) Contract number or other authorization for work or services performed (including order number and contract line item number).
- (iv) Description of work or services performed.
- (v) Delivery and payment terms (e.g., prompt payment discount terms).

- (vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).
- (vii) Name (where practicable), title, phone number, and mailing address of person to be notified in the event of a defective invoice.
- (viii) For payments described in subdivision (a)(1)(i) of this clause, substantiation of the amounts requested and certification in accordance with the requirements of the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts.
- (ix) Any other information or documentation required by the contract.
- (x) While not required, the Contractor is strongly encouraged to assign an identification number to each invoice.
- (3) Interest penalty. An interest penalty shall be paid automatically by the designated payment office, without request from the Contractor, if payment is not made by the due date and the conditions listed in subdivisions (a)(3)(i) through (a)(3)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday when Federal Government offices are closed and Government business is not expected to be conducted, payment may be made on the following business day without incurring a late payment interest penalty.
- (i) A proper invoice was received by the designated billing office.
- (ii) A receiving report or other Government documentation authorizing payment was processed and there was no disagreement over quantity, quality, Contractor compliance with any contract term or condition, or requested progress payment amount.
- (iii) In the case of a final invoice for any balance of funds due the Contractor for work or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.
- (4) Computing penalty amount. The interest penalty shall be at the rate established by the Secretary of the Treasury under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) that is in effect on the day after the due date, except where the interest penalty is prescribed by other governmental authority (e.g., tariffs). This rate is referred to as the "Renegotiation Board Interest Rate," and it is published in the Federal Register semiannually on or about January 1 and July 1. The interest penalty shall accrue daily on the invoice principal payment amount approved by the Government until the payment date of such approved principal amount; and will be compounded in 30-day increments inclusive from the first day after the due date through the payment date. That is, interest accrued at the end of any 30-day period will be added to the approved invoice principal payment amount and will be subject to interest penalties if not paid in the succeeding 30-day period. If the designated billing office failed to notify the Contractor of a defective invoice within the periods prescribed in subparagraph (a)(2) of this clause, the due date on the corrected invoice will be adjusted by subtracting from such date the number of days taken beyond the prescribed notification of defects period. Any interest penalty owed the Contractor will be based on this adjusted due date. Adjustments will be made by the designated payment office for errors in calculating interest penalties.
- (i) For the sole purpose of computing an interest penalty that might be due the Contractor for payments described in subdivision (a)(1)(ii) of this clause, Government acceptance or approval shall be deemed to have occurred constructively on the 7th day after the Contractor has completed the work or services in accordance with the terms and conditions of the contract. In the event that actual acceptance or approval occurs within the constructive acceptance or approval period, the determination of an interest penalty shall be based on the actual date of acceptance or approval. Constructive acceptance or constructive approval requirements do not apply if there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. These requirements also do not compel Government officials to accept work or services, approve Contractor estimates, perform contract administration functions, or make payment prior to fulfilling their responsibilities.
- (ii) The following periods of time will not be included in the determination of an interest penalty:
- (A) The period taken to notify the Contractor of defects in invoices submitted to the Government, but this may not exceed 7 days.
- (B) The period between the defects notice and resubmission of the corrected invoice by the Contractor.

- (C) For incorrect electronic funds transfer (EFT) information, in accordance with the EFT clause of this contract.
- (iii) Interest penalties will not continue to accrue after the filing of a claim for such penalties under the clause at 52.233-1, Disputes, or for more than 1 year. Interest penalties of less than \$1 need not be paid.
- (iv) Interest penalties are not required on payment delays due to disagreement between the Government and the Contractor over the payment amount or other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. Claims involving disputes, and any interest that may be payable, will be resolved in accordance with the clause at 52.233-1, Disputes.
- (5) Prompt payment discounts. An interest penalty also shall be paid automatically by the designated payment office, without request from the Contractor, if a discount for prompt payment is taken improperly. The interest penalty will be calculated on the amount of discount taken for the period beginning with the first day after the end of the discount period through the date when the Contractor is paid.
- (6) Additional interest penalty. (i) If this contract was awarded on or after October 1, 1989, a penalty amount, calculated in accordance with subdivision (a)(6)(iii) of this clause, shall be paid in addition to the interest penalty amount if the Contractor--
- (A) Is owed an interest penalty of \$1 or more;
- (B) Is not paid the interest penalty within 10 days after the date the invoice amount is paid; and
- (C) Makes a written demand to the designated payment office for additional penalty payment, in accordance with subdivision (a)(6)(ii) of this clause, postmarked not later than 40 days after the date the invoice amount is paid.
- (ii)(A) Contractors shall support written demands for additional penalty payments with the following data. No additional data shall be required. Contractors shall--
- (1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;
- (2) Attach a copy of the invoice on which the unpaid late payment interest was due; and
- (3) State that payment of the principal has been received, including the date of receipt.
- (B) Demands must be postmarked on or before the 40th day after payment was made, except that--
- (1) If the postmark is illegible or nonexistent, the demand must have been received and annotated with the date of receipt by the designated payment office on or before the 40th day after payment was made; or
- (2) If the postmark is illegible or nonexistent and the designated payment office fails to make the required annotation, the demand's validity will be determined by the date the Contractor has placed on the demand; provided such date is no later than the 40th day after payment was made.
- (iii)(A) The additional penalty shall be equal to 100 percent of any original late payment interest penalty, except--
- (1) The additional penalty shall not exceed \$5,000;
- (2) The additional penalty shall never be less than \$25; and
- (3) No additional penalty is owed if the amount of the underlying interest penalty is less than \$1.
- (B) If the interest penalty ceases to accrue in accordance with the limits stated in subdivision (a)(4)(iii) of this clause, the amount of the additional penalty shall be calculated on the amount of interest penalty that would have accrued in the absence of these limits, subject to the overall limits on the additional penalty specified in subdivision (a)(6)(iii)(A) of this clause.
- (C) For determining the maximum and minimum additional penalties, the test shall be the interest penalty due on each separate payment made for each separate contract. The maximum and minimum additional penalty shall not be based upon individual invoices unless the invoices are paid

separately. Where payments are consolidated for disbursing purposes, the maximum and minimum additional penalty determination shall be made separately for each contract therein.

- (D) The additional penalty does not apply to payments regulated by other Government regulations (e.g., payments under utility contracts subject to tariffs and regulation).
- (b) Contract financing payments. (1) Due dates for recurring financing payments. If this contract provides for contract financing, requests for payment shall be submitted to the designated billing office as specified in this contract or as directed by the Contracting Officer. Contract financing payments shall be made on the 30th day after receipt of a proper contract financing request by the designated billing office. In the event that an audit or other review of a specific financing request is required to ensure compliance with the terms and conditions of the contract, the designated payment office is not compelled to make payment by the due date specified.
- (2) Due dates for other contract financing. For advance payments, loans, or other arrangements that do not involve recurring submissions of contract financing requests, payment shall be made in accordance with the corresponding contract terms or as directed by the Contracting Officer.
- (3) Interest penalty not applicable. Contract financing payments shall not be assessed an interest penalty for payment delays.
- (c) Subcontract clause requirements. The Contractor shall include in each subcontract for property or services (including a material supplier) for the purpose of performing this contract the following:
- (1) Prompt payment for subcontractors. A payment clause that obligates the Contractor to pay the subcontractor for satisfactory performance under its subcontract not later than 7 days from receipt of payment out of such amounts as are paid to the Contractor under this contract.
- (2) Interest for subcontractors. An interest penalty clause that obligates the Contractor to pay to the subcontractor an interest penalty for each payment not made in accordance with the payment clause--
- (i) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and
- (ii) Computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.
- (3) Subcontractor clause flowdown. A clause requiring each subcontractor to include a payment clause and an interest penalty clause conforming to the standards set forth in subparagraphs (c)(1) and (c)(2) of this clause in each of its subcontracts, and to require each of its subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.
- (d) Subcontract clause interpretation. The clauses required by paragraph (c) of this clause shall not be construed to impair the right of the Contractor or a subcontractor at any tier to negotiate, and to include in their subcontract, provisions that--
- (1) Retainage permitted. Permit the Contractor or a subcontractor to retain (without cause) a specified percentage of each progress payment otherwise due to a subcontractor for satisfactory performance under the subcontract without incurring any obligation to pay a late payment interest penalty, in accordance with terms and conditions agreed to by the parties to the subcontract, giving such recognition as the parties deem appropriate to the ability of a subcontractor to furnish a performance bond and a payment bond;
- (2) Withholding permitted. Permit the Contractor or subcontractor to make a determination that part or all of the subcontractor's request for payment may be withheld in accordance with the subcontract agreement; and
- (3) Withholding requirements. Permit such withholding without incurring any obligation to pay a late payment penalty if--
- (i) A notice conforming to the standards of paragraph (g) of this clause previously has been furnished to the subcontractor; and
- (ii) A copy of any notice issued by a Contractor pursuant to subdivision (d)(3)(i) of this clause has been furnished to the Contracting Officer.

- (e) Subcontractor withholding procedures. If a Contractor, after making a request for payment to the Government but before making a payment to a subcontractor for the subcontractor's performance covered by the payment request, discovers that all or a portion of the payment otherwise due such subcontractor is subject to withholding from the subcontractor in accordance with the subcontract agreement, then the Contractor shall—
- (1) Subcontractor notice. Furnish to the subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon ascertaining the cause giving rise to a withholding, but prior to the due date for subcontractor payment;
- (2) Contracting Officer notice. Furnish to the Contracting Officer, as soon as practicable, a copy of the notice furnished to the subcontractor pursuant to subparagraph (e)(1) of this clause;
- (3) Subcontractor progress payment reduction. Reduce the subcontractor's progress payment by an amount not to exceed the amount specified in the notice of withholding furnished under subparagraph (e)(1) of this clause;
- (4) Subsequent subcontractor payment. Pay the subcontractor as soon as practicable after the correction of the identified subcontract performance deficiency, and--
- (i) Make such payment within--
- (A) Seven days after correction of the identified subcontract performance deficiency (unless the funds therefor must be recovered from the Government because of a reduction under subdivision (e)(5)(i) of this clause; or
- (B) Seven days after the Contractor recovers such funds from the Government; or
- (ii) Incur an obligation to pay a late payment interest penalty computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty;
- (5) Notice to Contracting Officer. Notify the Contracting Officer upon-
- (i) Reduction of the amount of any subsequent certified application for payment; or
- (ii) Payment to the subcontractor of any withheld amounts of a progress payment, specifying-
- (A) The amounts withheld under subparagraph (e)(1) of this clause; and
- (B) The dates that such withholding began and ended; and
- (6) Interest to Government. Be obligated to pay to the Government an amount equal to interest on the withheld payments (computed in the manner provided in 31 U.S.C. 3903(c)(1)), from the 8th day after receipt of the withheld amounts from the Government until--
- (i) The day the identified subcontractor performance deficiency is corrected; or
- (ii) The date that any subsequent payment is reduced under subdivision (e)(5)(i) of this clause.
- (f) Third-party deficiency reports. (1) Withholding from subcontractor. If a Contractor, after making payment to a first-tier subcontractor, receives from a supplier or subcontractor of the first-tier subcontractor (hereafter referred to as a "second-tier subcontractor") a written notice in accordance with section 2 of the Act of August 24, 1935 (40 U.S.C. 270b, Miller Act), asserting a deficiency in such first-tier subcontractor's performance under the contract for which the Contractor may be ultimately liable, and the Contractor determines that all or a portion of future payments otherwise due such first-tier subcontractor is subject to withholding in accordance with the subcontract agreement, the Contractor may, without incurring an obligation to pay an interest penalty under subparagraph (e)(6) of this clause--
- (i) Furnish to the first-tier subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon making such determination; and
- (ii) Withhold from the first-tier subcontractor's next available progress payment or payments an amount not to exceed the amount specified in the notice of withholding furnished under subdivision (f)(1)(i) of this clause.
- (2) Subsequent payment or interest charge. As soon as practicable, but not later than 7 days after receipt of satisfactory written notification that the identified subcontract performance

deficiency has been corrected, the Contractor shall--

- (i) Pay the amount withheld under subdivision (f)(1)(ii) of this clause to such first-tier subcontractor; or
- (ii) Incur an obligation to pay a late payment interest penalty to such first-tier subcontractor computed at the rate of interest established by the Secretary of the Treasury, and published in the Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.
- (g) Written notice of subcontractor withholding. A written notice of any withholding shall be issued to a subcontractor (with a copy to the Contracting Officer of any such notice issued by the Contractor), specifying--
- (1) The amount to be withheld;
- (2) The specific causes for the withholding under the terms of the subcontract; and
- (3) The remedial actions to be taken by the subcontractor in order to receive payment of the amounts withheld.
- (h) Subcontractor payment entitlement. The Contractor may not request payment from the Government of any amount withheld or retained in accordance with paragraph (d) of this clause until such time as the Contractor has determined and certified to the Contracting Officer that the subcontractor is entitled to the payment of such amount.
- (i) Prime-subcontractor disputes. A dispute between the Contractor and subcontractor relating to the amount or entitlement of a subcontractor to a payment or a late payment interest penalty under a clause included in the subcontract pursuant to paragraph (c) of this clause does not constitute a dispute to which the United States is a party. The United States may not be interpleaded in any judicial or administrative proceeding involving such a dispute.
- (j) Preservation of prime-subcontractor rights. Except as provided in paragraph (i) of this clause, this clause shall not limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or a subcontractor in the event of a dispute involving late payment or nonpayment by the Contractor or deficient subcontract performance or nonperformance by a subcontractor.
- (k) Non-recourse for prime contractor interest penalty. The Contractor's obligation to pay an interest penalty to a subcontractor pursuant to the clauses included in a subcontract under paragraph (c) of this clause shall not be construed to be an obligation of the United States for such interest penalty. A cost-reimbursement claim may not include any amount for reimbursement of such interest penalty.
- 52.232-34 PAYMENT BY ELECTRONIC FUNDS TRANSFER—OTHER THAN CENTRAL CONTRACTOR REGISTRATION (MAY 1999)
- (a) Method of payment. (1) All payments by the Government under this contract shall be made by electronic funds transfer (EFT) except as provided in paragraph (a)(2) of this clause. As used in this clause, the term ``EFT'' refers to the funds transfer and may also include the payment information transfer.
- (2) In the event the Government is unable to release one or more payments by EFT, the Contractor agrees to either--
- (i) Accept payment by check or some other mutually agreeable method of payment; or
- (ii) Request the Government to extend payment due dates until such time as the Government makes payment by EFT (but see paragraph (d) of this clause).
- (b) Mandatory submission of Contractor's EFT information. (1) The Contractor is required to provide the Government with the information required to make payment by EFT (see paragraph (j) of this clause). The Contractor shall provide this information directly to the office designated in this contract to receive that information (hereafter: ``designated office'') by no later than 15 days prior to submission of the first request for payment. If not otherwise specified in this contract, the payment office is the designated office for receipt of the Contractor's EFT information. If more than one designated office is named for the contract, the Contractor shall provide a separate notice to each office. In the event that the EFT information changes, the

Contractor shall be responsible for providing the updated information to the designated office(s).

- (2) If the Contractor provides EFT information applicable to multiple contracts, the Contractor shall specifically state the applicability of this EFT information in terms acceptable to the designated office. However, EFT information supplied to a designated office shall be applicable only to contracts that identify that designated office as the office to receive EFT information for that contract.
- (c) Mechanisms for EFT payment. The Government may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System. The rules governing Federal payments through the ACH are contained in 31 CFR part 210.
- (d) Suspension of payment. (1) The Government is not required to make any payment under this contract until after receipt, by the designated office, of the correct EFT payment information from the Contractor. Until receipt of the correct EFT information, any invoice or contract financing request shall be deemed not to be a proper invoice for the purpose of prompt payment under this contract. The prompt payment terms of the contract regarding notice of an improper invoice and delays in accrual of interest penalties apply.
- (2) If the EFT information changes after submission of correct EFT information, the Government shall begin using the changed EFT information no later than 30 days after its receipt by the designated office to the extent payment is made by EFT. However, the Contractor may request that no further payments be made until the updated EFT information is implemented by the payment office. If such suspension would result in a late payment under the prompt payment terms of this contract, the Contractor's request for suspension shall extend the due date for payment by the number of days of the suspension.
- (e) Liability for uncompleted or erroneous transfers. (1) If an uncompleted or erroneous transfer occurs because the Government used the Contractor's EFT information incorrectly, the Government remains responsible for--
- (i) Making a correct payment;
- (ii) Paying any prompt payment penalty due; and
- (iii) Recovering any erroneously directed funds.
- (2) If an uncompleted or erroneous transfer occurs because the Contractor's EFT information was incorrect, or was revised within 30 days of Government release of the EFT payment transaction instruction to the Federal Reserve System, and—
- (i) If the funds are no longer under the control of the payment office, the Government is deemed to have made payment and the Contractor is responsible for recovery of any erroneously directed funds; or
- (ii) If the funds remain under the control of the payment office, the Government shall not make payment and the provisions of paragraph (d) shall apply.
- (f) EFT and prompt payment. A payment shall be deemed to have been made in a timely manner in accordance with the prompt payment terms of this contract if, in the EFT payment transaction instruction released to the Federal Reserve System, the date specified for settlement of the payment is on or before the prompt payment due date, provided the specified payment date is a valid date under the rules of the Federal Reserve System.
- (g) EFT and assignment of claims. If the Contractor assigns the proceeds of this contract as provided for in the assignment of claims terms of this contract, the Contractor shall require as a condition of any such assignment, that the assignee shall provide the EFT information required by paragraph (j) of this clause to the designated office, and shall be paid by EFT in accordance with the terms of this clause. In all respects, the requirements of this clause shall apply to the assignee as if it were the Contractor. EFT information that shows the ultimate recipient of the transfer to be other than the Contractor, in the absence of a proper assignment of claims acceptable to the Government, is incorrect EFT information within the meaning of paragraph (d) of this clause.
- (h) Liability for change of EFT information by financial agent. The Government is not liable for errors resulting from changes to EFT information provided by the Contractor's financial agent.
- (i) Payment information. The payment or disbursing office shall forward to the Contractor available payment information that is suitable for transmission as of the date of release of the

EFT instruction to the Federal Reserve System. The Government may request the Contractor to designate a desired format and method(s) for delivery of payment information from a list of formats and methods the payment office is capable of executing. However, the Government does not guarantee that any particular format or method of delivery is available at any particular payment office and retains the latitude to use the format and delivery method most convenient to the Government. If the Government makes payment by check in accordance with paragraph (a) of this clause, the Government shall mail the payment information to the remittance address in the

- (j) EFT information. The Contractor shall provide the following information to the designated office. The Contractor may supply this data for this or multiple contracts (see paragraph (b) of this clause). The Contractor shall designate a single financial agent per contract capable of receiving and processing the EFT information using the EFT methods described in paragraph (c) of this clause.
- (1) The contract number (or other procurement identification number).
- (2) The Contractor's name and remittance address, as stated in the contract(s).
- (3) The signature (manual or electronic, as appropriate), title, and telephone number of the Contractor official authorized to provide this information.
- (4) The name, address, and 9-digit Routing Transit Number of the Contractor's financial agent.
- (5) The Contractor's account number and the type of account (checking, saving, or lockbox).
- (6) If applicable, the Fedwire Transfer System telegraphic abbreviation of the Contractor's financial agent.
- (7) If applicable, the Contractor shall also provide the name, address, telegraphic abbreviation, and 9-digit Routing Transit Number of the correspondent financial institution receiving the wire transfer payment if the Contractor's financial agent is not directly on-line to the Fedwire Transfer System; and, therefore, not the receiver of the wire transfer payment.

(End of clause)

- 52.233-1 DISPUTES. (DEC 1998)
- (a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).
- (b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.
- (c) "Claim," as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. A claim arising under a contract, unlike a claim relating to that contract, is a claim that can be resolved under a contract clause that provides for the relief sought by the claimant. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$100,000 is not a claim under the Act until certified as required by subparagraph (d)(2) of this clause. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.
- (d)(1) A claim by the Contractor shall be made in writing and, unless otherwise stated in this contract, submitted within 6 years after accrual of the claim to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.
- (2)(i) The contractors shall provide the certification specified in subparagraph (d)(2)(iii) of this clause when submitting any claim -
- (A) Exceeding \$100,000; or
- (B) Regardless of the amount claimed, when using -
- (1) Arbitration conducted pursuant to 5 U.S.C. 575-580; or
- (2) Any other alternative means of dispute resolution (ADR) technique that the agency elects to

handle in accordance with the Administrative Dispute Resolution Act (ADRA).

- (ii) The certification requirement does not apply to issues in controversy that have not been submitted as all or part of a claim.
- (iii) The certification shall state as follows: "I certify that the claim is made in good faith; that the supporting data are accurate and complete to the best of my knowledge and belief; that the amount requested accurately reflects the contract adjustment for which the Contractor believes the Government is liable; and that I am duly authorized to certify the claim on behalf of the Contractor.
- (3) The certification may be executed by any person duly authorized to bind the Contractor with respect to the claim.
- (e) For Contractor claims of \$100,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$100,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.
- (f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.
- (g) If the claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative disput resolution (ADR). If the Contractor refuses an offer for ADR, the Contractor shall inform the Contracting Officer, in writing, of the Contractor's specific reasons for rejecting the request.
- (h) The Government shall pay interest on the amount found due and unpaid from (1) the date the Contracting Officer receives the claim (certified, if required); or (2) the date that payment otherwise would be due, if that date is later, until the date of payment. With regard to claims having defective certifications, as defined in (FAR) 48 CFR 33.201, interest shall be paid from the date that the Contracting Officer initially receives the claim. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.
- (i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the Contracting Officer.

(End of clause)

52.233-3 PROTEST AFTER AWARD (AUG. 1996)

- (a) Upon receipt of a notice of protest (as defined in FAR 33.101) or a determination that a protest is likely (see FAR 33.102(d)), the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either—
- (1) Cancel the stop-work order; or
- (2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.
- (b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--
- (1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and
- (2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment

under this contract.

- (c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.
- (d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.
- (e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.
- (f) If, as the result of the Contractor's intentional or negligent misstatement, misrepresentation, or miscertification, a protest related to this contract is sustained, and the Government pays costs, as provided in FAR 33.102(b)(2) or 33.104(h)(1), the Government may require the Contractor to reimburse the Government the amount of such costs. In addition to any other remedy available, and pursuant to the requirements of Subpart 32.6, the Government may collect this debt by offsetting the amount against any payment due the Contractor under any contract between the Contractor and the Government.

52.236-2 DIFFERING SITE CONDITIONS (APR 1984)

As prescribed in 36.502, insert the following clause in solicitations and contracts when a fixed-price construction contract or a fixed-price dismantling, demolition, or removal of improvements contract is contemplated and the contract amount is expected to exceed the small purchase limitation. The Contracting Officer may insert the clause in solicitations and contracts when a fixed-price construction or a fixed-price contract for dismantling, demolition, or removal of improvements is contemplated and the contract amount is expected to be within the small purchase limitation.

- (a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of
- (1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or
- (2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.
- (b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.
- (c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required; provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.
- (d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)

- (a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to
- (1) conditions bearing upon transportation, disposal, handling, and storage of materials;
- (2) the availability of labor, water, electric power, and roads;
- (3) uncertainties of weather, river stages, tides, or similar physical conditions at the site;
- (4) the conformation and conditions of the ground; and (5) the character of equipment and

facilities needed preliminary to and during work performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by the Government, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the Government.

(b) The Government assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Government. Nor does the Government assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)

- (a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.
- (b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.
- (c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)

At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the worksite a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

52.236-8 OTHER CONTRACTS (APR 1984)

The Government may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with Government employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by

the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by Government employees.

- 52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)
- (a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed and which do not unreasonably interfere with the work required under this contract. The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.
- (b) The Contractor shall protect from damage all existing improvements and utilities
- (1) at or near the work site, and
- (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refuses to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

52.236-10 OPERATIONS AND STORAGE AREAS (APR 1984)

- (a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.
- (b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.
- (c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

- (a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.
- (b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

52.236-12 CLEANING UP (APR 1984)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

52.236-13 ACCIDENT PREVENTION (NOV 1991)

- (a) The Contractor shall provide and maintain work environments and procedures which will
- (1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities;
- (2) avoid interruptions of Government operations and delays in project completion dates; and
- (3) control costs in the performance of this contract.
- (b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall-
- (1) Provide appropriate safety barricades, signs, and signal lights;
- (2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and
- (3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.
- (c) If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.
- (d) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.
- (e) The Contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontracts.

52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)

- (a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring materials, plant, and equipment). The schedule shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments until the Contractor submits the required schedule.
- (b) The Contractor shall enter the actual progress on the chart as directed by the Contracting Officer, and upon doing so shall immediately deliver three copies of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Government. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant,

and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.

(c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination, the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the default terms of this contract.

52.236-17 LAYOUT OF WORK (APR 1984)

The Contractor shall lay out its work from Government established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)

- (a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.
- (b) Wherever in the specifications or upon the drawings the words "directed", "required", "ordered", "designated", "prescribed", or words of like import are used, it shall be understood that the "direction", "requirement", "order", "designation", or "prescription", of the Contracting Officer is intended and similarly the words "approved", "acceptable", "satisfactory", or words of like import shall mean "approved by," or "acceptable to", or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.
- (c) Where "as shown," as indicated", "as detailed", or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed".
- (d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail (1) the proposed fabrication and assembly of structural elements, and (2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the contractor to explain in detail specific portions of the work required by the contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.
- (e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.

- (f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.
- (g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.

52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)

If the Contracting Officer decides to conduct a preconstruction conference, the successful offeror will be notified and will be required to attend. The Contracting Officer's notification will include specific details regarding the date, time, and location of the conference, any need for attendance by subcontractors, and information regarding the items to be discussed.

52.242-13 BANKRUPTCY. (JUL 1995)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail or electronic commerce method authorized by the contract, written notification of the bankruptcy to the Contracting Officer responsible for administering the contract. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

52.242-14 SUSPENSION OF WORK (APR 1984)

- (a) The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government.
- (b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract. (c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

52.243-4 CHANGES (AUG 1987)

- (a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract, including changes--
- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) In the Government-furnished facilities, equipment, materials, services, or site; or

- (4) Directing acceleration in the performance of the work.
- (b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating
- (1) the date, circumstances, and source of the order and
- (2) that the Contractor regards the order as a change order.
- (c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.
- (d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph (b) of this clause shall be made for any costs incurred more than 20 days before the Contractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.
- (e) The Contractor must assert its right to an adjustment under this clause within 30 days after
- (1) receipt of a written change order under paragraph (a) of this clause or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting to the Contracting Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph (b) above.
- (f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.
- 52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)
- (a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.
- (b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.
- (c) Government inspections and tests are for the sole benefit of the Government and do not--
- (1) Relieve the Contractor of responsibility for providing adequate quality control measures;
- (2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;
- (3) Constitute or imply acceptance; or
- (4) Affect the continuing rights of the Government after acceptance of the completed work under paragraph (i) of this section.
- (d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.
- (e) The Contractor shall promptly furnish, at no increase in contract price, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not

unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.

- (f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.
- (g) If the Contractor does not promptly replace or correct rejected work, the Government may (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor or (2) terminate for default the Contractor's right to proceed.
- (h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor, and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.
- (i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

52.246-21 WARRANTY OF CONSTRUCTION (APR 1984)

- (a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.
- (b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.
- (c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government owned or controlled real or personal property, when that damage is the result of
- (1) The Contractor's failure to conform to contract requirements; or
- (2) Any defect of equipment, material, workmanship, or design furnished.
- (d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.
- (e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.
- (f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.
- (g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall—
- (1) Obtain all warranties that would be given in normal commercial practice;
- (2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and
- (3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.
- (h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

- (i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.
- (j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.
- 52.248-3 VALUE ENGINEERING--CONSTRUCTION (MAR 1989)
- (a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any instant contract savings realized from accepted VECP's, in accordance with paragraph (f) below.
- (b) Definitions. "Collateral costs," as used in this clause, means agency costs of operation, maintenance, logistic support, or Government-furnished property.
- "Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.
- "Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.
- "Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistic support. The term does not include the normal administrative costs of processing the VECP.
- "Instant contract savings," as used in this clause, means the estimated reduction in Contractor cost of performance resulting from acceptance of the VECP, minus allowable Contractor's development and implementation costs, including subcontractors' development and implementation costs (see paragraph (h) below).
- "Value engineering change proposal (VECP)" means a proposal that--
- (1) Requires a change to this, the instant contract, to implement; and
- (2) Results in reducing the contract price or estimated cost without impairing essential functions or characteristics; provided, that it does not involve a change--
- (i) In deliverable end item quantities only; or
- (ii) To the contract type only.
- (c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in subparagraphs (1) through (7) below. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:
- (1) A description of the difference between the existing contract requirement and that proposed, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, and the effect of the change on the end item's performance.
- (2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.
- (3) A separate, detailed cost estimate for
- (i) the affected portions of the existing contract requirement and
- (ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under paragraph (h) below.
- (4) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.

- (5) A prediction of any effects the proposed change would have on collateral costs to the agency.
- (6) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.
- (7) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.
- (d) Submission. The Contractor shall submit VECP's to the Resident Engineer at the worksite, with a copy to the Contracting Officer.
- (e) Government action.
- (1) The Contracting Officer shall notify the Contractor of the status of the VECP within 45 calendar days after the contracting office receives it. If additional time is required, the Contracting Officer shall notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it shall not be liable for any delay in acting upon a VECP.

If the VECP is not accepted, the Contracting Officer shall notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.

Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause. The Contracting Officer may accept the VECP, even though an agreement on price reduction has not been reached, by issuing the Contractor a notice to proceed with the change. Until a notice to proceed is issued or a contract modification applies a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The Contracting Officer's decision to accept or reject all or part of any VECP shall be final and not subject to the Disputes clause or otherwise subject to litigation under the Contract Disputes Act of 1978 (41 U.S.C. 601-613).

- (f) Sharing.
- (1) Rates. The Government's share of savings is determined by subtracting Government costs from instant contract savings and multiplying the result by
- (i) 45 percent for fixed-price contracts or
- (ii) 75 percent for cost-reimbursement contracts.
- (2) Payment. Payment of any share due the Contractor for use of a VECP on this contract shall be authorized by a modification to this contract to--
- (i) Accept the VECP;
- (ii) Reduce the contract price or estimated cost by the amount of instant contract savings; and
- (iii) Provide the Contractor's share of savings by adding the amount calculated to the contract price or fee.
- (g) Collateral savings. If a VECP is accepted, the instant contract amount shall be increased by 20 percent of any projected collateral savings determined to be realized in a typical year of use after subtracting any Government costs not previously offset. However, the Contractor's share of collateral savings shall not exceed (1) the contract's firm-fixed-price or estimated cost, at the time the VECP is accepted, or (2) \$100,000, whichever is greater. The Contracting Officer shall be the sole determiner of the amount of collateral savings, and that amount shall not be subject to the Disputes clause or otherwise subject to litigation under 41 U.S.C. 601-613.
- (h) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$50,000 or more and may include one in subcontracts of lesser value. In computing any adjustment in this contract's price under paragraph (f) above, the Contractor's allowable development and implementation costs shall include any subcontractor's allowable development and implementation costs clearly resulting from a VECP accepted by the Government under this contract, but shall exclude any value engineering incentive payments to a subcontractor. The Contractor may choose any arrangement for subcontractor value engineering incentive payments;

provided, that these payments shall not reduce the Government's share of the savings resulting from the VECP.

- (i) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:
- "These data, furnished under the Value Engineering-- Construction clause of contract , shall not be disclosed outside the Government or duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations." If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)
- 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SEP 1996) ALTERNATE I (SEP 1996)
- (a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date.
- (b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:
- (1) Stop work as specified in the notice.
- (2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.
- (3) Terminate all subcontracts to the extent they relate to the work terminated.
- (4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.
- (5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.
- (6) As directed by the Contracting Officer, transfer title and deliver to the Government (i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and (ii) the completed or partially completed plans, drawings, information, and other property that, if the contract had been completed, would be required to be furnished to the Government.
- (7) Complete performance of the work not terminated.
- (8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.
- (9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b)(6) of this clause; provided, however, that the Contractor (i) is not required to extend credit to any purchaser and (ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.
- (c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.

- (d) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement
- (e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1-year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.
- (f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid or remaining to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (g) or paragraph (g) of this clause, exclusive of costs shown in subparagraph (g)(3) of this clause, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be modified, and the Contractor paid the agreed amount. Paragraph (g) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.
- (g) If the Contractor and Contracting Officer fail to agree on the whole amount to be paid the Contractor because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined as follows, but without duplication of any amounts agreed upon under paragraph (f) of this clause:
- (1) For contract work performed before the effective date of termination, the total (without duplication of any items) of--
- (i) The cost of this work;
- (ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (g)(1)(i) of this clause; and
- (iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss.
- (2) The reasonable costs of settlement of the work terminated, including--
- (i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;
- (ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and
- (iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.
- (h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.

- (i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.
- (j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal or request for equitable adjustment within the time provided in paragraph (e) or (l), respectively, and failed to request a time extension, there is no right of appeal.
- (k) In arriving at the amount due the Contractor under this clause, there shall be deducted--
- (1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;
- (2) Any claim which the Government has against the Contractor under this contract; and
- (3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.
- (1) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.
- (m)(1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.
- (2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.
- (n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

52.249-10 DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)

- (a) If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified in this contract including any extension, or fails to complete the work within this time, the Government may, by written notice to the Contractor, terminate the right to proceed with the work (or the separable part of the work) that has been delayed. In this event, the Government may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Government resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Government in completing the work.
- (b) The Contractor's right to proceed shall not be terminated nor the Contractor charged with damages under this clause, if—
- (1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include
- (i) acts of God or of the public enemy,

- (ii) acts of the Government in either its sovereign or contractual capacity,
- (iii) acts of another Contractor in the performance of a contract with the Government,
- (iv) fires,
- (v) floods,
- (vi) epidemics,
- (vii) quarantine restrictions,
- (viii) strikes,
- (ix) freight embargoes,
- (x) unusually severe weather, or delays of subcontractors or suppliers at any tier arising from unforeseeable causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and
- (2) The Contractor, within 10 days from the beginning of any delay (unless extended by the Contracting Officer), notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, the time for completing the work shall be extended. The findings of the Contracting Officer shall be final and conclusive on the parties, but subject to appeal under the Disputes clause.
- (c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Government.

The rights and remedies of the Government in this clause are in addition to any other rights and remedies provided by law or under this contract.

52.253-1 COMPUTER GENERATED FORMS (JAN 1991)

- (a) Any data required to be submitted on a Standard or Optional Form prescribed by the Federal Acquisition Regulation (FAR) may be submitted on a computer generated version of the form, provided there is no change to the name, content, or sequence of the data elements on the form, and provided the form carries the Standard or Optional Form number and edition date.
- (b) Unless prohibited by agency regulations, any data required to be submitted on an agency unique form prescribed by an agency supplement to the FAR may be submitted on a computer generated version of the form provided there is no change to the name, content, or sequence of the data elements on the form and provided the form carries the agency form number and edition date.
- (c) If the Contractor submits a computer generated version of a form that is different than the required form, then the rights and obligations of the parties will be determined based on the content of the required form.

252.201-7000 CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)

- (a) "Definition. Contracting officer's representative" means an individual designated in accordance with subsection 201.602-2 of the Defense Federal Acquisition Regulation Supplement and authorized in writing by the contracting officer to perform specific technical or administrative functions.
- (b) If the Contracting Officer designates a contracting officer's representative (COR), the Contractor will receive a copy of the written designation. It will specify the extent of the COR's authority to act on behalf of the contracting officer. The COR is not authorized to make any commitments or changes that will affect price, quality, quantity, delivery, or any other term or condition of the contract.

(End of clause)

252.203-7001 PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE-CONTRACT-RELATED FELONIES (MAR 1999)

- (a) Definitions. As used in this clause-
- (1) "Arising out of a contract with the DoD" means any act in connection with-
- (i) Attempting to obtain;
- (ii) Obtaining, or
- (iii) Performing a contract or first-tier subcontract of any agency, department, or component of the Department of Defense (DoD).
- (2) "Conviction of fraud or any other felony" means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of *nolo contendere*, for which sentence has been imposed.
- (3) "Date of conviction" means the date judgment was entered against the individual.
- (b) Any individual who is convicted after September 29, 1988, of fraud or any other felony arising out of a contract with the DoD is prohibited from serving--
- (1) In a management or supervisory capacity on any DoD contract or first-tier subcontract;
- (2) On the board of directors of any DoD contractor or first-tier subcontractor;
- (3) As a consultant, agent, or representative for any DoD contractor or first-tier subcontractor; or
- (4) In any other capacity with the authority to influence, advise, or control the decisions of any DoD contractor or subcontractor with regard to any DoD contract or first-tier subcontract.
- (c) Unless waived, the prohibition in paragraph (b) of this clause applies for not less than 5 years from the date of conviction.
- (d) 10 U.S.C. 2408 provides that a defense contractor or first-tier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly-
- (1) Employing a person under a prohibition specified in paragraph (b) of this clause; or
- (2) Allowing such a person to serve on the board of directors of the contractor or first-tier subcontractor.
- (e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as—
- (1) Suspension or debarment;
- (2) Cancellation of the contract at no cost to the Government; or
- (3) Termination of the contract for default.
- (f) The Contractor may submit written requests for waiver of the prohibition in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify—
- (1) The person involved;

- (2) The nature of the conviction and resultant sentence or punishment imposed;
- (3) The reasons for the requested waiver; and
- (4) An explanation of why a waiver is in the interest of national security.
- (g) The Contractor agrees to include the substance of this clause, appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation, except those for commercial items or components.
- (h) Pursuant to 10 U.S.C. 2408(c), defense contractors and subcontractors may obtain information as to whether a particular person has been convicted of fraud or any other felony arising out of a contract with the DoD by contacting The Office of Justice Programs, The Denial of Federal Benefits Office, U.S. Department of Justice, telephone (202) 616-3507.

(End of clause)

252.204-7000 DISCLOSURE OF INFORMATION (DEC 1991)

- (a) The Contractor shall not release to anyone outside the Contractor's organization any unclassified information, regardless of medium (e.g., film, tape, document), pertaining to any part of this contract or any program related to this contract, unless—
- (1) The Contracting Officer has given prior written approval; or
- (2) The information is otherwise in the public domain before the date of release.
- (b) Requests for approval shall identify the specific information to be released, the medium to be used, and the purpose for the release. The Contractor shall submit its request to the Contracting Officer at least 45 days before the proposed date for release.
- (c) The Contractor agrees to include a similar requirement in each subcontract under this contract. Subcontractors shall submit requests for authorization to release through the prime contractor to the Contracting Officer.

(End of clause)

252.204-7003 CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT (APR 1992)

The Contractor's procedures for protecting against unauthorized disclosure of information shall not require Department of Defense employees or members of the Armed Forces to relinquish control of their work products, whether classified or not, to the contractor.

(End of clause)

252.204-7004 REQUIRED CENTRAL CONTRACTOR REGISTRATION.(MAR 1998)

(a) Definitions.

As used in this clause--

- (1) Central Contractor Registration (CCR database means the primary DoD repository for contractor information required for the conduct of business with DoD.
- (2) Data Universal Numbering System (DUNS) number means the 9-digit number assigned by Dun and Bradstreet Information Services to identify unique business entities.
- (3) Data Universal Numbering System +4 (DUNS+4) number means the DUNS number assigned by Dun and Bradstreet plus a 4-digit suffix that may be assigned by a parent (controlling) business concern. This 4-digit suffix may be assigned at the discretion of the parent business concern for such purposes as identifying subunits or affiliates of the parent business concern.
- (4) Registered in the CCR database means that all mandatory information, including the DUNS number or the DUNS+4 number, if applicable, and the corresponding Commercial and Government

Entity (CAGE) code, is in the CCR database; the DUNS number and the CAGE code have been validated; and all edits have been successfully completed.

- (b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee must be registered in the CCR database prior to award, during performance, and through final payment of any contract resulting from this solicitation, except for awards to foreign vendors for work to be performed outside the United States.
- (2) The offeror shall provide its DUNS or, if applicable, its DUNS+4 number with its offer, which will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.
- (3) Lack of registration in the CCR database will make an offeror ineligible for award.
- (4) DoD has established a goal of registering an applicant in the CCR database within 48 hours after receipt of a complete and accurate application via the Internet. However, registration of an applicant submitting an application through a method other than the Internet may take up to 30 days. Therefore, offerors that are not registered should consider applying for registration immediately upon receipt of this solicitation.
- (c) The Contractor is responsible for the accuracy and completeness of the data within the CCR, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to confirm on an annual basis that its information in the CCR database is accurate and complete.
- (d) Offerors and contractors may obtain information on registration and annual confirmation requirements by calling 1-888-227-2423, or via the Internet at http://ccr.edi.disa.mil.

252.205-7000 PROVISION OF INFORMATION TO COOPERATIVE AGREEMENT HOLDERS (DEC 1991)

(a) Definition.

"Cooperative agreement holder" means a State or local government; a private, nonprofit organization; a tribal organization (as defined in section 4(c) of the Indian Self-Determination and Education Assistance Act (Pub. L. 93-268; 25 U.S.C. 450 (c))); or an economic enterprise (as defined in section 3(e) of the Indian Financing Act of 1974 (Pub. L. 93-362; 25 U.S.C. 1452(e))) whether such economic enterprise is organized for profit or nonprofit purposes; which has an agreement with the Defense Logistics Agency to furnish procurement technical assistance to business entities.

- (b) The Contractor shall provide cooperative agreement holders, upon their request, with a list of those appropriate employees or offices responsible for entering into subcontracts under defense contracts. The list shall include the business address, telephone number, and area of responsibility of each employee or office.
- (c) The Contractor need not provide the listing to a particular cooperative agreement holder more frequently than once a year.

(End of clause)

252.209-7000 ACQUISITION FROM SUBCONTRACTORS SUBJECT TO ONSITE INSPECTION UNDER THE INTERMEDIATE-RANGE NUCLEAR FORCES (INF) TREATY (NOV 1995)

- (a) The Contractor shall not deny consideration for a subcontract award under this contract to a potential subcontractor subject to on-site inspection under the INF Treaty, or a similar treaty, solely or in part because of the actual or potential presence of Soviet inspectors at the subcontractor's facility, unless the decision is approved by the Contracting Officer.
- (b) The Contractor shall incorporate this clause, including this paragraph (b), in all solicitations and contracts exceeding the simplified acquisition threshold in part 13 of the Federal Acquisition Regulation, except those for commercial items.

252.209-7003 COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (MAR 1998)

By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 37~U.S.C.~4212(d) (i.e., the VETS-100 report required by Federal Acquisition

Regulation clause 52.222-37, Employment Reports on Disabled Veterans and Veterans of the Vietnam Era), it has submitted the most recent report required by 38 U.S.C. 4212(d).

252.209-7004 SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

- (a) Unless the Government determines that there is a compelling reason to do so, the Contractor shall not enter into any subcontract in excess of \$25,000 with a firm, or subsidiary of a firm, that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country.
- (b) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country. The notice must include the name of the proposed subcontractor notwithstanding its inclusion on the List of Parties Excluded From Federal Procurement and Nonprocurement Programs.

252.219-7003 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS) (APR. 1996)

This clause supplements the Federal Acquisition Regulation 52.219-9, Small, Small Disadvantaged and Women-Owned Small Business Subcontracting Plan, clause of this contract.

(a) Definitions. Historically black colleges and universities, as used in this clause, means institutions determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

Minority institutions, as used in this clause, means institutions meeting the requirements of section 1046(3) of the Higher Education Act of 1965(20 U.S.C. 1135d-5(3)). The term also includes Hispanic-serving institutions as defined in section 316(b)(1) of such Act (20 U.S.C. 1059c(b)(1)).

- (b) Except for company or division-wide commercial items subcontracting plans, the term *small disadvantaged business*, when used in the FAR 52.219-9 clause, includes historically black colleges and universities and minority institutions, in addition to small disadvantaged business concerns.
- (c) Work under the contract or its subcontracts shall be credited toward meeting the small disadvantaged business concern goal required by paragraph (d) of the FAR 52.219-9 clause when:
- (1) It is performed on Indian lands or in joint venture with an Indian tribe or a tribally-owned corporation, and
- (2) It meets the requirements of 10 U.S.C. 2323a.
- (d) Subcontracts awarded to workshops approved by the Committee for Purchase from People Who are Blind or Severely Disabled (41 U.S.C. 46-48), may be counted toward the Contractor's small business subcontracting goal.
- (e) A mentor firm, under the Pilot Mentor-Protege Program established under Section 831 of Pub. L. 101-510, as amended, may count toward its small disadvantaged business goal, subcontracts awarded--
- (f) The master plan approval referred to in paragraph (f) of the FAR 52.219-9 clause is approval by the Contractor's cognizant contract administration activity.
- (g) In those subcontracting plans which specifically identify small, small disadvantaged, and women-owned small businesses, the Contractor shall notify the Administrative Contracting Officer of any substitutions of firms that are not small, small disadvantaged, or women-owned small businesses for the firms listed in the subcontracting plan. Notifications shall be in writing and shall occur within a reasonable period of time after award of the subcontract. Contractor-specified formats shall be acceptable.

(End of clause)

252.223-7004 DRUG-FREE WORK FORCE (SEP 1988)

- (a) Definitions.
- (1) "Employee in a sensitive position," as used in this clause, means an employee who has been granted access to classified information; or employees in other positions that the Contractor determines involve national security; health or safety, or functions other than the foregoing requiring a high degree of trust and confidence.
- (2) "Illegal drugs," as used in this clause, means controlled substances included in Schedules I and II, as defined by section 802(6) of title 21 of the United States Code, the possession of which is unlawful under chapter 13 of that Title. The term "illegal drugs" does not mean the use of a controlled substance pursuant to a valid prescription or other uses authorized by law.
- (b) The Contractor agrees to institute and maintain a program for achieving the objective of a drug-free work force. While this clause defines criteria for such a program, contractors are encouraged to implement alternative approaches comparable to the criteria in paragraph (c) that are designed to achieve the objectives of this clause.
- (c) Contractor programs shall include the following, or appropriate alternatives:
- (1) Employee assistance programs emphasizing high level direction, education, counseling, rehabilitation, and coordination with available community resources;
- (2) Supervisory training to assist in identifying and addressing illegal drug use by Contractor employees;
- (3) Provision for self-referrals as well as supervisory referrals to treatment with maximum respect for individual confidentiality consistent with safety and security issues;
- (4) Provision for identifying illegal drug users, including testing on a controlled and carefully monitored basis. Employee drug testing programs shall be established taking account of the following:
- (i) The Contractor shall establish a program that provides for testing for the use of illegal drugs by employees in sensitive positions. The extent of and criteria for such testing shall be determined by the Contractor based on considerations that include the nature of the work being performed under the contract, the employee's duties, and efficient use of Contractor resources, and the risks to health, safety, or national security that could result from the failure of an employee adequately to discharge his or her position.
- (ii) In addition, the Contractor may establish a program for employee drug testing-
- (A) When there is a reasonable suspicion that an employee uses illegal drugs; or
- (B) When an employees has been involved in an accident or unsafe practice;
- (C) As part of or as a follow-up to counseling or rehabilitation for illegal drug use;
- (D) As part of a voluntary employee drug testing program.
- (iii) The Contractor may establish a program to test applicants for employment for illegal drug
- (iv) For the purpose of administering this clause, testing for illegal drugs may be limited to those substances for which testing is prescribed by section 2..1 of subpart B of the "Mandatory Guidelines for Federal Workplace Drug Testing Programs" (53 FR 11980 (April 11, 1988), issued by the Department of Health and Human Services.
- (d) Contractors shall adopt appropriate personnel procedures to deal with employees who are found to be using drugs illegally. Contractors shall not allow any employee to remain on duty or perform in a sensitive position who is found to use illegal drugs until such times as the Contractor, in accordance with procedures established by the Contractor, determines that the employee may perform in such a position.
- (e) The provisions of this clause pertaining to drug testing program shall not apply to the extent that are inconsistent with state or local law, or with an existing collective bargaining agreement; provided that with respect to the latter, the Contractor agrees those issues that are in conflict will be a subject of negotiation at the next collective bargaining session.

(End of clause)

- 252.225-7012 PREFERENCE FOR CERTAIN DOMESTIC COMMODITIES. (MAY 1999)
- (a) The Contractor agrees to deliver under this contract only such of the following articles that have been grown, reprocessed, reused, or produced in the United States, its possessions, or Puerto Rico --
- (1) Food;
- (2) Clothing;
- (3) Tents, tarpaulins, or covers;
- (4) Cotton and other natural fiber products;
- (5) Woven silk or woven silk blends;
- (6) Spun silk yarn for cartridge cloth;
- (7) Synthetic fabric, and coated synthetic fabric, including all textile fibers and yarns that are for use in such fabrics;
- (8) Canvas products;
- (9) Wool (whether in the form of fiber or yarn or contained in fabrics, materials, or manufactured articles); or
- (10) Any item of individual equipment (Federal supply Classification 8465) manufactured from or containing such fibers, yarns, fabrics, or materials.
- (b) This clause does not apply --
- (1) To supplies listed in FAR section 25.108(d)(1), or other supplies for which the Government has determined that a satisfactory quality and sufficient quantity cannot be acquired as and when needed at U.S. market prices;
- (2) To foods which have been manufactured or processed in the United States, its possessions, or Puerto Rico;
- (3) To chemical warfare protective clothing produced in the countries listed in subsection 225.872-1 of the Defense FAR Supplement; or
- (4) To fibers and yarns that are for use in synthetic fabric or coated synthetic fabric (but does apply to the synthetic or coated synthetic fabric itself), if--
- (i) The fabric is to be used as a component of an end item that is not a textile product. Examples of textile products, made in whole or in part of fabric, include--
- (a) Draperies, floor coverings, furnishings, and bedding (Federal Supply Group 72, Household and Commercial Furnishings and Appliances);
- (B) Items made in whole or in part of fabric in Federal Supply Group 83, Textile/leather/furs/apparel/ findings/tents/flags, or Federal Supply Group 84, Clothing, Individual Equipment and Insignia;
- (C) Upholstered seats (whether for household, office, or other use); and
- (D) Parachutes (Federal Supply Class 1670); or
- (ii) The fibers and yarns are para-aramid fibers and yarns manufactured in the Netherlands.

(End of clause)

- 252.225-7031 SECONDARY ARAB BOYCOTT OF ISRAEL (JUN 1992)
- (a) Definitions. As used in this clause--
- (1) "Foreign person" means any person other than a United States person as defined in Section 16(2) of the Export Administration Act of 1979 (50 U.S.C. App. Sec 2415).
- (2) "United States person" is defined in Section 16(2) of the Export Administration Act of 1979 and means any United States resident or national (other than an individual resident outside the

United States and employed by other than a United States person), any domestic concern (including any permanent domestic establishment of any foreign concern), and any foreign subsidiary or affiliate (including any permanent foreign establishment) of any domestic concern which is controlled in fact by such domestic concerns, as determined under regulations of the President.

- (b) Certification. By submitting this offer, the Offeror, if a foreign person, company or entity, certifies that it--
- (1) Does not comply with the Secondary Arab Boycott of Israel; and
- (2) Is not taking or knowingly agreeing to take any action, with respect to the Secondary Boycott of Israel by Arab countries, which 50 U.S.C. App. Sec 2407(a) prohibits a United States person from taking.

(End of clause)

252.227-7033 RIGHTS IN SHOP DRAWINGS (APR 1966)

- (a) Shop drawings for construction means drawings, submitted to the Government by the Construction Contractor, subcontractor or any lower-tier subcontractor pursuant to a construction contract, showing in detail (i) the proposed fabrication and assembly of structural elements and (ii) the installation (i.e., form, fit, and attachment details) of materials or equipment. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.
- (b) This clause, including this paragraph (b), shall be included in all subcontracts hereunder at any tier.

252.231-7000 SUPPLEMENTAL COST PRINCIPLES (DEC 1991)

When the allowability of costs under this contract is determined in accordance with part 31 of the Federal Acquisition Regulation (FAR), allowability shall also be determined in accordance with part 231 of the Defense FAR Supplement, in effect on the date of this contract.

252.236-7000 MODIFICATION PROPOSALS - PRICE BREAKDOWN. (DEC 1991)

- (a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.
- (b) The price breakdown --
- (1) Must include sufficient detail to permit an analysis of profit, and of all costs for --
- (i) Material;
- (ii) Labor;
- (iii) Equipment;
- (iv) Subcontracts; and
- (v) Overhead; and
- (2) Must cover all work involved in the modification, whether the work was deleted, added, or changed.
- (c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.
- (d) The Contractor's proposal shall include a justification for any time extension proposed.

252.236-7002 OBSTRUCTION OF NAVIGABLE WATERWAYS. (DEC 1991)

- (a) The Contractor shall --
- (1) Promptly recover and remove any material, plant, machinery, or appliance which the contractor loses, dumps, throws overboard, sinks, or misplaces, and which, in the opinion of the Contracting Officer, may be dangerous to or obstruct navigation;

- (2) Give immediate notice, with description and locations of any such obstructions, to the Contracting Officer; and
- (3) When required by the Contracting Officer, mark or buoy such obstructions until the same are removed.
- (b) The Contracting Officer may --
- (1) Remove the obstructions by contract or otherwise should the Contractor refuse, neglect, or delay compliance with paragraph (a) of this clause; and
- (2) Deduct the cost of removal from any monies due or to become due to the Contractor; or
- (3) Recover the cost of removal under the Contractor's bond.
- (c) The Contractor's liability for the removal of a vessel wrecked or sunk without fault or negligence is limited to that provided in sections 15, 19, and 20 of the River and Harbor Act of March 3, 1899 (33 U.S.C. 410 et. seq.).
- 252.236-7008 CONTRACT PRICES BIDDING SCHEDULES. (DEC 1991)
- (a) The Government's payment for the items listed in the Bidding Schedule shall constitute full compensation to the Contractor for --
- (1) Furnishing all plant, labor, equipment, appliances, and materials; and
- (2) Performing all operations required to complete the work in conformity with the drawings and specifications.
- (b) The Contractor shall include in the prices for the items listed in the Bidding Schedule all costs for work in the specifications, whether or not specifically listed in the Bidding Schedule.
- 252.242-7000 POSTAWARD CONFERENCE (DEC 1991)

The Contractor agrees to attend any postaward conference convened by the contracting activity or contract administration office in accordance with Federal Acquisition Regulation subpart 42.5.

(End of clause)

252.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)

When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR part 31 and DFARS part 231, in effect on the date of this contract, apply.

252.243-7002 REQUESTS FOR EQUITABLE ADJUSTMENT (MAR 1998)

- (a) The amount of any request for equitable adjustment to contract terms shall accurately reflect the contract adjustment for which the Contractor believes the Government is liable. The request shall include only costs for performing the change, and shall not include any costs that already have been reimbursed or that have been separately claimed. All indirect costs included in the request shall be properly allocable to the change in accordance with applicable acquisition regulations.
- (b) In accordance with 10 U.S.C. 2410(a), any request for equitable adjustment to contract terms that exceeds the simplified acquisition threshold shall bear, at the time of submission, the following certificate executed by an individual authorized to certify the request on behalf of the Contractor:

I certify that the request is made in good faith, and that the supporting data are accurate and complete to the best of my knowledge and belief.

(Official's	,			
(Title)		 	 	

- (c) The certification in paragraph (b) of this clause requires full disclosure of all relevant facts, including--
- (1) Cost or pricing data if required in accordance with subsection 15.403-4 of the Federal Acquisition Regulation (FAR); and
- (2) Information other than cost or pricing data, in accordance with subsection 15.403-3 of the FAR, including actual cost data and data to support any estimated costs, even if cost or pricing data are not required.
- (d) The certification requirement in paragraph (b) of this clause does not apply to----
- (1) Requests for routine contract payments; for example, requests for payment for accepted supplies and services, routine vouchers under a cost-reimbursement type contract, or progress payment invoices; or
- (2) Final adjustment under an incentive provision of the contract.
- 252.247-7023 TRANSPORTATION OF SUPPLIES BY SEA (NOV 1995)
- (a) Definitions. As used in this clause --
- (1) "Components" means articles, materials, and supplies incorporated directly into end products at any level of manufacture, fabrication, or assembly by the Contractor or any subcontractor.
- (2) "Department of Defense" (DoD) means the Army, Navy, Air Force, Marine Corps, and defense agencies.
- (3) "Foreign flag vessel" means any vessel that is not a U.S.-flag vessel.
- (4) "Ocean transportation" means any transportation aboard a ship, vessel, boat, barge, or ferry through international waters.
- (5) "Subcontractor" means a supplier, materialman, distributor, or vendor at any level below the prime contractor whose contractual obligation to perform results from, or is conditioned upon, award of the prime contract and who is performing any part of the work or other requirement of the prime contract. However, effective May 1, 1996, the term does not include a supplier, materialman, distributor, or vendor of commercial items or commercial components.
- (6) "Supplies" means all property, except land and interests in land, that is clearly identifiable for eventual use by or owned by the DoD at the time of transportation by sea.
- (i) An item is clearly identifiable for eventual use by the DoD if, for example, the contract documentation contains a reference to a DoD contract number or a military destination.
- (ii) "Supplies" includes (but is not limited to) public works; buildings and facilities; ships; floating equipment and vessels of every character, type, and description, with parts, subassemblies, accessories, and equipment; machine tools; material; equipment; stores of all kinds; end items; construction materials; and components of the foregoing.
- (7) "U.S.-flag vessel" means a vessel of the United States or belonging to the United States, including any vessel registered or having national status under the laws of the United States.
- (b) The Contractor shall employ U.S.-flag vessels in the transportation by sea of any supplies to be furnished in the performance of this contract. The Contractor and its subcontractors may request that the Contracting Officer authorize shipment in foreign-flag vessels, or designate available U.S.-flag vessels, if the Contractor or a subcontractor believes that --
- (1) U.S.-flag vessels are not available for timely shipment;
- (2) The freight charges are inordinately excessive or unreasonable; or
- (3) Freight charges are higher than charges to private persons for transportation of like goods.
- (c) The Contractor must submit any request for use of other than U.S.-flag vessels in writing to the Contracting Officer at least 45 days prior to the sailing date necessary to meet its delivery schedules. The Contracting Officer will process requests submitted after such date(s) as expeditiously as possible, but the Contracting Officer's failure to grant approvals to meet the shipper's sailing date will not of itself constitute a compensable delay under this or any other clause of this contract. Requests shall contain at a minimum --

- (1) Type, weight, and cube of cargo;
- (2) Required shipping date;
- (3) Special handling and discharge requirements;
- (4) Loading and discharge points;
- (5) Name of shipper and consignee;
- (6) Prime contract number; and
- (7) A documented description of efforts made to secure U.S.-flag vessels, including points of contact (with names and telephone numbers) with at least two U.S.-flag carriers contacted. Copies of telephone notes, telegraphic and facsimile message or letters will be sufficient for this purpose.
- (d) The Contractor shall, within 30 days after each shipment covered by this clause, provide the Contracting Officer and the Division of National Cargo, Office of Market Development, Maritime Administration, U.S. Department of Transportation, Washington, DC 20590, one copy of the rated on board vessel operating carrier's ocean bill of lading, which shall contain the following information --
- (1) Prime contract number;
- (2) Name of vessel;
- (3) Vessel flag of registry;
- (4) Date of loading;
- (5) Port of loading;
- (6) Port of final discharge;
- (7) Description of commodity;
- (8) Gross weight in pounds and cubic feet if available;
- (9) Total ocean freight in U.S. dollars; and
- (10) Name of the steamship company.
- (e) The Contractor agrees to provide with its final invoice under this contract a representation that to the best of its knowledge and belief --
- (1) No ocean transportation was used in the performance of this contract;
- (2) Ocean transportation was used and only U.S.-flag vessels were used for all ocean shipments under the contract;
- (3) Ocean transportation was used, and the Contractor had the written consent of the Contracting Officer for all non-U.S.-flag ocean transportation; or
- (4) Ocean transportation was used and some or all of the shipments were made on non-U.S.-flag vessels without the written consent of the Contracting Officer. The Contractor shall describe these shipments in the following format:

ITEM	CONTRACT	QUANTITY
DESCRIPTION	LINE ITEMS	

(f) If the final invoice does not include the required representation, the Government will reject and return it to the Contractor as an improper invoice for the purposes of the Prompt Payment clause of this contract. In the event there has been unauthorized use of non-U.S.-flag vessels in the performance of this contract, the Contracting Officer is entitled to equitably adjust the contract, based on the unauthorized use.

(g) The Contractor shall include this clause, including this paragraph (g) in all subcontracts under this contract, which exceed the small purchase limitation of section 13.000 of the Federal Acquisition Regulation.

252.247-7024 NOTIFICATION OF TRANSPORTATION OF SUPPLIES BY SEA (NOV 1995)

- (a) The Contractor has indicated by the response to the solicitation provision, Representation of Extent of Transportation by Sea, that it did not anticipate transporting by sea any supplies. If, however, after the award of this contract, the Contractor learns that supplies, as defined in the Transportation of Supplies by Sea clause of this contract, will be transported by sea, the Contractor --
- (1) Shall notify the Contracting Officer of that fact; and
- (2) Hereby agrees to comply with all the terms and conditions of the Transportation of Supplies by Sea clause of this contract.
- (b) The Contractor shall include this clause, including this paragraph (b), revised as necessary to reflect the relationship of the contracting parties, in all subcontracts hereunder, except (effective May 1, 1996) subcontracts for the acquisition of commercial items or components.

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SPECIAL CLAUSES

SC-1 COMMENCEMENT, PROSECUTION, AND COMPLETION OF WORK (APR 1984)

The Contractor shall be required to (a) commence work under this contract within 10 calendar days after the Contractor receives the notice to proceed, (b) prosecute the work diligently, and (c) complete the entire work ready for use not later than 450 calendar days after the date the Contractor receives the notice to proceed. The time stated for completion shall include final cleanup of the premises. (FAR 52.211-10)

Access Limits--The Contractor shall refer to specification Section 01010, "SUMMARY OF WORK," for details on the phasing of work, access limits, coordination with Port officials, channel traffic and potential work interruptions.

- SC-2 LIQUIDATED DAMAGES CONSTRUCTION (APR 1984)
 - a. If the Contractor fails to complete the work within the time specified in the contract, or any extensions thereof, the Contractor shall pay to the Government as liquidated damages, the sum of \$1,705 for each calendar day of delay.
 - b. If the Government terminates the Contractor's right to proceed, the resulting damage will consist of liquidated damages until such reasonable time as may be required for final completion of the work together with any increased costs occasioned the Government in completing the work.
 - c. If the Government does not terminate the Contractor's right to proceed, the resulting damage will consist of liquidated damages until the work is completed or accepted. (FAR 52.211-12)
- SC-3 CONTINUING CONTRACTS (MARCH 1995 EFARS)
 - a. This is a continuing contract, as authorized by Section 10 of the River and Harbor Act of September 22, 1922 (33 U.S. Code 621). The payment of some portion of the contract price is dependent upon reservations of funds from future appropriations, and from future contribution to the project having one or more non-federal project sponsors. The responsibilities of the Government are limited by this clause notwithstanding any contrary provision of the "Payments to Contractor" clause or any other clause of this contract.
 - b. The sum of \$2,500,000 has been reserved for this contract and is available for payments to the Contractor during the current fiscal year. It is expected that Congress will make appropriations for future fiscal years from which additional funds together with funds provided by one or more non-federal project sponsors will be reserved for this contract.
 - c. Failure to make payments in excess of the amount currently reserved, or that may be reserved from time to time, shall not entitle the Contractor to a price adjustment under the terms of this contract except as specifically provided in paragraphs f and i below. No such failure shall constitute a breach of this contract, except that this provision shall not bar a breach-of-contract action if an amount finally determined to be due as a termination allowance remains unpaid for one year due solely to a failure

to reserve sufficient additional funds therefore.

- d. The Government may at any time reserve additional funds for payments under the contract if there are funds available for such purpose. The Contracting Officer will promptly notify the Contractor of any additional funds reserved for the contract by issuing an administrative modification to the contract.
- e. If earnings will be such that funds reserved for the contract will be exhausted before the end of any fiscal year, the Contractor shall give written notice to the Contracting Officer of the estimated date of exhaustion and the amount of additional funds which will be needed to meet payments due or to become due under the contract during that fiscal year. This notice shall be given not less than 45 nor more than 60 days prior to the estimated date of exhaustion.
- f. No payments will be made after exhaustion of funds except to the extent that additional funds are reserved for the contract. The Contractor shall be entitled to simple interest on any payment that the Contracting Officer determines was actually earned under the terms of the contract and would have been made except for exhaustion of funds. Interest shall be computed from the time such payment would otherwise have been made until actually or constructively made, and shall be at the rate established by the Secretary of the Treasury pursuant to Public Law 92-41, 85 STAT 97, as in effect on the first day of the delay in such payment.
- g. Any suspension, delay, or interruption of work arising from exhaustion or anticipated exhaustion of funds shall not constitute a breach of this contract and shall not entitle the Contractor to any price adjustment under the "Suspension of Work" clause or in any other manner under this contract.
- h. An equitable adjustment in performance time shall be made for any increase in the time required for performance of any part of the work arising from exhaustion of funds or the reasonable anticipation of exhaustion of funds.
- i. If, upon the expiration of sixty (60) days after the beginning of the fiscal year following an exhaustion of funds, the Government has failed to reserve sufficient additional funds to cover payments otherwise due, the Contractor, by written notice delivered to the Contracting Officer at any time before such additional funds are reserved, may elect to treat his right to proceed with the work as having been terminated. Such a termination shall be considered a termination for the convenience of the Government.
- j. If at any time it becomes apparent that the funds reserved for any fiscal year are in excess of the funds required to meet all payments due or to become due the Contractor because of work performed and to be performed under the contract during the fiscal year, the Government reserves the right, after notice to the Contractor, to reduce said reservation by the amount of such excess. (EFAR 52.232-5000)
- SC-4 CONTRACT DRAWINGS, MAPS AND SPECIFICATIONS (DEC 1991)
 - a. The Contractor shall -
 - (1) Check all drawings furnished on the Government-provided compact disc, immediately upon receipt;
 - (2) Compare all drawings and verify the figures before laying out the work;

- (3) Promptly notify the Contracting Officer of any discrepancies; and
- (4) Be responsible for any errors which might have been avoided by complying with this paragraph (a).
- b. Large scale drawings shall, in general, govern small scale drawings. Figures marked on drawings shall, in general, be followed in preference to scale measurements.
- c. Omissions from the drawings or specifications or the misdescription of details of work which are manifestly necessary to carry out the intent of the drawings and specifications, or which are customarily performed, shall not relieve the contractor from performing such omitted or misdescribed details of the work, but shall be performed as if fully and correctly set forth and described in the drawings and specifications.
- d. The work shall conform to the specifications and the contract drawings identified on the following, all of which are available in the office of the District Engineer, U.S. Army Engineer District, Philadelphia, Room 643, Wanamaker Building, 100 Penn Square East, Philadelphia, PA 19107. Drawings are titled: "Rehabilitation of Wilmington Harbor South Jetty, Wilmington Harbor, Christina River, Delaware," and have the following drawing numbers, subtitles, and dates. (DFARS 252.236-7001)

			Latest
Drawing No.	Subtitle	Date	Revision Date
000GKP01	Vicinity Map, Location Map, List of Drawings, List of Abbreviations	5 June 2000	None
000CSP01	Site Plan - Existing Conditions	5 June 2000	None
000CSP02	Site Plan - Proposed Rehabilitation	5 June 2000	None
000SSC01	Sections - Proposed Rehabilitation	5 June 2000	None
000SSCO2	Sections & Details - Proposed Rehabilitation	5 June 2000	None
000CDT01	Details - Proposed Rehabilitation, Articulating Concrete Revetment	5 June 2000	None
000BLB01	Test Boring Logs - Test Borings YWB-01 and YWB-02	5 June 2000	None
000BLB02	Test Boring Logs - Test Borings YWB-03 and YWB-04	5 June 2000	None
000BLB03	Test Boring Log - Test Boring YWB-05	5 June 2000	None

There are eight additional drawings listed on Drawing No. 000GKP01 and included in the package for information purposes only.

SC-5 PHYSICAL DATA (APR 1984)

Data and information furnished or referred to below is for the Contractor's information. The Government shall not be responsible for any interpretation of or conclusion drawn from the data or information by the Contractor. (FAR 52.236-4)

- a. The indications of physical conditions on the drawings and in the specifications are the result of site investigations conducted during the period 21 September 1998 to 7 December 1999.
- b. Weather Conditions. The climate of the area is referred to as "continental" by climatologists, characterized by cold winters and

moderately hot summers. Complete weather records and reports may be obtained from the local U.S. Weather Bureau Office nearest to the work site. The Contractor shall satisfy himself as to the hazards likely to arise from weather conditions during the construction period.

- c. Transportation Facilities. The work site is accessible by land via Interstate 495 to Terminal Avenue and by water via the Delaware River. The Contractor shall be responsible for all investigations of load carrying capacities of bridges and roadways.
- d. Tide Data. The mean range of tides in the Delaware River opposite the mouth of the Christina River is approximately 5.6 feet. The elevation of mean low water is +0.76 feet above the Corps of Engineers Christina River Datum.
- e. Survey Controls. Survey control description sheets are attached hereto as Section 00845 of this contract.
- f. Magnitude of the Contract Work. The estimated value of the contract work is between \$1,000,000 and \$5,000,000.
- g. Navigation Aids. The Contractor shall not relocate or move any aids to navigation that have been established by the U.S. Coast Guard. If it becomes necessary to have any aid to navigation moved in order to complete construction operations under this contract, the Contractor shall notify the U.S. Coast Guard at least 15 days prior to the desired date for movement of the aid. All requests shall be made in writing to: Commander (OAN), Fifth Coast Guard District, 431 Crawford Street, Portsmouth, VA 23704. A copy of each request shall be furnished to the Contracting Officer.
- h. Protection of Existing Utilities. The Contractor shall be responsible to contact all utility companies that may have subsurface installations in the work area in order to have their facilities marked out, prior to commencing work. The Contractor shall provide any temporary protection necessary to maintain the existing utilities during construction operations.

SC-6 DAMAGE TO WORK

The responsibility for damage to any part of the permanent work shall be as set forth in the clause of the contract entitled "Permits and Responsibilities". However, if, in the judgement of the Contracting Officer, any part of the permanent work performed by the Contractor is damaged by flood or hurricane, which damage is not due to the failure of the Contractor to take reasonable precautions or to exercise sound engineering and construction practices in the conduct of the work, the Contractor shall make the repairs as ordered by the Contracting Officer and full compensation for such repairs will be made at the applicable contract unit or lump sum prices as fixed and established in the contract. If, in the opinion of the Contracting Officer, there are no contract unit or lump sum prices applicable to any part of such work, and equitable adjustment pursuant to Contract Clause entitled "Changes," will be made as full compensation for the repairs of that part of the permanent work for which there are no applicable contract unit or lump sum prices. Except as herein provided, damages to all work (including temporary construction), utilities, materials, equipment and plant shall be repaired to the satisfaction of the Contracting Officer at the Contractor's expense regardless of the cause of such damage. (CENAP)

SC-7 PERFORMANCE OF WORK BY THE CONTRACTOR (APR 1984)

The Contractor shall perform on the site, and with its own organization, work equivalent to at least twenty (20) percent of the total amount of work to be performed under the contract. This percentage may be reduced by a supplemental agreement to this contract if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the Government. (FAR 52.236-1)

SC-8 ENVIRONMENTAL LITIGATION (1974 NOV OCE)

- a. If the performance of all or any part of the work is suspended, delayed, or interrupted due to an order of a court of competent jurisdiction as a result of environmental litigation, as defined below, the Contracting Officer, at the request of the Contractor, shall determine whether the order is due in any part to the acts or omissions of the Contractor or a Subcontractor at any tier not required by the terms of the contract. If it is determined that the order is not due in any part to acts or omissions of the Contractor or a Subcontractor at any tier other than as required by the terms of this contract, such suspension, delay, or interruption shall be considered as if ordered by the Contracting Officer in the administration of this contract under the terms of the SUSPENSION OF WORK clause of this contract. The period of such suspension, delay, or interruption shall be considered unreasonable, and an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) as provided in that clause, subject to all the provisions thereof.
- b. The term "environmental litigation", as used herein, means a lawsuit alleging that the work will have an adverse effect on the environment or that the Government has not duly considered, either substantively or procedurally, the effect of the work on the environment. (CENAP)

SC-9 SIGNAL LIGHTS (FEB 1983)

The Contractor shall display signal lights and conduct his operations in accordance with the General Regulations of the Coast Guard governing lights and day signals to be displayed by towing vessels with tows on which no signals can be displayed, vessels working on wrecks, dredges, and vessels engaged in laying cables or pipe or in submarine or bank protection operations, lights to be displayed on dredge pipe lines, and day signals to be displayed by vessels of more than 65 feet in length moored or anchored in a fairway or channel, and the passing by other vessels of floating plant working navigable channels, as approved by the Commandant, U.S. Coast Guard with respect to vessels on the high seas (33 CFR 81 App. A), vessels in inland waters (37 CFR 84 and 33 CFR 88), as applicable. (DAEN-PRP Ind dtd 12 Sep 83)

SC-10 QUANTITY SURVEYS (APR 1984)

- a. Quantity surveys shall be conducted, and the data derived from these surveys shall be used in computing the quantities of work performed and the actual construction completed and in place.
- b. The Government shall conduct the original and final surveys and make the computations based on them. The Contractor shall conduct the surveys for any periods for which progress payments are requested and shall make the computations based on these surveys. All surveys conducted by the Contractor shall be conducted under the direction of a representative of the Contracting Officer, unless the Contracting Officer waives this requirement in a specific instance.

- c. Promptly upon completing a survey, the Contractor shall furnish the originals of all field notes and all other records relating to the survey or to the layout of the work to the Contracting Officer, who shall use them as necessary to determine the amount of progress payments. The Contractor shall retain copies of all such material furnished to the Contracting Officer. (FAR 52.236-16)
- SC-11 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE (MAR 1995)
 - a. This clause does not apply to terminations. See 52.231-5001, Basis for Settlement of Proposals, and FAR Part 49.
 - b. Allowable cost for construction and marine plant and equipment in sound workable condition owned or controlled and furnished by the Contractor or sub-contractor at any tier shall be based on actual cost data for each piece of equipment or groups of similar serial or series for which the Government can determine both ownership and operating costs from the Contractor's accounting records. When both ownership and operating costs cannot be determined for any piece of equipment from the Contractor's accounting records, costs for the equipment shall be based upon the applicable provisions of EP 1110-1-8, "Construction Equipment Ownership and Operating Expense Schedule, "Region I. Working conditions shall be considered to be average for determining equipment rates using the schedule unless specified otherwise by the Contracting Officer. For equipment not included in the schedule, rates for comparable pieces of equipment may be used or a rate may be developed using the formula provided in the schedule. For forward pricing, the schedule in effect at the time of negotiations shall apply. For retrospective pricing, the schedule in effect as of the time work was performed shall apply.
 - c. Equipment rental costs are allowable, subject to the provisions of FAR 31.205(d)(ii) and FAR 31.205-36. Rates for equipment rented from an organization under common control, lease-purchase arrangements, and sale-leaseback arrangements, will be determined using the schedule, except that actual rates will be used for equipment leased from an organization under common control that has an established practice of leasing the same or similar equipment to unaffiliated lessees.
 - d. When actual equipment costs are proposed and the total amount of the pricing action exceeds the small purchase threshold, the contracting officer shall request the contractor to submit either certified cost or pricing data, or partial/limited data, as appropriate. This data shall be submitted on Standard Form 1411, "Contract Pricing Proposal Cover Sheet." (EFARS 52.231-5000)
 - Note #1: The small purchase threshold is \$100,000.
 - Note #2: By submitting cost or pricing data, the Contractor grants to the Contracting Officer or an authorizing representative the right to examine those books, records, documents and other supporting data that will permit evaluation of the proposed equipment costs. This right shall extend for two years after expiration of contract performance. After price agreement the Contractor shall certify that the equipment costs or pricing data submitted are accurate, complete and current.

SC-12 CERTIFICATES OF COMPLIANCE

Any certificates required for demonstrating proof of compliance of

materials with specifications requirements shall be executed in triplicate copies. Each certificate shall be signed by an official authorized to certify on behalf of the manufacturing company and shall contain the name and address of the Contractor, the project name and location, and the quantity and date or dates of shipment or delivery to which the certificates apply. Copies of laboratory test reports submitted with certificates shall contain the name and address of the testing laboratory and the date or dates of the tests to which the report applies. Certification shall not be construed as relieving the Contractor from furnishing satisfactory material, if, after tests are performed on selected samples, the material is found not to meet the specific requirements. (CENAP)

SC-13 PERFORMANCE EVALUATION OF CONTRACTOR

- a. As a minimum, the Contractor's performance will be evaluated upon final acceptance of the work. However, interim evaluation may be prepared at any time during contract performance when determined to be in the best interest of the Government.
- b. The format for the evaluation will be DD 2626, and the Contractor will be rated either outstanding, satisfactory, or unsatisfactory in the areas of Contractor Quality Control, Timely Performance, Effectiveness of Management, Compliance with Labor Standards, and Compliance with Safety Standards. The Contractor will be advised of any unsatisfactory rating, either in an individual element or in the overall rating, prior to completing the evaluation, and all Contractor comments will be made a part of the official record. Performance Evaluation Reports will be available to all DOD Contracting offices for their future use in determining Contractor responsibility, in compliance with DFARS 236.201(c)(1). (CENAP)

SC-14 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER (OCT 1989)

- a. This clause specifies the procedure for determination of time extensions for unusually severe weather in accordance with the Contract Clause entitled: "Default (Fixed-Price Construction)". In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:
 - (1) The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.
 - (2) The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the Contractor.
- b. The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The Contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities. For the purpose of this contract, unusually severe weather is defined as daily precipitation equal to or exceeding 0.5 inches and/or maximum daily temperature not exceeding 32 degrees F.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY WORK DAYS BASED ON (7) DAY WORK WEEK

MAR APR MAY JUN JUL AUG SEP OCT NOV DEC JAN FEB 2 3 2 3 2. 2 2. 2. 2. 4

c. Upon acknowledgment of the Notice to Proceed (NTP) and continuing throughout the contract, the Contractor shall record on the daily CQC report, the occurrence of adverse weather and resultant impact to normal scheduled work. Actual adverse weather days must prevent work on critical activities for 50 percent or more of the Contractor's scheduled work day. The number of actual adverse weather delay days shall include days impacted by actual adverse weather (even if adverse weather occurred in previous month), be calculated chronologically from the first to the last day of each month, and be recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph b above, the Contracting Officer will convert any qualifying delays to calendar days, giving full consideration for equivalent fair weather work days, and issue a modification in accordance with the contract clause entitled: "Default (Fixed Price Construction)". (ER 415-1-15)

SC-15 INSURANCE REQUIREMENTS

Evidence of the following insurance shall be provided to the Contracting Officer prior to commencement of work and shall be maintained throughout the period of performance:

- a. General Liability Insurance (Comprehensive form of policy): Bodily Injury Liability \$500,000 per occurrence.
- b. Automobile Liability Insurance (Comprehensive form of policy): Bodily Injury Liability \$200,000 per person and \$500,000 per accident. Property Damage Liability \$20,000 per accident.
- c. Workmen's Compensation and Employer's Liability Insurance: Compliance with applicable workmen's compensation and occupational disease statutes is required. Employer's liability coverage in the minimum amount of \$100,000 is also required.

SC-16 YEAR 2000 COMPLIANCE

a. In accordance with FAR 39.106, the contractor shall ensure that with respect to any design, construction, goods, or services under this contract as well as any subsequent task/delivery orders issued under this contract (if applicable), all information technology contained therein shall be Year 2000 compliant.

b. The contractor shall:

- (1) Perform, maintain, and provide an inventory of all major components to include structures, equipment, items, parts, and furnishings under this contract and each task/delivery order which may be affected by the Y2K compliance requirement.
- (2) Indicate whether each component is currently Year 2000 compliant or requires an upgrade for compliance prior to government acceptance.

-- End of Special Clauses --

SECTION 00805-1

CONTRACT ADMINISTRATION DATA

G.1 ACCOUNTING AND APPROPRIATION DATA: TO BE FURNISHED AT TIME OF AWARD

G.2 CONTRACT ADMINISTRATION IS RETAINED BY THE CONTRACTING OFFICER:

US Army Engineer District, Philadelphia ATTN: CENAP-CT-C (David Dilks) Wanamaker Building, 100 Penn Square East Philadelphia, Pennsylvania 19107-3390 (215) 656-6773

G.3 PAYMENT BY:

US Army Corps of Engineers Finance Center 5720 Integrity Drive Millington, TN 38054-5005

G.4 BILLING ADDRESS:

Invoices shall be forwarded as follows:

US Army Corps of Engineers, PHILADELPHIA ATTN: CENAP-OP-T WANAMAKER BUILDING, 100 PENN SQUARE EAST PHILADELPHIA, PENNSYLVANIA 19107-3390

G.5 TECHNICAL INQUIRIES:

All technical inquiries should be directed to: Pre-award: Dwight Pakan – 215-656-6785

Post-award: David Olson (Operations Division) – 215-656-6750

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WAGE RATES

The work to be performed $% \frac{1}{2}\left(\frac{1}{2}\right) =\frac{1}{2}\left(\frac{1}{2}\right) =\frac$

under this contract is

classified as

HEAVY CONSTRUCTION

General Decision Number DE000005

General Decision Number DE000005

General Decision Number DE000005 Superseded General Decision No. DE990005 State: DELAWARE Construction Type: **HEAVY** County(ies): STATEWIDE HEAVY CONSTRUCTION PROJECTS Modification Number Publication Date 02/11/2000 0 02/18/2000 1 03/17/2000 COUNTY(ies): STATEWIDE CARP0454C 07/01/1999 Rates Fringes NEW CASTLE AND KENT COUNTIES 15.79+a PILDRIVERMEN 25.00 FOOTNOTE FOR PILEDRIVERMEN: a. PAID HOLIDAYS: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving Day; Christmas Day and Washington's Birthday. CARP0626B 05/01/1999 Rates Fringes NEW CASTLE AND KENT COUNTIES 25.47 CARPENTERS 6.95 ______ CARP1545B 05/01/1998 Rates Fringes KENT & NEW CASTLE COUNTIES MILLWRIGHTS 27.41 CARP2012B 05/01/1999 Rates Fringes SUSSEX COUNTY CARPENTERS 18.94 6.64 20.69 6.64 MILLWRIGHTS PILEDRIVERMEN 19.94 6.64 ELEC0126F 12/01/1998 Rates Fringes LINE CONSTRUCTION: 28.18 2.30+14.75% 19.73 2.30+14.75% Linemen; cable splicers Winch truck operators Truck drivers 18.32 2.30+14.75% 16.91 2.30+14.75% Groundmen

ELEC0313B	12/01/1996
LLLCOSISD	12/01/100

ELECTRICIANS	Rates 22.37	Fringes 49.33%
ENGI0542F 05/01/1999	Rates	Fringes
POWER EQUIPMENT OPERATORS:		
GROUP 1	22.45	A+B
GROUP 2	22.15	A+B
GROUP 3	20.19	A+B
GROUP 4	19.86	A+B
GROUP 5	18.01	A+B
GROUP 6	17.49	A+B
בטטעבט בטווטשבאיי טוו	ZD V TUDC •	

- FOOTNOTE FOR POWER EQUIPMENT OPERATORS:
 - A. PAID HOLIDAYS: New Year's Day, Memorial Day; Independence Day; Labor Day; Thanksgiving Day; Christmas Day, and Election Day; Provided the employee works the scheduled work day before and after the scheduled work day following the holiday.
 - B. 29.5% + \$.4.20.
 - ***TOXIC/HAZARDOUS WAST REMOVAL** Add 20 per cent to basic hourly ratge for all classifications

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Handling steel and stone in connection with erection; Cranes doing hook work, and Machine handling machinery, Cable Spinning Machines, Helicopters, Concrete pumps, Machines similar to the above including remote control equipment.

GROUP 2: All Types of Cranes, All Types of Backhoes, Cableways, Conveyor Loaders, Draglines, Keystones, All Types of Shovels, Derricks, Trench Shovels, Trenching Machine, Pippin Type Backhoe, Hoist with Two Towers, Pavers 12E and over (Concrete and Blacktop, All Types Overhead Cranes, Builling Hoists -Double Drum (Unless Used as Single Drum), Milling Machine, Mucking Machines in Tunnel, Gradalls, Front End Loaders, Boat Captain, Tandem Scrapers, Tower Type Crane Operation, Erecting, Dismantling, Jumping or Jacking, Drills Self-Contained (Drillmaster Type), Fork Lift (20 Feet and Over), Motor Patrols Fine Grade), Batch Plant with Mixer, Rollers (High Grade Finishing), Mechanic Welder, Spreaders, Bulldozers and Tractors, Side Boom, Bob Cat type (all attachments), Vermeer Saw, Directional Boring Machine, Hydro Ax, Chipper with Boom, Machines similar to the above including remote control equipment. GROUP 3: Conveyors, (Except Building Conveyors), Building Hoist (Single Drum), Asphalt Plant Engineer, High or Low Pressure Boilers, Well Driller, Fork Lift Trucks (all types), Ditch Witch Type Trencher, Motor Patrol, Concrete Breaking Machine, Rollers, Fine Grade Machines, Stump Grinder, Machines similar to the above including remote control equipment.

GROUP 4: Seamen pulverizing Mixer, Tireman on power equipment, Maintenance Engineer (Power Boat), Farm Tractors, Form Line Graders, Road Finishing Machines, Power Boom, Seed Spreader, Grease Truck, Machines similar to the abovei including remote control equipment.

GROUP 5: Conveyors (Building), Welding Machines, Heaters, Well Points, Compressors, Pumps, Miscellaneous equipment Operator, Machines similar to the above including remote control equipment. GROUP 6: Fireman, Oilers and Deckhands (Personnel Boats)

IRON0451B 07/01/1999		
IRONWORKERS:	Rates	Fringes
Structual, Ornamental, Reinforcing	02.60	10.05
Riggers & Machinery Movers	23.60	12.25
LABO0199A 05/01/1997		
	Rates	Fringes
NEW CASTLE COUNTY		
LABORERS:		
GROUP 1	12.73	7.10
GROUP 2	12.88	7.10
GROUP 3	13.03	7.10
GROUP 4	15.03	7.10

LABORERS CLASSIFICATIONS

GROUP 1: General laborers; asphalt tamper; asphalt raker; concrete pitman; landscaper; planter; puddler; railroad trackman; rubber magazine tender; seeder and arboriate; signalman.
GROUP 2: Pipelayers.

GROUP 3: Blasters; cassions and cofferdams (open air below 8 ft where caissons and cofferdams are 8 ft. or more below level of natural grade adjacent to starting point); diamond point drills; form setters; gunite nozzle operators; wagon drills.

GROUP 4: Asbestos Removal Worker.

LABO0847C	05/01/1997

Rates	Fringes
12.73	7.10
12.88	7.10
13.03	7.10
15.03	7.10
	12.73 12.88 13.03

LABORERS CLASSIFICATIONS

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GROUP 4: Asbestos Removal Worker.

PAIN1021B 05/01/1999

	Rates	Fringes
PAINTERS:		
Brush, Roller	20.50	6.05
Spray, Sandblast	21.05	6.05
Bridge	23.55	6.05

PLAS0699D 05/01/1997

	Rates	Fringes
CEMENT MASONS	20.68	5.12

PLUM0074B 06/21/1999

Rates Fringes

KENT (North of the Southern boundary of Dover) AND NEW CASTLE COUNTIES:

PLUMBERS & PIPEFITTERS 27.94 12.05+a

FOOTNOTE::

A. PAID HOLIDAYS: Labor Day, Christmas Day, and General Election Day

* PLUM0782A 02/15/2000

Rates Fringes

KENT (Except area North of the Southern boundary of Dover), AND SUSSEX COUNTIES

PLUMBERS & PIPEFITTERS 24.98 4.39

Datos

TEAM0326B 05/01/1999

	Kates	riinges
TRUCK DRIVERS:		
GROUP 1	16.74	7.52+a+b
GROUP 2	16.89	7.52+a+b
GROUP 3	16.99	7.52+a+b

FOOTNOTES FOR TRUCK DRIVERS:

- a. PAID HOLIDAYS: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving Day; Christmas Day provided the employee worked the day prior to the holiday or the first scheduled work day following the holiday.
- b. PAID VACATION: Employees having at least one year of service receive 1 week paid vacation; employees having at least five years of service receive 2 weeks paid vacation TRUCK DRIVERS CLASSIFICATIONS
- GROUP 1: Dump Trucks (Single Axle); Dumpsters; Escort and Pilot Vehicles; Flatboy; Material Trucks (straight jobs); Greasers, Steamers; Material Checkers and Receivers; Panel Trucks; Pickups; Rubber Tired (Towing or Pushing Flatbody Vehicles); Tire Man
- GROUP 2: A-Frames; Agitators or Mixers; Asphlat Distributors; Dispatchers; Low-Boys; Semi-Trailers; Tandems and Batch Trucks GROUP 3: Euclid tupe or similar off-highway equipment (where not self-loaded); Specialized earth moving equipment); Truck Mechanics; Twin Engine Equpment and Double-Hitched Equipment (Where not self-loaded)

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(v)).

In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can

be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations

Wage and Hour Division

U. S. Department of Labor

200 Constitution Avenue, N. W.

Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N. W. Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U. S. Department of Labor 200 Constitution Avenue, N. W. Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

PROJECT SIGNAGE

Below are two samples of the construction project identification sign showing how this panel is adaptable for use to identify either military (top) or civil works projects (bottom). The graphic format for this 4'x 6' sign panel follows the legend guidelines and layout as specified below. The large 4'x 4' section of the panel on the right is to be white with black legend.

The 2'x 4' section of the sign on the left with the full Corps Signature (reverse version) is to be screen printed Communications Red on the white background The designation of a sponsor in the area indicated is optional with Military or Civil Works construction signs. Signs may list one sponsoring entity. If agreement on a sponsor designation cannot be achieved,

the area should be left blank.

This sign is to be placed with the Safety Performance sign shown on the following page. Mounting and fabrication details are provided on page 16.4.

Special applications or situations not covered in these guidelines should be referred to the District/Division Sign Program Manager.

Legend Group 1: One- to two-line description of Corps relationship to project. Color: White

Typeface: 1.25" Helvetica Regular Maximum line length: 19"

Legend Group 2: Division or District Name (optional). Placed below 10.5" reverse Signature (6" Castle). Color: White

Typeface: 1.25" Helvetica Regular

Legend Group 2a: One- to threeline identification of Military or Civil Works sponsor (optional). Place below Corps Signature to crossalign with Group 5a-b. Color: White Typeface: 1.25" Helvetica Regular

Maximum line length: 19'

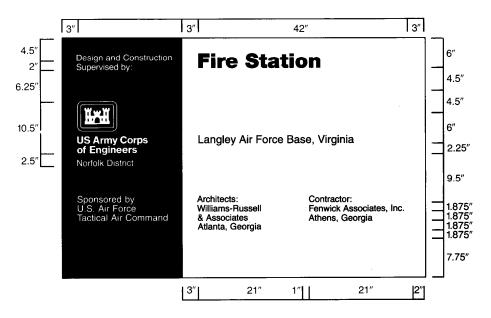
Legend Group 3: One- to three-line project title legend describes the work being done under this contract. Color: Black
Typeface: 3" Helvetica Bold Maximum line length: 42'

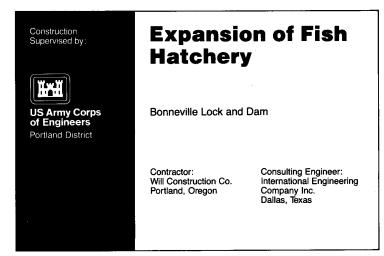
Legend Group 4: One- to two-line identification of project or facility (civil works) or name of sponsoring department (military). Color: Black Typeface: 1.5" Helvetica Regular Maximum line length: 42"

Cross-align the first line of Legend Group 4 with the first line of the Corps Signature (US Army Corps) as shown.

Legend Groups 5a-b: One- to fiveline identification of prime contractors including: type (architect, general contractor, etc.), corporate or firm name, city, state. Use of Legend Group 5 is optional. Color: Black Typeface: 1.25" Helvetica Regular Maximum line length: 21"

All typography is flush left and rag right, upper and lower case with initial capitals only as shown. Letter- and word-spacing to follow Corps standards as specified in Appendix D.





Sign	Legend	Panel	Post	Specification	Mounting	Color
Type	Size (A)	Size	Size	Code	Height	Bkg/Lgd
CID-01	various	4'x 6'	4" x 4"	HDO-3	48"	WH-RD/BK

Each contractor's safety record is to be posted on Corps managed or supervised construction projects and mounted with the construction project identification sign specified on page 16.2.

The graphic format, color, size and type-faces used on the sign are to be reproduced exactly as specified below.

The title with First Aid logo in the top section of the sign, and the performance record captions are standard for all signs of this type. Legend groups 2 and 3 below identify the project and the contractor and are to be placed on the sign as shown.

Safety record numbers are mounted on individual metal plates and are

screw-mounted to the background to allow for daily revisions to posted safety performance record.

Special applications or situations not covered in these guidelines should be referred to the District/Division Sign Program Manager.

Legend Group 1: Standard twoline title "Safety is a Job Requirement" with (8" od.) Safety Green First Aid logo. Color: To match PMS 347 Typeface: 3" Helvetica Bold Color: Black

Legend Group 2: One- to two-line project title legend describes the work being done under this contract and name of host project. Color: Black

Typeface: 1.5" Helvetica Regular Maximum line length: 42"

Legend Group 3: One- to two-line identification: name of prime contractor and city, state address. Color: Black

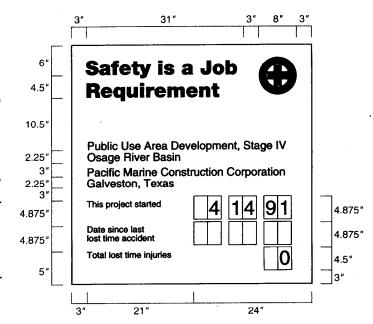
Typeface: 1.5" Helvetica Regular Maximum line length: 42"

Legend Group 4: Standard safety record captions as shown. Color: Black Typeface: 1.25" Helvetica Regular

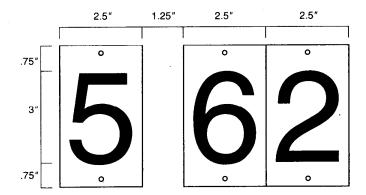
Replaceable numbers are to be mounted on white .060 aluminum plates and screw-mounted to background.
Color: Black

Typeface: 3" Helvetica Regular Plate size: 2.5" x 4.5"

All typography is flush left and rag right, upper and lower case with initial capitals only as shown. Letter and word spacing to follow Corps standards as specified in Appendix D.



Sign	Legend	Panel	Post	Specification Code	Mounting	Color
Type	Size (A)	Size	Size		Height	Bkg/Lgd
CID-02	various	4'x 4'	4"x 4"	HDO-3	48"	WH/BK-SG



All Construction Project Identification signs and Safety Performance signs are to be fabricated and installed as described below. The signs are to be erected at a location designated by the Contracting Officer Representative and shall conform to the size, format, and typographic standards shown on pages

The sign panels are to be fabricated from .75" High Density Overlay Plywood. Panel preparation to follow HDO specifications provided in Appendix B.

Sign graphics to be prepared on a white non-reflective vinyl film with positionable adhesive backing.

All graphics except for the Communications Red background with Corps signature on the project sign are to be die-cut or computer-cut non-reflective vinyl, pre-spaced legends prepared in the sizes and typefaces specified and applied to the background panel following the graphic formats shown on pages 16.2-3.

The 2' x 4' Communications Red panel (to match PMS-032) with full Corps signature (reverse version) is to be screen printed on the white background. Identification of the district or division may be applied under the signature with white cut vinyl letters prepared to Corps standards. Large scale reproduction artwork for the signature is provided on page 4.8 (photographically enlarge from 6.875" to 10.5").

Drill and insert six (6) .375" T-nuts from the front face of the HDO sign panel. Position holes as shown. Flange of T-nut to be flush with sign face.

Apply graphic panel to prepared HDO plywood panel following manufacturers' instructions.

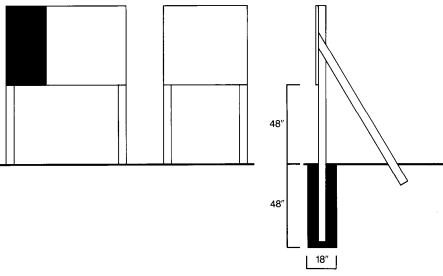
Sign uprights to be structural grade 4" x 4" treated Douglas Fir or Southern Yellow Pine, No.1 or better. Post to be 12' long. Drill six (6) .375" mounting holes in uprights to align with T-nuts in sign panel. Countersink (.5") back of hole to accept socket head cap screw (4" x .375").

Assemble sign panel and uprights. Imbed assembled sign panel and uprights in 4' hole. Local soil conditions and/or wind loading may require bolting additional 2" x 4" struts on inside face of uprights to reinforce installation as shown.

16.2-3. Detailed specifications for HDO plywood panel preparation are provided in Appendix B.

Shown below the mounting diagram is a panel layout grid with spaces provided for project information. Photocopy this page and use as a worksheet when preparing sign legend orders.

For additional information on the proper method to prepare sign panel graphics, contact the District/Division Sign Program Manager.



Construction Project Sign Legend Group 1: Corps Relationship 1.		18"
Legend Group 2: Division/District Name 1.	Construction Project Sign Legend Group 1: Corps Relationship	
2. Legend Group 3: Project Title 1. Legend Group 4: Facility Name 1. Legend Group 5a: Contractor/A&E 1. Legend Group 5b: Contractor/A&E 2. Legend Group 5b: Contractor/A&E 3. Legend Group 5b: Contractor/A&E 4. Legend Group 5b: Contractor/A&E	2.	Legend Group 2a: Military/Civil Works Sponso
2	2.	1
2. Legend Group 5a: Contractor/A&E 1. Legend Group 5b: Contractor/A&E 2. Legend Group 5b: Contractor/A&E 3. Legend Group 5b: Contractor/A&E 4. Legend Group 5b: Contractor/A&E 5. Legend Group 5b: Contractor/A&E 1. Legend Group 5b: Contractor/A&E 4. Legend Group 5b: Contractor/A&E 5. Legend Group 5b: Contractor/A&E 1. Legend Group 5b: Contractor/A&E 5. Legend Group 5b: Contractor/A&E	2. L	
1.	 -	
2.	Legend Group 5a: Contractor/A&E	Legend Group 5b: Contractor/A&E
Safety Performance Sign Legend Group 1: Project Title	2	2. [
	Safety Performance Sign Legend Group 1: Project Title	
1. Legend Group 2: Contractor/A&E	2.	1
Legend Group 2. Contractor/Aac	ŭ ,	I

Ownership and Operating Expense Schedule Regions for the Construction Equipment HARLESTON JACKSONVILLE O SAVANNAH PUERTO RICO ITTSBURGH. FOUISVILLE SHUNT NGTON ATLANTA . NEW ORLEANS HUNTSVILLE ITTLE ROCK MEMPHIS (ICKSB/JRG MOBINES ST. LOUIS ROCK ISLAND ST. PAUL GALVESTON KANSAS CITY FORT WORTH TULSA OMAHA * ALBUQUERQUE MALLA WALLA SEATTLE OS ANGELP . SACRAMENTO SAN FRANCISCO PORTLAND 000 ALASKA HAWAII Ó KWAJALEIN ISLAND

SURVEY CONTROL DESCRIPTION SHEETS

```
Data Sheet RetrievalThe NGS Data Sheet
Starting Datasheet Retrieval...
National Geodetic Survey, Retrieval Date FEBRUARY 14, 2000
JU1778
JU1778 DESIGNATION - X 21
JU1778 PID - JU1778
JU1778 STATE/COUNTY- DE/NEW CASTLE
JU1778 USGS QUAD - WILMINGTON SOUTH (1987)
JU1778
JU1778
                               CURRENT SURVEY CONTROL
JU1778
JU1778 NAD 83(1986) - 39 43 04. (N) 075 31 57. (W)
JU1778 NAVD 88 -
                            2.411 (meters) 7.91 (feet) ADJUSTED
JU1778 GEOID HEIGHT-
                             -33.01 (meters)
                                                                  GEOTD99
                                2.410 (meters) 7.91 (feet) COMP
JU1778 DYNAMIC HT -
JU1778 MODELED GRAV- 980,172.1 (mgal)
                                                                  NAVD 88
JU1778
JU1778 VERT ORDER - FIRST CLASS I
JU1778. The horizontal coordinates were scaled from a topographic map and have
JU1778.an estimated accuracy of 6 seconds.
JU1778
JU1778. The orthometric height was determined by differential leveling
JU1778.and adjusted by the National Geodetic Survey in June 1991.
JU1778.WARNING-Repeat measurements at this control monument indicate possible
JU1778.vertical movement.
JU1778
JU1778. The geoid height was determined by GEOID99.
JU1778
JU1778. The dynamic height is computed by dividing the NAVD 88
JU1778.geopotential number by the normal gravity value computed on the
JU1778.Geodetic Reference System of 1980 (GRS 80) ellipsoid at 45
JU1778.degrees latitude (G +AD0- 980.6199 gals.).
JU1778. The modeled gravity was interpolated from observed gravity values.
JU1778
                                      East Units Estimated Accuracy
JII1778
                         North
JU1778SPC DE- 190,700.
                           190,070. MT ( 180 meters Scaled)
JU1778
JU1778
                                SUPERSEDED SURVEY CONTROL
JU1778
JU1778 NGVD 29 - 2.681 (m)
                                          8.80 (f) ADJUSTED 1 1
JU1778. Superseded values are not recommended for survey control.
JU1778.NGS no longer adjusts projects to the NAD 27 or NGVD 29 datums.
JU1778. See file dsdata.txt to determine how the superseded data were derived.
JU1778
JU1778 MARKER: BENCH MARK DISK
JU1778 SETTING: IRON GRILLE
JU1778 STAMPING: X 21 1959
JU1778 STABILITY: D MARK OF QUESTIONABLE OR UNKNOWN STABILITY
JU1778
JU1778 HISTORY - Date Condition
JU1778 HISTORY - 1959 MONUMENTED
JU1778 HISTORY - 1963 GOOD
JU1778 HISTORY - 1975 GOOD
                                               Recov. By
                                               CGS
                                               CGS
```

LOCENG

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JU1778
JU1778
JU1778
                                STATION DESCRIPTION
JU1778
JU1778
JU1778'DESCRIBED BY COAST AND GEODETIC SURVEY 1963
JU1778'AT WILMINGTON.
JU1778'AT WILMINGTON, ABOUT 1.45 MILES SOUTH ALONG CHRISTIANA AVENUE
JU1778'FROM THE UNDERPASS UNDER THE PENNSYLVANIA RAILROAD, ABOUT 0.6
JU1778'MILE WEST AND NORTH ALONG BLACK TOP STREET AND AVENUE FROM THE
JU1778'DOCK OFFICE BUILDING AT THE WILMINGTON MARINE WHARF, SET ON TOP
JU1778'OF THE NORTHWEST CORNER OF AN IRON GRILLE COVERED 20-FOOT BY
JU1778'6-FOOT MANHOLE FOR A TRIPLE PIPE CULVERT UNDER THE AVENUE, 29.5
JU1778'FEET EAST OF CENTER LINE OF AVENUE, 10 FEET SOUTH OF POWER POLE
JU1778'1416 H 1, 9.5 FEET WEST OF A WOODEN SLAT FENCE, 166 FEET NORTH
JU1778'OF CENTER OF CROSSING OF NORTH TRACK OF SEVERAL TRACKS, AND 2
JU1778'FEET ABOVE LEVEL OF AVENUE.
JU1778
JU1778
                                STATION RECOVERY (1975)
JU1778
JU1778'RECOVERY NOTE BY LOCAL ENGINEER (INDIVIDUAL OR FIRM) 1975
JU1778'RECOVERED IN GOOD CONDITION.
JU1778
JU1778
                                STATION RECOVERY (1981)
JU1778
JU1778'RECOVERY NOTE BY UNIVERSITY OF DELAWARE 1981
JU1778
JU1778
                                STATION RECOVERY (1991)
JU1778'RECOVERY NOTE BY US GEOLOGICAL SURVEY 1991
JU1778
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SPECIFICATIONS

REHABILITATION OF WILMINGTON HARBOR SOUTH JETTY WILMINGTON HARBOR, CHRISTINA RIVER, DELAWARE

PROJECT TABLE OF CONTENTS

SECTION NO.	TITLE
	DIVISION 01 - GENERAL REQUIREMENTS
01010 01060 01090 01100 01305 01311 01380 01430 01451 01500 01700	SUMMARY OF WORK SAFETY SOURCES FOR REFERENCE PUBLICATIONS DIVING SERVICES SUBMITTAL PROCEDURES CONTRACTOR-PREPARED NETWORK ANALYSIS SYSTEM CONSTRUCTION PHOTOGRAPHS ENVIRONMENTAL PROTECTION CONTRACTOR QUALITY CONTROL TEMPORARY CONSTRUCTION FACILITIES AS-BUILT DRAWINGS
	DIVISION 02 - SITE WORK
02225 02271 02300 02385	SELECTED DEMOLITION RIPRAP EARTHWORK ARTICULATING CONCRETE REVETMENT
	DIVISION 03 - CONCRETE
03101 03201 03307	FORMWORK FOR CONCRETE STEEL BARS FOR CONCRETE REINFORCEMENT CONCRETE
	DIVISION 05 - METALS
05500	MISCELLANEOUS METAL

⁻⁻ End of Project Table of Contents --

SECTION 01010

SUMMARY OF WORK

PART 1 GENERAL

1.1 SUMMARY OF WORK

The work covered by this Contract generally consists of furnishing all plant, labor, materials, equipment and appliances required in performing all operations necessary to construct new reinforced concrete caps and encasements on the thirteen existing circular cofferdams and install an articulating concrete revetment, with associated anchor and side trenches, on the existing slope on the north side of the existing jetty structure at the Wilmington Harbor South Jetty, on the Christina River in Wilmington, Delaware.

1.2 PHASING OF WORK

The work shall be performed in three phases. Each phase will include the work specified for one of the three sections (East Section, Central Section, West Section) as shown on the Contract Drawings. The work shall begin in Phase 1. Phase 1 shall include the work in the East Section (circular cofferdam Nos. 9 through 13). Phase 2 shall include the work in either the West Section (circular cofferdam Nos. 1 through 4) or the Central Section (cofferdam Nos. 5 through 8), as determined by the Contracting Officer. The Contractor shall be notified in writing of this determination a minimum of 60 calendar days prior to the completion of work in Phase 1. The work in Phase 2, with the exception of the preconstruction survey for the articulating concrete revetment, shall not begin until all work in Phase 1 is complete. Work in Phase 3, with the exception of the preconstruction survey for the articulating concrete revetment, shall not begin until all work in Phase 2 is complete. The preconstruction surveys may be performed prior to the completion of the previous phase, within the time frame indicated in Section 02385 of this Specification, as long as the survey activities do not interfere with Port operations. For each phase, the Limits of Work shall include only the limits of the designated section (i.e. East Section, Central Section, West Section), as well as the connecting catwalk, and the Laydown Area. The limits of the sections and the Laydown Area are indicated on the Contract Drawings. When using the Laydown Area, the Contractor must allow sufficient clearance for truck access to the back gate of the Citri Cusco facility.

1.3 MAJOR ITEMS OF WORK

All work shall be performed in accordance with the Contract Drawings and these Specifications. The major items of work to be performed under this Contract generally include, but are not limited to the following:

- a. Coordination of all construction activities with the U.S. Army Corps of Engineers, Diamond State Port Corporation, and Delaware Terminal.
- b. Demolition of the existing concrete caps for eleven circular cofferdams.
- c. Demolition of the upper portion of each of the circular

cofferdams, including double channel walers.

- d. Temporary support of all existing mechanical equipment, pipelines, and related structures in the immediate vicinity of the circular cofferdams.
- e. Excavation of existing stone and soil fill within the circular cofferdams.
- f. Miscellaneous demolition required for installation of the new construction.
- g. Construction of new reinforced concrete caps on thirteen circular cofferdams.
- h. Construction of new reinforced concrete encasements around thirteen circular cofferdams.
- i. Installation of an articulating concrete revetment, and associated anchor and side trenches, on the existing slope on the north side of the existing jetty structure, including associated excavation within the limits indicated on the drawings and disposal of the excavated materials.
- j. Backfill of the anchor and side trenches with riprap.

1.4 NOTIFICATIONS

1.4.1 Notification to Port Officials

The Contractor shall notify Mr. John Reece, P.E., Port Engineer, Diamond State Port Corporation (302-472-7829); Mr. Olav Urheim, Delaware Terminal, (302-652-4654); and Mr. D. Olson or Mr. G. Pappas, Project Manager, U.S. Army Corps of Engineers (215-656-6785), a minimum of 30 calendar days prior to site mobilization for conducting Phase 1 work. The Contractor shall notify Mr. John Reece, P.E. and Mr. Olav Urheim a minimum of 14 calendar days prior to site mobilization for conducting Phase 2 and Phase 3 work.

1.4.2 Notification of United States Coast Guard

The Contractor shall notify the Fifth U.S. Coast Guard District at least 21 calendar days prior to site mobilization for information to be published in the Local Notice to Mariners. This information is to be delivered by fax (757-398-6334 or 757-398-6303) and followed up by telephone (757-398-6225 or 757-398-6486).

- 1.5 MOVEMENT OF PLANT, EQUIPMENT AND MATERIALS
- 1.5.1 Project Mobilization and Demobilization

The Contractor is subject to all applicable fees and tariffs imposed by the Port of Wilmington for use of their facilities and equipment during mobilization, demobilization and performance of the work. The Contractor shall not be permitted to utilize the existing mooring bollards within the cellular cofferdams at any time during performance of the work.

1.5.2 Scheduled Work Hours

For scheduling purposes on this project, the 7-day work week shall be

designated as Monday through Sunday. On each Friday before the start of the new week, representatives of the Government, Diamond State Port Corporation, Delaware Terminal and the Contractor shall meet to set the 5-day (10 contiguous hours per day) work schedule for the following week. At each meeting, the Contractor shall first set the 10-hour work day window for each of the 7 days in the upcoming work week. The Contracting Officer will then determine which two (2) consecutive 24-hour days out of the 7 day work week it wants to resume and carry on port operations. This will set the 5-day (10 hour per day) work schedule for the upcoming week. By noon of the day before the designated 2-day period, the Contracting Officer, after consultation with Diamond State Port Corporation and Delaware Terminal Representatives, will notify the Contractor of the intent not to use the consecutive days. This will allow the Contractor the option of working on these two days.

1.5.3 Daily Movement of Plant

At the end of each scheduled work day, the Contractor shall demobilize all of his plant, equipment and materials from the East Section, Central Section and West Section, to the Berthing Area for Daily Mobilization and Demobilization. The Berthing Area for Daily Mobilization and Demobilization shall be located along the Port of Wilmington's berthing area between the Floating Dock and Berth Number 7, at the western end of Berthing Lane. At the start of work the following day the Contractor may remobilize their plant, equipment and materials back to the East Section, Central Section or West Section to begin work for that day.

1.5.4 Interruptions of Work

During the execution of this contract, the Contractor's scheduled work hours may be interrupted by the Port of Wilmington, Delaware Terminal and/or the Government. The Contractor shall allow for one 15-minute delay per scheduled work day. Prior to the start of each work day, the Contractor shall be notified by the Contracting Officer of any scheduled downtime for that day, including the one 15-minute delay allowed, within a corresponding one-hour window for this delay. The Contractor's work activities may be interrupted as indicated in the following subsections.

1.5.4.1 Shutdown of Hot Works Activities

Delaware Terminal requires that a 6-foot easement be maintained between product pipelines and any hot works being conducted when the pipelines are in use and for 15 minutes following use. In the event that it is necessary for Delaware Terminal to shut down hot works by the Contractor during the Contractor's scheduled work hours, the Contractor shall be notified as indicated in Section 1.5.4 of the times during which the Contractor shall not be permitted to perform hot work.

1.5.4.2 Complete Shutdown of Work Activitiess

The Contractor shall be prepared to stop all work activities to allow for the docking, fueling, and/or departure of barges at the jetty, the loading/unloading of ships at the Port, or surveying of the project area as part of ongoing dredging of the Wilmington Harbor. In the event that it is necessary to completely shutdown work activities during the Contractor's scheduled work hours, the Contractor shall be notified as indicated in Section 1.5.4. For each scheduled work day where the Contractor loses five or more hours due to the complete shutdown of work activities, the Contract Time will be extended by one work day.

1.6 PRE-BID MEETING AND SITE VISIT

Prospective bidders are invited to attend a pre-bid meeting and site visit to be held at 9:00 a.m. on Tuesday, 20 June 2000 in the main conference room (2nd floor) of the Diamond State Port Corporation's Robert S. Senseny Building. The Senseny Building is a blue building located on the right after entering through the Port's main gate. The purpose of the meeting is to acquaint bidders with the project and site, and any potential problems incident to the prosecution of work. If additional information is required, please contact Mr. Dwight Pakan at 215-656-6785.

- PART 2 PRODUCTS (Not Applicable)
- PART 3 EXECUTION
- 3.1 MEASUREMENT AND PAYMENT
- 3.1.1 Project Mobilization and Demobilization

There will be no measurement for project mobilization and demobilization. All costs in connection with mobilization and demobilization, including but not limited to, daily mobilization and demobilization of plant and equipment to the jetty, tug boat services, fees and tariffs imposed by the Port of Wilmington for use of its facilities, and up to 15 minutes of delay per scheduled work day as defined in Section 1.5.4, shall be included in the Contract Lump Sum Price for Bid Item No. 1, " Project Mobilization and Demobilization." Payment shall include full compensation to the Contractor for all costs associated with the project mobilization and demobilization. The Contract Lump Sum Price for this item shall be limited to ten percent of the total Contract Price. Forty percent of the lump sum price for this item shall be paid following mobilization to Phase 1, fifteen percent following mobilization to Phase 2, fifteen percent following mobilization to Phase 3, and the remaining thirty percent shall be paid upon completion of the Work, including fulfillment of all submittal requirements.

3.1.2 Time Lost Due to Hot Works Shut Down

Time lost due to shut down of hot works by Delaware Terminal during scheduled work hours will be measured for payment by the number of work hours lost, as verified by the Contracting Officer, with a maximum limit of 8 hours per work day. The number of work hours lost shall be measured to the nearest one-half hour. Payment for work hours lost will be made at the Contract Unit Price for Bid Item No. 2, "Work Hours Lost Due to Hot Works Shut Down," which shall constitute full compensation to the Contractor for work hours lost due to hot works shut down during scheduled work hours.

3.1.3 Time Lost Due to Interruption of Scheduled Work Hours

Time lost due to interruption of scheduled work hours by Delaware Terminal, the Diamond State Port Corporation or the Government will be measured for payment by the number of work hours lost, as verified by the Contracting Officer, with a limit of 8 hours per work day. The number of work hours lost shall be measured to the nearest one-half hour. Payment for work hours lost will be made at the Contract Unit Price for Bid Item No. 3, "Work Hours Lost Due to Interruption of Scheduled Work Hours," which shall constitute full compensation to the Contractor for work hours lost due to the complete shutdown of work activities during scheduled work hours,

including the costs for demobilization from and remobilization to the work area.

-- End of Section --

SECTION 01060

SAFETY

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CORPS OF ENGINEERS (COE)

EM 385-1-1

(1996) Safety and Health Requirements Manual

CORPS OF ENGINEERS (PHILADELPHIA DISTRICT)

Liberty from Accidents Program (1996) Philadelphia District Awards
Program

1.2 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01305 SUBMITTAL PROCEDURES:

SD-08 Statements

Qualifications; GA CO

Name and qualifications of the Contractor's proposed safety representative.

Accident Prevention Plan; GA CO

An accident prevention plan, prepared by the prime Contractor for the specific work, implementing in detail the pertinent requirements of EM 385-1-1 shall be submitted for approval prior to the start of work. A suggested format for the accident prevention plan is included in EM 385-1-1, Appendix A. The plan shall be prepared for all sites and shall include, but is not limited to, the topic areas listed in Appendix A therein and the requirements of the Paragraph entitled: SAFETY AND HEALTH PROVISIONS. Each topic shall be developed in a concise manner to include management and operational aspects.

Activity Phase Hazard Analysis Plan; GA CO

Prior to beginning each major phase of work, an activity hazard analysis (phase plan) shall be prepared by the Contractor for that phase of work and submitted for approval. The suggested format for the analysis is contained in Appendix A of EM 385-1-1. A phase is defined as an operation involving a type of work presenting hazards not experienced in previous operations or where a new subcontractor or work crew is to perform work. The analysis shall address the hazards for each activity performed in the phase and shall present the procedures and safeguards necessary to eliminate the hazards or reduce the risk to an acceptable level.

SD-09 Reports

Safety Meeting Reports; FIO

Outline reports of all weekly and monthly safety meeting shall be submitted.

Accident Reports; FIO

A written report for all accidents utilizing ENG FORM 3394 shall be submitted within 24 hours following such accidents.

OSHA 200 Log; FIO

Contractor's OSHA 200 Log of Injuries shall be submitted monthly.

Floating Plant Inspection; GA CO

A copy of the annual inspection of all plants, not subject to Coast Guard inspection, shall be submitted prior to start of work.

1.3 GENERAL

Worker safety is of paramount importance. The Contractor shall comply with the Contract Clause entitled: ACCIDENT PREVENTION, EM 385-1-1, the Philadelphia District's Liberty from Accidents Program, and all other requirements as specified herein.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 SAFETY PROGRAM

EM 385-1-1 and all subsequent revisions referred to in the Contract Clause entitled: ACCIDENT PREVENTION of this contract, are hereby supplemented as follows:

- a. The Contractor shall designate an employee responsible for overall supervision of accident prevention activities. Such duties shall include: (1) assuring applicable safety requirements are incorporated in work methods and (2) inspecting the work to ensure that daily safety measures and instructions are implemented and documented. The proposed safety representative's name and qualifications shall be submitted in writing for approval by the Contracting Officer. This individual shall have prior experience as a safety representative or be able to demonstrate familiarity and understanding of the safety requirements over a prescribed trial period. The safety representative shall be dedicated to safety matters with no other responsibilities and shall have the authority to act on behalf of the Contractor's general management to take whatever action is necessary to assure compliance with safety requirements. The safety representative is required to be on the site when work is being performed in accordance with EM 385-1-1.
- b. Prior to commencement of any work at the job site, a preconstruction safety meeting will be held between the Contractor's safety representative and the Contracting Officer to discuss the Contractor's safety program and to review the Accident Prevention Plan and Activity Phase Hazard Analysis Plan for the first phase of work.

- c. Subsequent jobsite safety meetings shall be held as follows:
- (1) A safety meeting shall be held at least once a month, documented with subject and attendees, for all supervisors on the project to review past activities, to plan ahead for new or changed operations and to establish safe working procedures to anticipated hazards. An outline report of each monthly meeting shall be submitted to the Contracting Officer.
- (2) At least one safety meeting shall be conducted weekly, or whenever new crews begin work, by the appropriate field supervisors or foremen for all workers. An outline report of the meeting giving date, time, attendance, subjects discussed and who conducted the meeting shall be prepared and furnished to the Contracting Officer.

3.2 PHILADELPHIA DISTRICT LIBERTY FROM ACCIDENTS PROGRAM

The Philadelphia District Liberty from Accidents Program is hereby incorporated as part of these specifications. The Liberty from Accidents Program rewards Contractors who exceed safety standards. The program provides local and District-wide awards on a quarterly and annual basis.

- a. The Contractor will be evaluated for awards and the final performance evaluation in safety on the frequency rate for the project. The frequency rate is calculated by the following equation: frequency = (number of lost time accidents \times 200,000) divided by the number of man-hours for the project. The Contractor shall have a proactive safety plan as outlined in the Liberty from Accidents Program.
- b. The Contractor evaluation procedure for the safety category shall be as follows:

RATING	CONTRACTOR FREQUENCY RATE
Outstanding	Less than or equal to 0.25.
Above Average	Greater than 0.25 but less than or equal to 0.75.
Satisfactory	Greater than 0.75 but less than or equal to 0.84.
Marginal	Greater than 0.84 but less than or equal to 1.95.

Unsatisfactory Greater than 1.95.

Extenuating circumstances will be considered to change the safety rating in limited situations.

3.3 ACCIDENTS

Chargeable lost time accidents are to be investigated by both the Contractor and the Contracting Officer.

3.3.1 Accident Reporting

EM 385-1-1 and the Contract Clause entitled: ACCIDENT PREVENTION are supplemented as follows: The prime Contractor shall report on ENG FORM 3394, provided by the Contracting Officer's Representative, all injuries to employees or to subcontractor employees, and all damage to property and/or

equipment in excess of \$2,000 per incident. Verbal notification of such accidents shall be made to the Contracting Officer within 8 hours of occurrence. A written report utilizing ENG FORM 3394 shall be submitted to the Contracting Officer within 24 hours following such accidents. The report shall include the following:

- a. A description of the circumstances leading up to the accident, the cause of the accident, and corrective measures taken to prevent recurrence.
- b. A description of the injury and name and location of the medical facility rendering examination and treatment.
- c. A statement as to whether or not the employee was permitted to return to work after examination and treatment by the medical facility, and if not, an estimate or statement of the number of days lost from work. If there have been days lost from work, the employee must be re-examined and declared fit to resume work as of the date of the report.

3.4 OSHA REQUIREMENTS

3.4.1 OSHA 200 Log

A copy of the Contractor's OSHA 200 Log of Injuries shall be submitted in accordance with the Paragraph entitled: SUBMITTALS.

3.4.2 OSHA Inspections

The Contractor shall immediately notify the Contracting Officer when an OSHA Compliance Official (Federal or State representative) presents credentials and informs the Contractor that the workplace will be inspected for OSHA compliance. The Contractor shall also notify the Contracting Officer upon determination that an exit interview will take place upon completion of the OSHA inspection.

3.5 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

SECTION 01090

SOURCES FOR REFERENCE PUBLICATIONS

PART 1 GENERAL

1.1 REFERENCES

Various publications are referenced in other sections of the specifications to establish requirements for the work. These references are identified in each section by document number, date and title. The document number used in the citation is the number assigned by the sponsoring organization, e.g. UL 1 (1993; Rev thru Jan 1995) Flexible Metal Conduit. However, when the sponsoring organization has not assigned a number to a document, an identifying number has been assigned for convenience, e.g. UL's unnumbered 1995 edition of their Building Materials Directory is identified as UL-01 (1995) Building Materials Directory. The sponsoring organization number (UL 1) can be distinguished from an assigned identifying number (UL-01) by the lack of a dash mark (-) in the sponsoring organization assigned number.

1.2 ORDERING INFORMATION

The addresses of the organizations whose publications are referenced in other sections of these specifications are listed below, and if the source of the publications is different from the address of the sponsoring organization, that information is also provided. Documents listed in the specifications with numbers which were not assigned by the sponsoring organization should be ordered from the source by title rather than by number.

ACI INTERNATIONAL (ACI)

P.O. Box 9094

Farmington Hills, MI 48333-9094

Ph: 248-848-3700 Fax: 248-848-3801

Internet: http://www.aci-int.org

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

100 Barr Harbor Drive

West Conshohocken, PA 19428-2959

Ph: 610-832-9500 Fax: 610-832-9555

Internet: www.astm.org

NOTE: The annual ASTM Book of Standards (66 Vol) is available for \$3500.00. Prices of individual standards vary.

AMERICAN WELDING SOCIETY (AWS)

550 N.W. LeJeune Road

Miami, FL 33126 Ph: 305-443-9353

Fax: 305-443-7559

Internet: http://www.amweld.org

CODE OF FEDERAL REGULATIONS (CFR)

Order from:

Government Printing Office Washington, DC 20402

Ph: 202-512-1800 Fax: 202-275-7703

Internet: http://www.pls.com:8001/his/cfr.html

CORPS OF ENGINEERS (COE)

Order from:

U.S. Army Engineer Waterways Experiment Station

ATTN: Technical Report Distribution Section, Services

Branch, TIC

3909 Halls Ferry Rd.

Vicksburg, MS 39180-6199

Ph: 601-634-2571 Fax: 601-634-2506

NOTE: COE Handbook for Concrete and Cement (Documents w/prefix CRD-C) (1949-present; 2 Vol) free to Government offices; \$10.00 plus \$8.00 per yr for 4 qtrly supplements to others). Individual documents, single copies free. Order from address above.

NAVAL SEA SYSTEMS COMMAND

Hardcopies of publications may be ordered (using stock number) by mail from:

Defense Distribution Depot Susquehanna PA (Bldg 05 for unclassified pubs/docs)

(Bldg 208 for classified pubs/docs)

5450 Carlisle Pike

Mechanicsburg, PA 17055-0789

Telephone: 215-697-4374 (Cash Sales) OR 215-697-2237 (Customer Service)

-- End of Section --

SECTION 01100

DIVING SERVICES

PART 1 GENERAL

1.1 GENERAL REQUIREMENTS

All diving performed under this contract shall be in strict accordance with the rules and regulations prescribed by the U.S. Navy Diving Manual: 0994-LP-001-9110; 29 CFR Part 1910, Subpart T; 29 CFR Part 1915; the EM 385-1-1, Section 30; and ER 385-1-86, except as modified below. A Contracting Officer's Representative, will be designated by the Contracting Officer at the Post Award Conference, to act for the Contracting Officer for all submissions, directions and/or acceptance(s) required under the specifications. There will also be an individual designated as the District Dive Coordinator.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CORPS OF ENGINEERS (COE)

EM 385-1-1 (Sep 1996) Safety and Health Requirements
Manual

CODE OF FEDERAL REGULATIONS (CFR)

29 CFR Part 1910 (1997) Occupational Safety and Health

Standards

29 CFR Part 1915 (1997) Safety and Health Standards

Applicable to Shipyard Employment

NAVAL SEA SYSTEMS COMMAND

0994-LP-001-9110 (Jul 1996) U.S. Navy Diving Manual, Latest

Revision

1.3 SUBMITTALS

The following shall be submitted in accordance with Section 01305 SUBMITTAL PROCEDURES.

SD-08 Statements

Diving Operations Manual

Submit, for review, at least 15 days prior to any diving operations, two (2) copies of a Diving Operations Manual, which shall depict the

Contractor's general plan for accomplishing the diving operations required under this contract. The Diving Operations Manual shall be reviewed by the Contracting Officer's Representative prior to commencing of any diving operations under this contract. The Diving Operations Manual shall include the following information as a minimum:

- a. A complete copy of 29 CFR Part 1910, Subpart T, and the Contractor's proposed method of complying with each of its pertinent parts.
- b. U. S. Navy Standard Air Decompression Table.
- c. A sample of the Diving Log sheets to be used under this contract.
- d. A sample of the Repetitive Dive Worksheets or equivalent (dive profile method) to be used under this contract.
- e. U. S. Navy Table of No-Decompression Limits and Repetitive Group Designation for No-Decompression Air Dives.
- f. U. S. Navy Residual Nitrogen Timetable for Repetitive Air Dives.
- g. An outline of emergency communications between the dive site and the Contractor's project office (located at the job site); Contractor-furnished portable radios, hardware, telephone hookup, etc.
- h. An outline of proposed treatment and emergency evacuation for drowning, gas embolism, decompression sickness, or traumatic injury.
- i. Emergency assistance information, including location, telephone numbers, and names of nearest doctor, hospital, emergency ground and air transportation, recompression facilities, and other appropriate medical assistance.
- j. An Activity Hazard Analysis Plan, setting forth potential hazards, means of prevention, and actions to be taken should an accident involving the potential hazard occur. Minimum coverage in the Activity Hazard Analysis Plan shall include; prevention and procedures for dealing with fire, equipment failure, and adverse environmental conditions, drowning, air embolism, decompression sickness, hypoxia, carbon dioxide excess, carbon monoxide excess, strangulation, various type of squeezing, fouling or entanglement, mechanical injury, overexertion/exhaustion, hypothermia, hyperthermia, currents caused by hydraulic differential through the structure, and electrocution and blowup if dry suits are used.
- k. An outline of pre-dive briefings and equipment checkout procedures for daily diving activities under this contract.
- 1. An outline of qualifications and experience requirements for the dive team members, required under this contract. As a minimum, each team member shall have at least one (1) year of commercial experience in the applicable position; divers shall have completed at least four (4) working dives to the depths required by this contract, using the particular diving techniques and equipment to be used under this contract. Divers shall demonstrate that at least one (1) of the four (4) qualification dives was performed in the last six (6) months prior to the contract award date.
- m. An outline of the medical qualifications required for divers to be

employed under this contract. As a minimum, each diver shall meet the certification requirements specified in 29 CFR Part 1910, Subpart T.

- n. An outline of diving equipment, maintenance procedures and certification of analysis of air output for diving air supply compressors to be used under this contract. As a minimum, the equipment maintenance procedures shall indicate method of testing, frequency, and repair methods used. Diving air supply compressors' output air shall be in conformance with the following limits: oxygen 20 to 22 percent by volume, carbon dioxide 1,000 ppm maximum, carbon monoxide 20 ppm maximum, total hydrocarbons 25 ppm maximum, particulates 5 mg/cubic meter maximum, and have no objectionable odor.
- o. An outline of administrative and record-keeping procedures. As a minimum, the outline shall contain (by title of position) job responsibilities, the chain of command, daily briefing and diving safety orientation procedures, log and diving-related record-keeping responsibilities, equipment maintenance and pre-dive equipment checklist, etc.

SD-18 Records

Daily Logs

Submit each day, to the Contracting Officer/District Dive Coordinator fully completed copies of the previous day's Diving Log Sheets and any other work sheets prepared in conjunction with the Diving Log Sheets.

Diving Plan

Submit, for review, at least 10 days prior to any diving operations, three (3) copies of a Project/Task Specific Dive Plan prior to performing any actual dive task or assignment. Each separate Dive Plan will require review and acceptance by the Contracting Officer's Representative prior to commencing any diving required under this contract. A typical Dive Plan (the Contractor may prepare a form with the appropriate subject/item already listed with blank spaces to be filled in as required for each Dive Plan submitted) shall include the following information as a minimum:

- a. Dive Plan for: (project and specific tasks).
- b. Name of Contractor (and diving subcontractor if required).
- c. Contract Number.
- d. Date of Dive Plan submission.
- e. Name of diving supervisor preparing the Dive Plan.
- f. Description of proposed work and diving mission.
- g. Approximate time and date dive mission will start.
- h. Listing of diving equipment to be used.
- i. Name or type of diving platform to be used.
- j. Planned depth of dive and maximum depth to bottom.

- k. Maximum single dive bottom time for the planned depth of dive for each diver.
- 1. Listing of special tools or equipment to be used.
- m. Materials to be handled or installed.
- n. Listing by name each member of the diving team. The first time each diver is employed on the job, the Contractor shall attach to the diver plan a qualification statement and copy of the diver's current medical record, giving the physician's written report and opinion of the diver's fitness for exposure to hyperbaric conditions, including any limitations to such exposure. The required qualifications statement and current medical report shall be in accordance with EM 385-1-1. Diver's qualification statement and medical record need not be attached to subsequent Dive Plans unless a diver's medical report has expired and a new medical report has been submitted.
- o. Listing by name each person directly involved in topside assistance/support to the dive team.
- p. Listing of information and equipment required at the dive site. The following information and work materials shall be available at the dive site, either referenced in the Diving Operations Manual or work materials furnished by the Contractor: U. S. Navy Standard Air Decompression Table; Diving Log Sheets; Repetitive Dive Worksheets; Table of No-Decompression Air Dives; means of direct emergency communications between the dive site and the Contractor's project office, the Dive Coordinator/Dive Inspector; stop watch or equivalent, as required to monitor times for each diver; standard first aid supplies; litter or tilt board and a manual resuscitator capable of administering oxygen; portable VHF marine band radio as required to communicate with vessel traffic.
- q. Listing of information required at the dive site and the project office; local emergency medical assistance names, locations, and telephone numbers for ambulance service, hospital, and doctor; emergency medical evacuation assistance for ground and/or air transportation facilities with point of contact names, locations and telephone numbers; nearest emergency medical facility with hyperbaric chamber capable of recompression equivalent to 165 feet of water, with point of contact names, location and telephone numbers.
- r. The Dive Plan shall contain the following statement: "If for any reason the diving plan, as accepted, is altered in scope of mission, depth, personnel, or equipment, the Philadelphia District Diving Coordinator shall be contacted in order to review the proposed diving plan revision prior to the actual diving operation." (24 Hrs).
- PART 2 PRODUCTS (Not Applicable)
- PART 3 EXECUTION
- 3.1 RESTRICTIONS

Only air-supplied diving within the No-Decompression Limits, using the previously cited U. S. Navy Diving Tables, will be permitted under this contract, unless otherwise accepted by the Contracting Officer. Any deviation from or modification to the U. S. Navy Diving Tables, proposed by

the Contractor, shall be submitted at the time the Diving Operations Manual is submitted, with any such deviation or modification clearly identified for review purposes.

3.2 DOCUMENTS AVAILABILITY

One (1) copy of the accepted Diving Operations Manual (to be kept in D.O.) and one (1) copy of the appropriate accepted Diving Plan shall be available at the dive site while diving operations are underway.

3.3 COORDINATION

All Diving activities shall be conducted with full knowledge and close coordination with the Contracting Officer and Dive Coordinator. Divers shall not enter the water or move from prescribed location without the acceptance of the Dive Inspector or Dive Coordinator.

3.4 PRE-DIVE CHECK

Prior to the dive and at the scene of the dive, the Contractor will meet with the USACE diving inspector and shall insure, as a minimum, the following pre-dive checks are performed:

- a. Breathing air tanks contain sufficient air supply to perform the required work, i.e., standby air tanks are on site and full to the capacity (3,000 psi). A pressure reading shall be taken to insure that approximately 3,000 psi of breathing air is contained.
- b. All diving equipment shall be checked for proper function prior to diver entry.
- c. All necessary safety equipment specified hereinbefore are on site and functioning properly.
- d. Lockout/tagout procedures are followed and the diving supervisor is in possession of the key or keys.
- e. Crane signals are reviewed and radio communications with the crane operator is functioning properly, when applicable.
- f. Welding or cutting procedures are clearly reviewed, the proper welder polarity is set and precautions have been taken to insure that electrocution will not occur.
- g. A pre-dive briefing shall be given which includes but is not limited to, the accident management plan, activity hazards analysis, equipment check list, diving logs, diving conditions, and diving procedures.

3.5 DIVE TEAM CREW REQUIREMENTS

The following dive team members are required as the minimum crew manning levels:

Comply with EM 385-1-1, Appendix N, Table III.

3.5.1 Surface-Supplied Air Mode

All working dives requiring communications between the Divers and topside to direct crane load movements, etc., shall be performed in

surface-supplied air mode. The minimum crew manning level consists of the In-water Diver, Stand-by Diver, Diver Tender, and Dive Supervisor. A member of the crew shall be responsible for radio communications and timekeeping. Surface-supplied air gear shall include hardwire communications and a diver carried air reserve.

3.6 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the contract prices of the bid items to which this work is incidental.

-- End of Section --

SECTION 01305

SUBMITTAL PROCEDURES

PART 1 GENERAL

- 1.1 SUMMARY (Not Applicable)
- 1.2 REFERENCES (Not Applicable)
- 1.3 SUBMITTALS

SD-07 Schedules

Review and Complete Submittal Register (ENG Form 4288); GA CO. Deviations from the Plans and Specifications; GA CO. Updated Submittal Register (ENG Form 4288); GA CO.

1.4 SUBMITTAL CLASSIFICATION

Submittals are classified as follows:

1.4.1 Government Approved

Governmental approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction," they are considered to be "shop drawings."

1.4.2 Information Only

All submittals not requiring Government approval will be for information only. They are not considered to be "shop drawings" within the terms of the Contract Clause referred to above.

1.5 APPROVED SUBMITTALS

The approval of submittals by the Contracting Officer shall not be construed as a complete check, but will indicate only that the general method of construction, materials, detailing and other information are satisfactory. Approval will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the CQC requirements of this contract, is responsible for the dimensions and design of adequate connections, details and satisfactory construction of all work. After submittals have been approved by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be given consideration unless accompanied by an explanation as to why a substitution is necessary.

1.6 DISAPPROVED SUBMITTALS

The Contractor shall make all corrections required by the Contracting Officer and promptly furnish a corrected submittal in the form and number of copies as specified for the initial submittal. If the Contractor

considers any correction indicated on the submittals to constitute a change to the contract, notice as required under the Contract Clause entitled "Changes" shall be given promptly to the Contracting Officer.

1.7 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

PART 2 (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL

The Contractor shall submit all items listed on the Submittal Register (ENG Form 4288) or specified in the other sections of these specifications. The Contracting Officer may request submittals in addition to those listed when deemed necessary to adequately describe the work covered in the respective sections. Units of weights and measures used on all submittals shall be the same used in the contract drawings. Submittals shall be made in the respective number of copies and to the respective addresses set forth below. Each submittal shall be complete and in sufficient detail to allow ready determination of compliance with contract requirements. Prior to submittal, all items shall be checked and approved by the Contractor and each respective transmittal form (ENG Form 4025) shall be signed and dated certifying that the accompanying submittal complies with the contract requirements. Proposed deviations from the contract requirements shall be clearly identified. Submittals shall include items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves; test reports; test cylinders; samples; O&M manuals including parts list; certifications; warranties and other such required submittals. Submittals requiring Government approval shall be scheduled and made prior to the acquisition of the material or equipment covered thereby.

3.2 SUBMITTAL REGISTER (ENG Form 4288)

Attached to this section of the specifications is a Submittal Register (ENG Form 4288) listing each item of equipment and material for which submittals are required by the specifications. Columns "d" thru "r" have been completed by the Government. The Contractor shall complete columns "c" and "s" thru "u". The Contractor shall review, complete and submit, in triplicate, the completed register listing all submittals and dates, to the Contracting Officer at the CQC coordination meeting. In addition to those items listed on ENG Form 4288, the Contractor shall furnish submittals for any deviations from the plans and specifications. The scheduled need date shall be recorded on the register for each item for control purposes. The approved submittal register will become the scheduling document and will be used to control submittals throughout the life of the contract. Scheduling shall be coordinated with the approved network analysis system. The Contractor's CQC system manager shall review the listing at least every 30 days and take appropriate action to maintain an effective system. Copies of updated or corrected listings shall be submitted to the Contracting Officer at least every 60 days, in triplicate. Payment will not be made for any material or equipment which does not comply with contract requirements.

3.3 SCHEDULING

Submittals covering component items forming a system or items that are interrelated shall be scheduled to be coordinated and submitted concurrently. Certifications to be submitted with the pertinent drawings shall be so scheduled. In completing the register, a minimum of 30 calendar days, exclusive of mailing time, shall be allowed for review and approval, or possible resubmittal for all listed submittals. No delays, damages or time extensions will be allowed for time lost in late submittals.

3.4 TRANSMITTAL FORM (ENG Form 4025)

The transmittal form (ENG Form 4025) attached to this section of the specification shall be used for submitting both Government approved and information only submittals in accordance with the instructions on the reverse side of the form. This form shall be properly completed by filling out all the heading blank spaces and identifying each item submitted. Special care shall be exercised to ensure proper listing of the specification paragraph and/or sheet number of the contract drawings pertinent to the data submitted for each item.

3.5 SUBMITTAL PROCEDURE

Paragraph (g) of Contract Clause: "Specifications and Drawings for Construction," is modified as specified herein. While submittals referred to in the text may use the wording "submitted to the Contracting Officer", submittals will be reviewed as indicated in column "r" of the Submittal Register (ENG Form 4288). Submittals shall be made to the addresses set forth below. Submittals shall be made as follows:

3.5.1 Submittals for Contracting Officer Approval

The Contractor shall submit to the Contracting Officer (CO), by the most expedient means, a total of seven copies of shop drawings and other submittals listed on the Submittal Register (ENG Form 4288) as requiring technical review by the CO. The Contractor shall be responsible for all costs incurred in transmitting the required information for review in the submittal process.

CO mailing address:
US Army Corps of Engineers
Philadelphia District
Attn: CENAP-OP-TC (G. Pappas)
Wanamaker Building
100 Penn Square East
Philadelphia, PA 19107-3390

3.5.2 Deviations

For submittals which include proposed deviations requested by the Contractor, the column "variation" of ENG Form 4025 shall be checked. The Contractor shall set forth in writing the reason for any deviations and annotate such deviations on the submittal. The Government reserves the right to rescind inadvertent approval of submittals containing unnoted deviations.

3.5.3 Completion of Work

Upon completing the work under this contract, the Contractor shall furnish one set of prints of all shop drawings as finally approved. These drawings

shall show all changes and revisions made up to the time the items covered by the shop drawings are completed and accepted.

3.6 CONTROL OF SUBMITTALS

The Contractor shall carefully control his procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

3.7 GOVERNMENT APPROVED SUBMITTALS

Upon completion of review of submittals requiring Government approval, the submittals will be identified as having received approval by being so stamped and dated. Three (3) copies of the submittal will be retained by the Contracting Officer and the remaining copies of the submittal will be returned to the Contractor.

3.8 INFORMATION ONLY SUBMITTALS

Normally submittals for information only will not be returned. Approval of the Contracting Officer is not required on information only submittals. These submittals will be used for information purposes. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications and will not prevent the Contracting Officer from requiring removal and replacement if nonconforming material is incorporated in the work. This does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or check testing by the Government in those instances where the technical specifications so prescribe.

3.9 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

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ENG FORM 4288

	SUBMITTAL REGISTER (ER 415-1-10)															CONTRACT NO.								
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ENG FORM 4288

SUBMITTAL REGISTER (ER 415 1-10)

TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01060 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α ō Е SUBMIT SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-С О M Е C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W D E N O E R D E N O 1.2 CO Qualifications CO 1.2 Accident Prevention Plan 1.2 Activity Phase Hazard Analysis Plan X CO 1.2 Safety Meeting Reports Χ 1.2 Accident Reports Х Χ 1.2 Х Χ OSHA 200 Log 1.2 Floating Plant Inspection X X CO

CONTRACT NO.

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CONTRACT NO. SUBMITTAL REGISTER (ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01305 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α ō Е SUBMIT SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-С О M Ε С О NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W D E N O E R D E N O 1.3 Review and Complete Submittal X CO Register (ENG Form 4288) Deviations from the Plans and х со 1.3 Specifications Updated Submittal Register (ENG 1.3 X CO Form 4288)

CONTRACT NO. SUBMITTAL REGISTER (ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01311 GOVERNMENT CLASSI-CONTRACTOR CONTRACTOR TYPE OF SUBMITTAL ACTION FICATION SCHEDULE DATES ACTION C E R T A C T O I & N M F ō Е SUBMIT SPECIFICATION APPROVAL MATERIAL TRANS-TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-С О M Ε С О ITEM SUBMITTED NO. NUMBER SUBMIT BY BY DATE MENT DATE REMARKS W D E N O N O E R D E 1.3 Preliminary Network Analysis CO CO 1.3 Final Network Analysis 1.3 X CO **Revised Schedules** 1.3 Periodic Progress Reports Χ

CONTRACT NO. SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01380 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL ACTION FICATION SCHEDULE DATES ACTION C E R T O I & N M F Α 0 T S T T M F O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-С О M Ε С О ITEM SUBMITTED NO. NUMBER SUBMIT BY BY DATE MENT DATE REMARKS W D E N O N O E R D E 1.3 Photography Plan CO Χ х со 1.3 Construction Photographs

CONTRACT NO. SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01430 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α ō T S T T O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Е С О NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W D E N O N O E R D E Location of Storage Facilities CO 1.6 Χ х со 1.6 **Environmental Protection Plan**

CONTRACT NO. SUBMITTAL REGISTER (ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01451 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α ō Е SUBMIT SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-С О M Ε С О NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W D E N O E R D E N O 1.3 Contractor Quality Control Plan CO Χ CO 1.3 Interim Quality Control Plan 1.3 Changes to Quality Control Plan Χ CO X CO 1.3 Daily CQC Report Preparatory, Initial, and Final Phase х со 1.3

Meeting Minutes

SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01500 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL SCHEDULE DATES FICATION ACTION ACTION C E R T O I & N M F Α G 0 T S T T M F O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Ε C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W D E Ν Ν E R D E 0 0 1.2 X CO Temporary Facilities Plan

SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 01700 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL SCHEDULE DATES FICATION ACTION ACTION C E R T O I & N M F Α 0 T S T T O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ε MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Е C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W Ν Ν E R D E D E 0 0 1.2 Preliminary and Final As-Builts X CO

SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 02225 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α 0 T S T T M F O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Е С О ITEM SUBMITTED NO. NUMBER SUBMIT BY BY DATE MENT DATE REMARKS W D E N O N O E R D E 1.3 Shop Drawings CO Χ X CO 1.3 Work Plan

SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 02271 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α 0 T S T T O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ε MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Е C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W Ν N O E R D E D E 0 1.3 Sources for Riprap CO Χ CO 1.3 **Gradation Curves** 1.3 х со Work Plan

SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 02300 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL SCHEDULE DATES FICATION ACTION ACTION C E R T O I & N M F Α 0 T S T T M F O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ė MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Е C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W D E Ν Ν E R D E 0 0 X CO 1.2 **Excavation Plan**

SUBMITTAL REGISTER (ER 415 1-10)

TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 02385 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α 0 Ε SUBMIT SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ε MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Ε C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W N O E R D E D E Ν 0 Representative Samples and X CO 1.3 Documentation of Testing **Documentation of Testing** 1.3 X CO 1.3 Pre-construction Hydrographic Χ Surveys 1.3 As-built Surveys x co X CO 1.3 **Articulating Concrete Revetment** Installation Work Plan 1.3 Verification of Qualified Technical x co Support **Qualifications of Concrete Testing** х со 1.3 Laboratory Fine Aggregate Concrete Mix Design 1.3 x co for Prewoven, Grout-filled Fabric Articulating Revetment **Quality Control Reports** Χ 1.3

SUBMITTAL REGISTER (ER 415 1-10) TITLE AND LOCATION Rehabilitation of Wilmington Harbor South Jetty TYPE OF SUBMITTAL TYPE OF SUBMITTAL FIGATION CONTRACTOR SPECIFICATION SECTION O3101 CLASSIFICATION SCHEDULE DATES CONTRACTOR SCHEDULE DATES ACTION ACTION

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CONTRACT NO. SUBMITTAL REGISTER (ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 03201 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α 0 Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ε MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Е C 0 ITEM SUBMITTED NO. NUMBER SUBMIT BY BY DATE MENT DATE **REMARKS** W N O N O E R D E D E 1.2 Fabrication and Placement CO Χ X CO 1.2 Materials Tests, Inspections, and Verifications 1.2 Material x co

SUBMITTAL REGISTER (ER 415 1-10)

TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 03307 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL ACTION FICATION SCHEDULE DATES ACTION C E R T O I & N M F Α 0 Е SUBMIT SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ε MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Ε C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE REMARKS W N O E R D E D E Ν 0 Air-Entraining Admixture CO 1.3 Χ CO 1.3 Accelerating Admixture 1.3 Water-Reducing or Retarding х со Admixture **Curing Materials** 1.3 x co Batching and Mixing Equipment X CO 1.3 Conveying and Placing Concrete X CO 1.3 1.3 X CO Aggregates 1.3 Concrete Mixture Proportions Х Χ CO 1.3 **Cementitious Materials** X CO CO 1.3 Χ Aggregates X CO 1.3 Work Plan for Underwater Concrete

CONTRACT NO. SUBMITTAL REGISTER
(ER 415 1-10) TITLE AND LOCATION CONTRACTOR SPECIFICATION SECTION **Rehabilitation of Wilmington Harbor South Jetty** 05500 GOVERNMENT CONTRACTOR CLASSI-CONTRACTOR TYPE OF SUBMITTAL FICATION SCHEDULE DATES ACTION ACTION C E R T O I & N M F Α 0 T S T T O V A R U H T R F S R M R E P A C E E E I A E A M R P W T D M P C M C N A N R D I I U E O A P O U T O M O A N O L N R T L R A I N E V T G N E T T E E D L O L N E A S S S S S S S S S S N Y T D Е SUBMIT V SPECIFICATION MATERIAL TRANS-**APPROVAL** TO Ε MITTAL PARAGRAPH **DESCRIPTION OF** NEEDED NEEDED GOVERN-M С О Е C 0 NO. NUMBER ITEM SUBMITTED SUBMIT BY BY DATE MENT DATE **REMARKS** W N O N O E R D E D E 1.2 CO Miscellaneous Metal Items Χ X CO 1.2 Welder Qualifications

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INSTRUCTIONS

- 1. Section I will be initiated by the Contractor in the required number of copies.
- 2. Each transmittal shall be numbered consecutively in the space provided for "Transmittal No.". This number, in addition to the contract number, will form a serial number for identifying each submittal. For new submittals or resubmittals mark the appropriate box; on resubmittals, insert transmittal number of last submission as well as the new submittal number.
- 3. The "Item No." will be the same "Item No." as indicated on ENG FORM 4288-R for each entry on this form.
- 4. Submittals requiring expeditious handling will be submitted on a separate form.
- 5. Separate transmittal form will be used for submittals under separate sections of the specifications.
- 6. A check shall be placed in the "Variation" column when a submittal is not in accordance with the plans and specifications--also, a written statement to that effect shall be included in the space provided for "Remarks".
- 7. Form is self-transmittal, letter of transmittal is not required.
- 8. When a sample of material or Manufacturer's Certificate of Compliance is transmitted, indicate "Sample" or "Certificate" in column c, Section I.
- 9. U.S. Army Corps of Engineers approving authority will assign action codes as indicated below in space provided in Section I, column i to each item submitted. In addition they will ensure enclosures are indicated and attached to the form prior to return to the contractor. The Contractor will assign action codes as indicated below in Section I, column g, to each item submitted.

THE FOLLOWING ACTION CODES ARE GIVEN TO ITEMS SUBMITTED

A -- Approved as submitted. E -- Disapproved (See attached).

B -- Approved, except as noted on drawings. F -- Receipt acknowledged.

C -- Approved, except as noted on drawings. FX -- Receipt acknowledged, does not comply Refer to attached sheet resubmission required. FX -- Receipt acknowledged, does not comply as noted with contract requirements.

D -- Will be returned by separate correspondence. G -- Other (Specify)

10. Approval of items does not relieve the contractor from complying with all the requirements of the contract plans and specifications.

(Reverse of ENG Form 4025-R)

SECTION 01311

CONTRACTOR-PREPARED NETWORK ANALYSIS SYSTEM

PART 1 GENERAL

1.1 SUMMARY

The work specified in this section consists of the preparation of a network analysis system for the contract work.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CORPS OF ENGINEERS (COE)

ER 1-1-11

(15 June 1995) Progress, Schedules, and Network Analysis System

1.3 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01305 SUBMITTALS:

SD-07 Schedules

Preliminary Network Analysis; GA CO.

Six copies of a preliminary network analysis defining the Contractor's planned operations during the first sixty (60) calendar days shall be submitted within ten (10) days after the notice to proceed. The Contractor's general approach for the balance of the project shall be indicated. Cost of activities expected to be completed or partially complete before submission and approval of the entire schedule shall be included.

Final Network Analysis; GA CO.

Six copies of the complete final network analysis consisting of the detailed network mathematical analysis (on-site manpower loading schedule, equipment schedule) and network diagrams shall be submitted within forty (40) calendar days after receipt of the notice to proceed.

Revised Schedules; GA CO.

Six copies shall be submitted within ten (10) calendar days after the review conference. See paragraph entitled "Revised Schedules" in Part 3 of this specification section.

Periodic Progress Reports; FIO.

Submit four copies of a report of the actual construction progress. See paragraph entitled "Periodic Progress Reports" in Part 3 of this specification section.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 NETWORK ANALYSIS SYSTEM

The progress chart to be prepared by the Contractor pursuant to the clause entitled: "Schedules for Construction Contracts" shall consist of a network analysis system as described below. In preparing this system the scheduling of construction is the responsibility of the Contractor. The requirement for the system is included to assure adequate planning and execution of the work and to assist the Contracting Officer in appraising the reasonableness of the proposed schedule and evaluating progress of work.

3.1.1 General

The network analysis system shall be in accordance with ER 1-1-11. The Contractor shall have the option of using a microcomputer based network analysis system. If the Contractor elects to use a microcomputer based system, one of the following software programs shall be used: (1) PMS 80 Project Management System by Pinnell Engineering, Inc., 5331 S.W. Macadam Ave., Suite 270, Portland, Oregon 97201, telephone (503) 243-2246; or (2) Primavera Project Planner by Primavera Systems, Inc., Suite 925, Two Bala Plaza, Bala Cynwyde, PA 19004, telephone (215) 667-8600. The system to be used shall be identified at the Pre-Construction Conference. Submittal of information by the Contractor shall be by hard copy or by electronic data transfer.

3.1.2 System Requirements

The system shall consist of diagrams and accompanying mathematical analyses. The diagrams shall show elements of the project in detail and the entire project in summary.

3.1.2.1 Diagrams

Diagrams shall show the order and interdependence of activities and the sequence in which the work is to be accomplished as planned by the Contractor. The basic concept of a network analysis diagram will be followed to show how the start of a given activity is dependent on the completion of preceding activities and its completion restricts the start of the activities to follow. Sheet size of diagrams shall be 30 by 42 inches. Each updated copy shall show a date of the latest revision.

3.1.2.2 Network Details

Detailed network activities shown on a detailed or sub-network diagram shall include, in addition to construction activities, the submittal and approval of samples of materials and shop drawings, the procurement of critical materials and equipment, fabrication of special materials and equipment and their installation and testing. All activities of the Government that affect progress and contract required dates for completion of all or parts of the work shall be shown. The detail of information shall be such that duration times of activities shall range from three to 30 days with not over two percent of the activities exceeding these limits. The

activities which comprise the separate buildings or project features shall be separately identifiable by coding or use of sub-networks, or both. The selection and number of activities shall be subject to approval by the Contracting Officer. Detailed networks, when summary networks are also furnished, need not be time scaled but shall be drafted to show a continuous flow from left to right with no arrows from right to left. The following information shall be shown on the diagrams for each activity: preceding and following event numbers; description of the activity; cost; and activity summary.

3.1.2.3 Summary Network

If the project is of such size that the entire network cannot be readily shown on a single sheet, a summary network diagram shall be provided. The summary network diagram shall consist of a minimum of 50 activities and a maximum of 150 activities, and shall be based on and supported by detailed diagrams. Related activities shall be grouped on the network. The critical path shall be plotted generally along the center of the sheet with channels having increasing float placed towards the top or bottom. The summary network shall be time scaled using units of approximately one-half inch equals one week or other suitable scale approved by the Contracting Officer. Weekends and holidays shall be indicated on the network. Where slack exists, the activities shall be shown at the time when they are scheduled to be accomplished.

3.1.3 Mathematical Analysis

The mathematical analysis of the network diagram shall include a tabulation of each activity shown on the detailed network diagrams. The following information shall be furnished as a minimum for each activity:

- a. Preceding and following event numbers (numbers shall be selected and assigned so as to permit identification of the activities with bid items);
 - b. Activity descriptions;
- c. Estimated duration of activities (the best estimate available at time of computation);
 - d. Earliest start date (by calendar date);
 - e. Earliest finish date (by calendar date);
 - f. Scheduled or actual start date (by calendar date);
 - g. Scheduled or actual finish date (by calendar date);
 - h. Latest start date (by calendar date);
 - i. Latest finish date (by calendar date);
 - j. Slack or float;
 - k. Monetary value of activity;
- Responsibility for activity (Prime Contractor, subcontractors, suppliers, Government, etc.);

- m. Manpower required;
- n. Percentage of activities completed;
- o. Contractor's earnings based on portion of activity completed; and,
 - p. Bid item of which activity is a part.

3.1.3.1 Mathematical Program

The program or means used in making the mathematical computation shall be capable of compiling the total value of completed and partially completed activities and subtotals from separate buildings or project features.

3.1.3.2 Computations

In addition to the tabulation of activities, the computation will include the following data:

- a. Identification of activities which are planned to be expedited by use of overtime or double shifts to be worked including Saturdays, Sundays, and holidays;
 - b. On-site manpower loading schedule;
- c. A description of the major items of construction equipment planned for operation of the project. (The description shall include the type, number of units and unit capacities. A schedule showing proposed times that equipment will be on the work site keyed to activities on which equipment will be used shall be provided); and,
- d. Where portions of the work are to be paid by unit costs, the estimated number of units in an activity which was used in developing the total activity cost.

3.1.3.3 Order of Network Activities

The analysis shall list the activities in sorts or groups as follows:

- a. By the preceding event number from lowest to highest and then in the order of the following event number;
 - b. By the amount of slack, then in order of preceding event number;
- c. By responsibility in order of earliest allowable start dates; and,
- d. In order of latest allowable start dates, then in order of preceding event numbers, and then in order of succeeding event numbers.

3.1.4 Revised Schedules

The Contractor shall participate in a review and evaluation of the proposed network diagrams and analysis by the Contracting Officer. Any revisions necessary as a result of this review shall be resubmitted for approval by the Contracting Officer within ten calendar days after the conference. The approved schedule shall then be the schedule to be used by the Contractor for planning, organizing, and directing the work and for reporting

progress. If the Contractor thereafter desires to make changes in the method of operating and scheduling he shall notify the Contracting Officer in writing stating the reasons for the change. If the Contracting Officer considers these changes to be of a major nature, he may require the Contractor to revise and submit for approval, without additional cost to the Government, all or the affected portion of the detailed diagrams and mathematical analysis and the summary diagram to show the effect on the entire project. A change may be considered of a major nature if the time estimated to be required or actually used for an activity or the logic of sequence of activities is varied from the original plan to a degree that there is a reasonable doubt as to the effect on the contract completion date or dates. Changes which affect activities with adequate slack time shall be considered as minor changes, except that an accumulation of minor changes may be considered a major change when their cumulative effect might affect the contract completion date.

3.1.5 Periodic Progress Reports

The Contractor shall submit at intervals of once a month, four copies of a report of the actual construction progress by updating the mathematical analyses. Revisions causing changes in the detailed network shall be noted on the summary network, or a revised issue of affected portions of the detailed network furnished. The summary network shall be revised as necessary for the sake of clarity. However, only the initial submission or complete revisions need be time scaled. Subsequent minor revisions need not be time scaled.

3.1.5.1 Completion of Activities

Progress reports shall show the activities or portions of activities completed during the reporting period and their total value as basis for the Contractor's progress payment request. Payment made pursuant to Contract Clause: "Payments Under Fixed-Price Construction Contracts" will be based on the total value of such activities completed or partially completed after verification by the Contracting Officer. The report shall state the percentage of the work actually completed and scheduled as of the report date and the progress along the critical path in terms of days ahead or behind the allowable dates. If the project is behind schedule, progress along other paths with negative slack shall also be reported. The Contractor shall also submit a narrative report with the updated analysis which shall include, but not be limited to, a description of the problem areas, current and anticipated, delaying factors and their impact, and an explanation of corrective actions taken or proposed.

3.2 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

SECTION 01380

CONSTRUCTION PHOTOGRAPHS

PART 1 GENERAL

1.1 SUMMARY

The work specified in this section includes construction photographs consisting of prints and slides showing the progress of work during the contract period.

1.2 REFERENCES (Not Applicable)

1.3 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01305 SUBMITTAL PROCEDURES:

SD-08 Statements

Photography Plan; GA CO.

The Contractor shall submit for approval each month his photography plan, which shall include the following information: items to be photographed, location of photographs and the time the photographs will be taken.

SD-01 Data

Construction Photographs; GA CO.

Construction photographs including slides shall be furnished to the Government within 15 days of when they are taken throughout the contract period. One set of prints with accompanying slides and identification information shall be submitted to the Contracting Officer's Representative at the project office. A second set of prints with slides and identification information shall be sent to the Philadelphia District Office at the following address:

U.S. Army Corps of Engineers Philadelphia District ATTN: CENAP-EN-DC Wanamaker Building 100 Penn Square East Philadelphia, PA 19107-3390

1.4 QUALITY ASSURANCE

All photography shall be performed by a qualified, established commercial photographer.

1.5 GENERAL REQUIREMENTS

Photographs shall be legible and of clear professional quality. A scale or

object of known and stated dimensions shall be included within the frame of all subjects photographed

1.5.1 Prints

Prints shall be 5" x 7", color, on single weight paper with smooth surface, glossy finish. Identification information on back of each print shall include: name and location of project, item/action being photographed, General Contractor, orientation of view, date and time of exposure, and name and address of photographer. Also, each photograph shall be numbered in sequence and each photograph shall be cross referenced with a map showing the photograph number and direction arrow of the shot

1.5.2 Slides

Slides shall be 35mm, color, with each identified by a number on the slide referenced to a printed listing containing the same identification information as used for the prints.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 PHOTOGRAPHY

A minimum of 15 photographs and a maximum of 20 photographs shall be taken each month. The Contractor shall submit two color prints and two accompanying mounted slides for each photograph showing the progress of work at the following times during the contract period:

- a. Prior to commencement of work the Contractor shall photograph the existing conditions of the work site.
- b. During the progress of the work, such as at the start and completion of the definable features of work.
- c. After completion of the work the Contractor shall photograph the site from the same locations used to determine the existing conditions prior to construction.
- d. Special events throughout the contract period as directed by the Contracting Officer's Representative.

3.2 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

SECTION 01430

ENVIRONMENTAL PROTECTION

PART 1 GENERAL

1.1 SUMMARY

This section covers the furnishing of all labor, material and equipment and performing all work required for the protection of the environment during construction operations except for those measures set forth in other sections of these specifications.

1.2 REFERENCES (Not Applicable)

1.3 GENERAL

For the purpose of this specification, environmental protection is defined as the retention of the environment in its natural state to the greatest extent possible during project construction and to enhance the natural appearance in its final condition. Environmental protection requires consideration of air, water, and land resources and involves noise, solid waste-management and management of other pollutants. In order to prevent, and to provide for abatement and control of any environmental pollution arising from the construction activities in performance of this contract, the Contractor and his subcontractors shall comply with all applicable Federal, state, and local laws and regulations and shall obtain all necessary permits required by same.

1.4 NOTIFICATION

The Contracting Officer will notify the Contractor in writing of any non-compliance with the aforementioned Federal, state, or local laws or regulations. Such notice, when delivered to the Contractor or his authorized representative at the site of the work, shall be deemed sufficient for the purpose. The Contractor shall, after receipt of such notice, immediately inform the Contracting Officer of proposed corrective action and take such action as may be approved. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to any such stop orders shall be made subject of a claim for extension of time or for excess costs or damages by the Contractor.

1.5 SUBCONTRACTORS

Compliance with the provisions of this section by subcontractors will be the responsibility of the Contractor.

1.6 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01305 SUBMITTAL PROCEDURES:

SD-04 Drawings

Location of Storage Facilities; GA CO.

Plans showing storage and other construction facilities shall be submitted for approval of the Contracting Officer.

SD-18 Records

Environmental Protection Plan; GA CO.

Prior to commencement of the work, the Contractor shall submit to the Contracting Officer for approval his proposed environmental protection plan. The Contractor shall also be responsible for obtaining any required soil erosion and sediment control plan certifications and furnishing a copy of the submitted plan and certification to the Contracting Officer. The Contractor shall also furnish a copy of the letter of permission from the landfill to be used for any excavated material, which shall include any special handling required by the landfill. This shall be followed by a meeting with representatives of the Contracting Officer to develop mutual understandings relative to compliance with this provision and administration of the environmental protection program. Approval of the Contractor's plan for environmental protection will not relieve the Contractor of his responsibility for adequate and continuing control of pollutants.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 PROTECTION OF LAND RESOURCES

3.1.1 General

The land resources within the project boundaries and outside the limits of permanent work performed under this contract shall be preserved in their present condition or be restored to a condition after completion of construction that will appear to be natural and not detract from the appearance of the project. The Contractor shall confine his construction activities to areas defined by the plans and specifications. The following additional requirements are intended to supplement the requirements of the Contract Clauses.

3.1.2 Prevention of Landscape Defacement

Except in areas indicated on the plans or specified to be cleared, the Contractor shall not deface, injure, or destroy trees or shrubs, nor remove or cut them without the authority of the Contracting Officer. Ropes, cables, or guys shall not be fastened to or attached to any existing nearby trees for anchorages unless specifically authorized. Where such special emergency use is permitted, it shall be performed in such a manner as to avoid damage to the trees. The Contractor shall in any event be responsible for any damage resulting from such use. Where the possibility exists that trees may be defaced, bruised, injured, or otherwise damaged by the Contractor's equipment or operations, the Contractor shall adequately protect such trees. Stone, earth or other material that is displaced into uncleared areas shall be removed. Monuments and markers shall be protected before construction operations commence.

3.1.3 Restoration of Landscape Damage

Any tree, turfed areas or other landscape feature scarred or damaged by the Contractor's equipment or operations shall be restored to a condition satisfactory to the Contracting Officer. Restoration of scarred and damaged trees shall be performed in an approved manner by experienced workmen. Trees damaged beyond restoration shall be removed and disposed of under requirements for excavation. Trees that are to be removed because of damage shall be replaced at the Contractor's expense by nursery-grown trees of the same species or a species approved by the Contracting Officer. The size and quality of nursery-grown trees shall also be approved by the Contracting Officer. Any disturbed turfed (grassed) areas shall be seeded and mulched as directed by the Contracting Officer.

3.1.4 Location of Storage Facilities

Contractor's storage and other construction buildings, which are required in the performance of the work, shall be located upon cleared portions of the job site and shall require the written approval of the Contracting Officer. The preservation of the landscape shall be an imperative consideration in the selection of all sites and in the construction of buildings. Where buildings or platforms are constructed on sidehills, the Contracting Officer may require cribbing to be used to obtain level foundations. Benching or leveling of earth may be permitted, depending on the location of the proposed facility.

3.1.5 Post Construction Cleanup or Obliteration

The Contractor shall obliterate all signs of temporary construction facilities, excess materials, or any other vestiges of construction as directed by the Contracting Officer. The area will be restored to near natural conditions which will permit the growth of vegetation thereon.

3.2 RECORDING AND PRESERVING HISTORICAL AND ARCHAEOLOGICAL FINDS

All items having any apparent historical or archaeological interest which are discovered in the course of any construction activities shall be carefully preserved. The Contractor shall leave the archaeological find undisturbed and shall immediately report the find to the Contracting Officer so that proper authorities may be notified.

3.3 PROTECTION OF WATER RESOURCES

3.3 General

The Contractor shall not pollute any streams, rivers or waterways with fuels, oils, bitumens, calcium chloride, acids, insecticides, herbicides or other harmful materials. The Contractor shall investigate and comply with all applicable Federal, state, county, and municipal laws concerning pollution of rivers and streams.

3.4 Soil Erosion and Sediment Control

3.4.1 Soil Erosion and Sediment Control

The Contractor shall conduct his operations in conformance with his certified Soil Erosion and Sediment Control Plan. Surface drainage from cuts and fills within the limits of the work shall be held in suitable sedimentation ponds or shall be graded to control erosion within acceptable limits. Temporary erosion and sediment control measures shall be provided

and maintained until the permanent work is completed and operative. The area of bare soil exposed at any given time by construction shall be restricted to a minimum. Fills and waste areas shall be constructed by selective placement of materials to eliminate silts or clays on the surface which may erode and contaminate the adjacent waterway. The Contractor shall comply with all applicable laws concerning soil erosion and sediment control.

3.4.2 DNREC Requirments

The Contractor shall conduct his operations in conformance with all Delaware Department of Natural Resources and Environmental Control (DNREC) sediment and stormwater regulations and the approved Erosion and Sediment Control Plan indicated on the drawings. Any proposed changes to the approved plan shall be coordinated and approved by DNREC. The Contractor shall designate a responsible individual who holds a certificate of attendance at a DNREC approved sediment and stormwater training class to administer the approved plan during the contract work. Certification must be obtained prior to initiation of any land disturbing operations.

3.4.3 General Soil Erosion and Sediment Control Requirements

Surface drainage from cuts and fills within the limits of the work shall be held in suitable sedimentation ponds or shall be graded to control erosion within acceptable limits. Temporary erosion and sediment control measures shall be provided and maintained until the permanent work is completed and operative. The area of bare soil exposed at any given time by construction shall be restricted to a minimum. Fills and waste areas shall be constructed by selective placement of materials to eliminate silts or clays on the surface which may erode and contaminate the adjacent waterway. The Contractor shall comply with all applicable laws concerning soil erosion and sediment control.

3.5 Disposal

Disposal of any debris resulting from the contract work and any wastes, effluents, trash, garbage, oil, grease, chemicals, etc., in or adjacent to the work area will not be permitted. If any waste material is dumped in unauthorized areas, the Contractor shall remove the material and restore the area to its original condition. If necessary, contaminated ground shall be excavated, disposed of as directed by the Contracting Officer, replaced with suitable fill material, compacted and finished with topsoil, and planted as required to reestablish vegetation.

3.6 PROTECTION OF FISH AND WILDLIFE

The Contractor shall at all times perform all work and take such steps required to minimize interference with or disturbance to fish and wildlife. The Contractor will not be permitted to alter water flows or otherwise disturb native habitat adjacent to the work area which, in the opinion of the Contracting Officer, are critical to fish and wildlife.

3.7 DISPOSAL OF DEBRIS

All debris resulting from construction operations on this contract shall be removed from the work site and disposed of at the Contractor's expense. Such disposal shall comply with all applicable Federal, state, and local laws. Such materials shall be removed from the site of the work before the

date of completion of the work under these specifications.

3.8 MAINTENANCE OF POLLUTION, EROSION AND SEDIMENTATION CONTROL FACILITIES DURING CONSTRUCTION

During the life of this contract, the Contractor shall maintain all facilities constructed for pollution, erosion and sedimentation control under this contract as long as the operations creating the particular pollutant are being carried out or until the material concerned has become stabilized to the extent that pollution is no longer being created. During the construction period, the Contractor shall conduct frequent training sessions on environmental protection. The curricula should include methods of detecting and avoiding pollution; familiarity with pollution standards, both statutory and contractual; and installation and care of vegetative covers, plants and other facilities to prevent and correct environmental pollution.

3.9 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

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SECTION 01451

CONTRACTOR QUALITY CONTROL

PART 1 GENERAL

1.1 SUMMARY

The work covered by this section consists of establishing and maintaining an effective quality control system throughout the contract period.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 3740	(1996) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E 329	(1995b) Agencies Engaged in the Testing and/or Inspection of Materials Used in

Construction

1.3 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01305 SUBMITTAL DESCRIPTIONS:

SD-08 Statements

Contractor Quality Control Plan; GA CO

The Contractor shall furnish for review by the Government, not later than 10 days after receipt of notice to proceed, and a minimum of 10 days prior to the Contractor Quality Control coordination meeting, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of the Contract Clause entitled "Inspection of Construction." The CQC Plan shall include the qualifications of the CQC System Manager, Alternate CQC System Manager, and other CQC Personnel indicated in the "Experience Matrix" found later in this specification section.

Interim Quality Control Plan; GA CO

The Government will consider an interim plan for the first 30 days of operation. The interim plan shall be submitted at the CQC Coordination Meeting.

Changes to Quality Control Plan; GA CO.

Contractor shall notify Contracting Officer, in writing, of any proposed change to the CQC Plan or personnel, a minimum of 24 hours prior to the change.

SD-18 Records

Daily CQC Report; GA CO.

The original and one copy of the report shall be furnished to the Government daily within 24 hours after the date covered by the report. Reports shall be signed and dated by the CQC System Manager. The report from the CQC System Manager shall include copies of test reports and copies of reports prepared by all subordinate quality control personnel.

Preparatory, Initial, and Final Phase Meeting Minutes; GA CO.

Minutes shall be attached to the Daily Quality Control Report for the day of the meeting.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL

The Contractor is responsible for quality control and shall establish and maintain an effective quality control system in compliance with the Contract Clause titled "Inspection of Construction." The quality control system shall consist of plans, procedures, and organization necessary to produce an end product which complies with the contract requirements. The system shall cover all construction operations, both onsite and offsite, and shall be keyed to the proposed construction sequence. The project superintendent will be held responsible for the quality of work on the job and is subject to removal by the Contracting Officer for non-compliance with quality requirements specified in the contract. The project superintendent in this context shall mean the individual with the responsibility for the overall management of the project including quality and production.

3.2 QUALITY CONTROL PLAN

3.2.1 General

The CQC plan shall identify personnel, procedures, control, instructions, test, records, and forms to be used. The Government will consider an interim plan as discussed in the paragraph "SUBMITTALS". Construction will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the features of work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of work to be started.

3.2.2 Content of the CQC Plan

The CQC Plan shall include, as a minimum, the following to cover all construction operations, both onsite and offsite, including work by subcontractors, fabricators, suppliers, and purchasing agents:

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified. The staff shall include a CQC System Manager who shall report to the project superintendent.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters shall also be furnished to the Government.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of subcontractors, offsite fabricators, suppliers, and purchasing agents. These procedures shall be in accordance with Section 01305 SUBMITTAL PROCEDURES.
- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities will be approved by the Contracting Officer.)
- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.
- g. Procedures for tracking construction deficiencies from identification through acceptable corrective action. These procedures shall establish verification that identified deficiencies have been corrected.
- h. Reporting procedures, including proposed reporting formats.
- i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and may be identified by different trades or disciplines, or it may be work by the same trade in a different environment. Although each section of the specifications may generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

3.2.3 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of construction. Acceptance is conditional and will be predicated on satisfactory performance during the construction. The Government reserves the right to require the Contractor to make changes in his CQC Plan and operations including removal of personnel, as necessary, to obtain the

quality specified.

3.2.4 Notification of Changes

After acceptance of the CQC Plan, the Contractor shall notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

3.3 COORDINATION MEETING

After the Preconstruction Conference, before start of construction, and prior to acceptance by the Government of the CQC Plan, the Contractor shall meet with the Contracting Officer or Authorized Representative and discuss the Contractor's quality control system. During the Coordination meeting, a mutual understanding of the system details shall be developed, including the forms for recording the CQC operations, control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting shall be prepared by the Government and signed by both the Contractor and the Contracting Officer. The minutes shall become a part of the contract file. There may be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures which may require corrective action by the Contractor.

3.4 QUALITY CONTROL ORGANIZATION

3.4.1 General

The requirements for the CQC organization are a CQC System Manager and sufficient number of additional qualified personnel to ensure contract compliance. The Contractor shall provide a CQC organization which shall be at the site at all times during progress of the work and with complete authority to take any action necessary to ensure compliance with the contract. All CQC staff members shall be subject to acceptance by the Contracting Officer.

3.4.2 CQC System Manager

The Contractor shall identify as CQC System Manager an individual within the onsite work organization who shall be responsible for overall management of CQC and have the authority to act in all CQC matters for the Contractor. The CQC System Manager shall be a graduate engineer or a graduate of construction management, with a minimum of five years construction experience on construction similar to this contract. This CQC System Manager shall be on the site at all times during construction and shall be employed by the prime Contractor. The CQC System Manager shall be assigned no other duties. An alternate for the CQC System Manager shall be identified in the plan to serve in the event of the System Manager's absence. The requirements for the alternate shall be the same as for the designated CQC System Manager.

3.4.3 CQC Personnel

In addition to CQC personnel specified elsewhere in the contract, the Contractor shall provide, as part of the CQC organization, a submittals clerk to assist the CQC System Manager in the preparation and management of project submittals. This individual may be an employee of the prime or subcontractor; shall be responsible to the CQC System Manager; shall be

physically present at the construction site during work on their area of responsibility; shall have a minimum of one year's experience in the preparation and handling of contract submittals. This individual may perform other duties but must be allowed sufficient time to perform their assigned quality control duties as described in the Quality Control Plan.

3.4.4 Additional Requirement

In addition to the above experience and/or education requirements, the CQC System Manager shall have completed the 4-hour course entitled "Construction Quality Management For Contractors". The Contractor shall contact the Contracting Officer's Representative for locations where the course is offered.

3.4.5 Organizational Changes

The Contractor shall maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, the Contractor shall revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

3.5 CONTROL

Contractor Quality Control is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control shall be conducted by the CQC System Manager for each definable feature of work as follows:

3.5.1 Preparatory Phase

This phase shall be performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase shall include:

- a. A review of each paragraph of applicable specifications.
- b. A review of the contract drawings.
- c. A check to assure that all materials and/or equipment have been tested, submitted, and approved.
- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the contract.
- f. A physical examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. A review of the appropriate activity hazard analysis to assure safety requirements are met.
- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction

tolerances and workmanship standards for that feature of work.

- i. A check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the initial control phase.
- k. The Government shall be notified at least 24 hours in advance of beginning the preparatory control phase. This phase shall include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. The results of the preparatory phase actions shall be documented by separate minutes prepared by the CQC System Manager and attached to the daily CQC report. The Contractor shall instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

3.5.2 Initial Phase

This phase shall be accomplished at the beginning of a definable feature of work. The following shall be accomplished:

- a. A check of work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing.
- c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve all differences.
- e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.
- f. The Government shall be notified at least 24 hours in advance of beginning the initial phase. Separate minutes of this phase shall be prepared by the CQC System Manager and attached to the daily CQC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.
- g. The initial phase should be repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

3.5.3 Follow-up Phase

Daily checks shall be performed to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. The checks shall be made a matter of record in the CQC documentation. Final follow-up checks shall be conducted and all deficiencies corrected prior to the start of additional features of work which may be affected by the deficient work. The Contractor shall not build upon nor conceal non-conforming work.

3.5.4 Additional Preparatory and Initial Phases

Additional preparatory and initial phases shall be conducted on the same definable features of work if the quality of on-going work is unacceptable, if there are changes in the applicable CQC staff, onsite production supervision or work crew, if work on a definable feature is resumed after a substantial period of inactivity, or if other problems develop.

3.6 TESTS

3.6.1 Testing Procedure

The Contractor shall perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, the Contractor shall furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and/or acceptance tests when specified. The Contractor shall procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. The Contractor shall perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Results of all tests taken, both passing and failing tests, shall be recorded on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test shall be given. If approved by the Contracting Officer, actual test reports may be submitted later with a reference to the test number and date taken. An information copy of tests performed by an offsite or commercial test facility shall be provided directly to the Contracting Officer. Failure to submit timely test reports as stated may result in nonpayment for related work performed and disapproval of the test facility for this contract.

3.6.2 Testing Laboratories

3.6.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel shall meet criteria detailed in ASTM D 3740 and ASTM E 329.

3.6.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed a charge of \$800.00 to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the contract amount due the Contractor.

3.6.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

3.6.4 Furnishing or Transportation of Samples for Testing

Costs incidental to the transportation of samples or materials shall be borne by the Contractor. Samples of materials for test verification and acceptance testing by the Government shall be delivered to the Corps of Engineers Division Laboratory, f.o.b., at an address to be provided by the Contracting Officer.

Coordination for each specific test, exact delivery location, and dates will be made through the Area Office.

3.7 COMPLETION INSPECTION

3.7.1 Punch-Out Inspection

Near the completion of all work or any increment thereof established by a completion time stated in the Special Clause entitled "Commencement, Prosecution, and Completion of Work," or stated elsewhere in the specifications, the CQC System Manager shall conduct an inspection of the work and develop a punch list of items which do not conform to the approved drawings and specifications. Such a list of deficiencies shall be included in the CQC documentation, as required by paragraph DOCUMENTATION below, and shall include the estimated date by which the deficiencies will be corrected. The CQC System Manager or staff shall make a second inspection to ascertain that all deficiencies have been corrected. Once this is accomplished, the Contractor shall notify the Government that the facility is ready for the Government Pre-Final inspection.

3.7.2 Pre-Final Inspection

The Government will perform this inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. The Contractor's CQC System Manager shall ensure that all items on this list have been corrected before notifying the Government so that a Final inspection with the customer can be scheduled. Any items noted on the Pre-Final inspection shall be corrected in a timely manner. These inspections and any deficiency corrections required by this paragraph shall be accomplished within the time slated for completion of the entire work or any particular increment thereof if the project is divided into increments by separate completion dates.

3.7.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting

Officer's Representative shall be in attendance at this inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands may also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notice shall be given to the Contracting Officer at least 14 days prior to the final acceptance inspection and shall include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the contract clause titled "Inspection of Construction".

3.8 DOCUMENTATION

The Contractor shall maintain current records providing factual evidence that required quality control activities and/or tests have been performed. These records shall include the work of subcontractors and suppliers and shall be on an acceptable form that includes, as a minimum, the following information:

- a. Contractor/subcontractor and their area of responsibility.
- b. Operating plant/equipment with hours worked, idle, or down for repair.
- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and/or control activities performed with results and references to specifications/drawings requirements. The control phase should be identified (Preparatory, Initial, Follow-up). List deficiencies noted along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals reviewed, with contract reference, by whom, and action taken.
- g. Off-site surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- i. Instructions given/received and conflicts in plans and/or specifications.
- j. Contractor's verification statement.

These records shall indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. These records shall cover both conforming and deficient features and shall include a statement that equipment and

materials incorporated in the work and workmanship comply with the contract.

3.9 SAMPLE FORMS

A sample Daily CQC Report form is enclosed at the end of this section.

3.10 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor shall take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No part of the time lost due to such stop orders shall be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

3.11 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

(Sample of typical Contractor Quality Control Report)

CONTRACTOR"S NAME (address)

DAILY CONSTRUCTION QUALITY CONTROL REPORT

Contract No.	Date
Project Name	Report No
Weather	-
Phases of Construction in Progress (Give briefly only phase or phases of world	k in progress)
Material and/or Equipment Delivered to Site (Including equipment demob)	
<u>Inspection Made</u> (Include negative inspections, phase of in-progress construdeficiencies noted during inspections)	ction work inspected and all
Preparatory	
Initial	
Follow-up	

SECTION 01500

TEMPORARY CONSTRUCTION FACILITIES

PART 1 GENERAL

1.1 SUMMARY

The work covered by this section consists of furnishing all labor, materials, plant and equipment, and performing all operations required for the construction of storage and laydown areas, and service facilities needed for execution and completion of the work, including the provisions of a Contractor field office, a Government field office, temporary safety fence, security fence, and project and safety signs.

1.2 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01305 SUBMITTAL PROCEDURES:

SD-08 Statements

Temporary Facilities Plan; GA CO.

The Contractor shall submit to the Contracting Officer for approval, at least 30 days prior to construction, its plans showing the layout and details of all temporary facilities to be used for this contract, including proposed alignment of all safety fencing.

1.3 GENERAL REQUIREMENTS

1.3.1 Site Plan

The Contractor shall prepare a site plan indicating the proposed location and dimensions of any area to be fenced and used by the Contractor, the number of trailers to be used, avenues of ingress/egress to the fenced area and details of the fence installation. Any areas which may have to be graveled to prevent the tracking of mud shall also be identified. The Contractor shall also indicate if the use of a supplemental or other laydown area is desired.

1.3.2 Identification of Employees

The Contractor shall be responsible for furnishing to each employee, and for requiring each employee engaged on the work to display, identification as approved and directed by the Contracting Officer. Prescribed identification shall immediately be delivered to the Contracting Officer for cancellation upon release of any employee. When required, the Contractor shall obtain and provide fingerprints of persons employed on the project. Contractor and subcontractor personnel shall wear identifying markings on hard hats clearly identifying the company for whom the employee works.

1.4 BULLETIN BOARD AND PROJECT AND SAFETY SIGNS

1.4.1 Bulletin Board

Immediately upon beginning work, the Contractor shall provide a weatherproof glass-covered bulletin board not less than 36 by 48 inches in size for displaying the Equal Employment Opportunity poster, a copy of the wage decision contained in the contract, Wage Rate Information poster, and other information approved by the Contracting Officer. The bulletin board shall be located at the project site in a conspicuous place easily accessible to all employees, as approved by the Contracting Officer. Legible copies of the aforementioned data shall be displayed until work is completed. Upon completion of work the bulletin board shall be removed by and remain the property of the Contractor.

1.4.2 Project and Safety Signs

The requirements for the signs, their content and location shall be as directed by the Contracting Officer and as shown in Section 00830 PROJECT SIGNAGE. The signs shall be erected within 15 days after receipt of the notice to proceed. The data required by the safety sign shall be corrected daily, with light colored metallic or non-metallic numerals. Upon completion of the project, the signs shall be removed from the site.

PART 2 PRODUCTS

2.1 WEATHER INSTRUMENTS

2.1.1 Rain and Snow Gage

National Weather Service standard gage with stand and wind screen. The gage shall be designed to measure both rain and snow, shall be manufactured of copper and brass, and shall contain a funnel, inner tube, outer cylinder and dipstick. The wind screen shall have four 2-foot high legs and consist of 32 free-swinging leaves evenly spaced around a 48-inch diameter ring.

2.1.2 Thermometer

Minimum/maximum outdoor type with instrument shelter. The thermometer shall be mercury filled and designed to indicate minimum, maximum, and current temperatures from -40 to 130 degrees F. The instrument shelter shall be constructed of wood with louvered front and sides, shall be painted white, and shall have a keyed lock.

2.2 GOVERNMENT FIELD OFFICE

The Government field office trailer to be provided by the Contractor shall be new or recently renovated to a like-new condition subject to the approval of the Contracting Officer. The Government field office shall have a minimum floor area of 440 square feet, two locking doors, and partitioned restroom facilities. The trailer shall have sufficient lighting to supply 150 foot-candles at the desk top level and shall be supplied with 110 volt and 220 volt electrical outlets as required for heating, air conditioning, lighting, water cooler, and other accessories.

2.2.1 Office Equipment

The following office equipment shall be provided by the Contractor for the Government field office trailer:

- a. Two desks having 60-inch by 30-inch tops, with lockable drawers; two swivel chairs; and two tables with 60-inch be 30-inch laminated tops;
 - b. One 60-inch by 30-inch conference table with ten chairs.
- c. Telephone, two sets, two numbers, unlimited calling area, one telephone answering machine, telephone jack installed, and telephone line for operation of computer fax/modem;;
- d. Fire resistant, four-drawer, lockable legal size filling cabinet, two sets;
- e. Shelf set, two shelves high, each measuring 12-inch deep by 3-feet long, one per desk;
 - f. Three waste baskets;
 - g. Electric water cooler;
- h. Vertical filing plan rack for two sets of 28-inch by 40-inch plans each rack; and
- i. Copier with automatic document feed, including adequate supplies and service agreement.
- j. Fax Machine, laser, plain paper, including adequate supplies and service agreement.
- k. Personal Computer, Pentium III or approved equal with a clock speed of at least 450 MHz, 64 megabyte RAM, 8 megabyte hard disk drive, a 56k bps fax/modem and a laser jet printer. The following software shall be included: MS Windows '98, MS Word 97, and MS Excel 97;

PART 3 EXECUTION

3.1 TEMPORARY CONSTRUCTION

3.1.1 General

The Contractor may construct within the work area indicated on the contract drawings, subject to the approval of the Contracting Officer, stockpile areas and on-site plant and facilities as needed for the execution of the work.

3.1.2 Restoration of Work Site

Removal of all temporary construction and restoration of the work site upon completion of the contract shall be in accordance with the requirements of Section 01430 ENVIRONMENTAL PROTECTION.

3.2 CONTRACTOR'S PROJECT OFFICES

The Contractor shall establish at the work site a project office equipped and staffed to efficiently conduct the work under this contract and provide essential information to the Contracting Officer or its authorized representative. The Contractor shall keep at all times at its office a copy of all drawings, specifications, and other pertinent information, and shall at all times give the Contracting Officer access thereto. The Contractor's

office shall be equipped with telephone facilities which shall be available for use by the Contracting Officer.

3.3 GOVERNMENT FIELD OFFICE

The Contractor shall provide and maintain a field office at the project site for the sole use of the Contracting Officer's representatives. The office shall be complete and ready for occupancy not later than 30 days after receipt of Notice to Proceed.

- a. The Contractor shall provide the trailer at the work site with adequate heat, light, electricity, air conditioning, water, toilet and lavatory facilities. The Contractor shall provide portable water, provide for treatment of sewage, and provide permanent electric and telephone services, all in accordance with applicable local municipal, county and State codes. All utility costs arising from the use of the office, including telephone cost, shall be borne by the Contractor.
- b. The trailer shall be placed on concrete block supports, leveled and tied down to withstand wind loads. A corrugated metal curtain shall be installed around the perimeter of the trailer from floor level to the ground. The curtain shall be firmly attached to withstand appropriate wind loads. All water piping and all waste piping shall be adequately supported and insulated.
- c. The Contractor shall provide all janitorial supplies and services for the trailer complex to include as a minimum, daily sweeping, dusting, emptying of waste baskets, trash collection, and servicing of toilets and weekly mopping of all floors, sterilization of toilet seats and monthly waxing of all tile floors and washing of windows. The Contractor shall also provide for major maintenance to the trailer and its utilities.
- d. The office shall be enclosed by an 8-foot high chain-link security fence with three strands of barbed wire, and shall be lighted during hours of darkness. This fence shall enclose a Government parking area for approximately five cars and shall include appropriate gates with locks for both vehicles and employees.
- e. The Contractor shall provide the above described facilities, equipment, and services for the life of the contract.

3.4 PROJECT AND SAFETY SIGNS

The Contractor shall provide and erect at locations designated by the Contracting Officer, the project and safety signs shown on the sketches included as Section 00830 of this contract.

3.5 WEATHER INSTRUMENTS

The Contractor shall provide and maintain at the work site in locations determined by the Contracting Officer, weather instruments consisting of a rain and snow gage with stand and a thermometer with instrument shelter. The Contractor shall take daily readings of precipitation and the minimum and maximum temperatures, and shall record such information on the Contractor Quality Control Reports as required under Section 01440 CONTRACTOR QUALITY CONTROL. This information will be used by the Contracting Officer as the basis for determining if the Contractor is entitled to a time extension for unusually severe weather in accordance

with Special Clause: "Time Extensions for Unusually Severe Weather." The Contracting Officer will also consider other climatological factors such as abnormally high tides and excessive sustained wind velocities when evaluating possible time extensions.

3.6 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

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SECTION 01700

AS-BUILT DRAWINGS

PART 1 GENERAL

1.1 GENERAL

This section covers the preparation of as-built drawings complete, as a requirement of this contract.

1.2 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01305 SUBMITTAL PROCEDURES:

SD-04 Drawings

Preliminary and Final As-Builts; GA CO.

Drawings shall be maintained up-to-date as work progresses. Preliminary and final as-builts shall be as specified herein.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 PROGRESS MARKED-UP AS-BUILT PRINTS

The Contractor shall mark-up one set of paper prints to show the as-built conditions for the project. These as-built marked prints shall be kept current and available on the jobsite at all times. All changes from the contract plans which are made in the work or additional information which might be uncovered in the course of construction shall be accurately and neatly recorded as they occur by means of details and notes. The as-built marked prints will be jointly inspected for accuracy and completeness by the Contracting Officer's representative and a responsible representative of the Construction Contractor prior to submission of each monthly pay estimate. The drawings shall show the following information, but not be limited thereto:

- a. The location and description of any utility lines or other installations of any kind or description known to exist within the construction area. The location shall include dimensions to permanent features.
- b. The location and dimensions of any changes pertaining to the jetty structure.
- c. Correct grade or alignment of roads, structures, or utilities if any changes were made from the contract plans.
- d. Correct elevation if changes were made to those proposed.
- e. Changes in details of design or additional information obtained from

working drawings specified to be prepared and/or furnished by the Contractor including but not limited to fabrication, erection, installation plans and placing details, etc.

- f. The topography and grades of all drainage installed or affected as part of the project construction.
- g. All changes or modifications which result from the final inspection.
- h. Where contract drawings or specifications allow options, only the option selected for construction shall be shown on the as-built prints.

3.2 PRELIMINARY SUBMITTALS

The Contractor shall prepare two copies of the progress as-built prints and these shall be delivered to the Contracting Officer at the time of final inspection for his review and approval. These as-built marked prints shall be neat, legible and accurate. The review by Government personnel will be expedited to the maximum extent possible. Upon approval, one copy of the as-built marked prints will be returned to the Contractor for use in preparation of final as-built drawings. If upon review, the drawings are found to contain errors and/or omissions, they will be returned to the Contractor for corrections. The Contractor shall complete the corrections and return the as-built marked prints to the Contracting Officer within ten (10) calendar days.

3.3 DRAWING PREPARATION

3.3.1 General Requirements

Upon approval of the as-built prints submitted, the Contractor will be furnished the contract drawings on 3-1/2 inch floppy disks in AutoCAD Release 14.0 format with all amendments incorporated. The drawing files shall be modified as necessary to correctly show all the features of the project as it has been constructed by bringing the contract set into agreement with the approved as-built prints, adding such additional drawings as may be necessary.

3.3.2 Modification of Contract Drawings

Only personnel proficient in the preparation of engineering drawings and the use of AutoCAD Release 14.0, to standards satisfactory and acceptable to the Contracting Officer, shall be employed to modify the contract drawings or prepare additional new drawings. All additions and corrections to the contract drawings shall be done using AutoCAD Release 14.0 in a professional manner, and shall match the existing linework and/or lettering used on the drawings in type, density, size and style. The title block to be used for any new as-built drawings shall be the same as that used on the original drawings.

3.3.3 Identification of Final As-Built Drawings

When final revisions have been completed, each drawing shall be identified with the words "RECORD DRAWING AS-BUILT" followed by the name of the General Contractor in letters at least 3/16-inch high. All contract drawings shall be annotated and dated in the revision block as either "AS-BUILT DRAWING" denoting no revision on the sheet or "REVISED AS-BUILT DRAWING" denoting one or more revisions. For drawings having as-built revisions, a revision number contained within a 3/16 inch high triangle

shall be included in the revision block. All changes to drawings shall be encircled with a series of short arcs forming a "cloud", with the triangle revision number positioned immediately next to each cloud.

3.4 FINAL REQUIREMENTS

After receipt by the Contractor of the approved as-built prints and the original contract drawings, the Contractor shall, within 30 days for contracts less than \$5 million or within 60 days for contracts of \$5 million or more, make the final as-built submittal. This submittal shall consist of the completed as-built drawings on 3-1/2 inch floppy disks in AutoCAD Release 14.0 format, one full-size mylar copy of the drawings, the return of the approved as-built prints and a metadata file on 3-1/2 inch floppy disk. All drawings shall be complete in all details. The metadata file shall be compiled using CORPSMET95 software. The CORPSMET95 software can be downloaded free of charge from the following internet site:

http://corpsgeol.usace.army.mil

The program is menu driven and creates metadata in the correct format. All AutoCAD files, reproducible drawings and the metadata file will become the property of the Government upon final approval. Failure to submit the above as-built information as required shall be cause for withholding any payment due the Contractor under this contract. The Contracting Officer will review all as-built information for accuracy and conformance to the above requirements. The Contractor shall make all corrections, changes, additions, and deletions required to meet these standards. Approval and acceptance of final as-built drawings and the metadata file will be required before final payment is made to the Contractor.

3.5 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this section and all costs in connection therewith shall be included in the costs of all the bid items.

-- End of Section --

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SECTION 02225

SELECTED DEMOLITION

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CORPS OF ENGINEERS (COE)

EM 385-1-1

Safety and Health Requirements Manual

1.2 GENERAL REQUIREMENTS

The work of this Section includes demolition, removal, salvage and disposal of items indicated on the drawings and resulting rubbish and debris, and the support and protection of items designated to remain in place as indicated in this Section and on the Contract Drawings. Rubbish and debris shall be removed from the property daily, unless otherwise directed, to avoid accumulation at the demolition site. Materials that cannot be removed daily shall be stored in areas specified by the Contracting Officer. In the interest of occupational safety and health, the Work shall be performed in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections.

1.3 SUBMITTALS

The following shall be submitted in accordance with Section 01300 SUBMITTAL PROCEDURES:

SD-04 Drawings

Shop Drawings; GA CO.

Drawings and design computations for all product and other piping supports shall be submitted coincident with the Work Plan.

SD-08 Statements

Work Plan; GA CO

The procedures proposed for the accomplishment of the demolition, removal and disposal of materials, removal and replacement of materials, removal and salvage of materials and the support and protection of items designated to remain, shall be submitted for approval at least 30 days prior to any demolition or removal. The procedures shall provide for safe conduct of the work, including procedures and methods to provide necessary supports, lateral bracing and shoring when required, careful removal and disposition of materials, protection of property which is to remain undisturbed, coordination with other work in progress, and timely disconnection and

reconnection of utility services. The Work Plan shall include a detailed description of the methods and equipment to be used for each operation, the sequence of operations, and a schedule of selective demolition activities in accordance with EM 385-1-1. Also included in the Work Plan shall be an inventory and record of the condition of items to be supported and protected, including photographs or videotape, sufficiently detailed, of existing conditions of adjoining construction and site improvements that might be construed as damage caused by selective demolition operations.

1.4 SHOP DRAWINGS

Drawings and design computations for the product and other piping supports shall be prepared under the supervision of a professional engineer registered in the State of Delaware.

1.5 PROTECTION

1.5.1 Protection of Existing Property

Before beginning any demolition or removal work, the Contractor shall survey the site and examine the Contract Drawings and Specifications to determine the extent of the Work. The Contractor shall take necessary precautions to avoid damage to existing items to remain in place, to be supported and protected, or to remain the property of the Government; any damaged items shall be repaired or replaced as approved by the Contracting Officer. The Contractor shall coordinate the work of this Section with all other work and shall construct and maintain shoring, bracing, and supports as required. The Contractor shall ensure that structural elements are not overloaded and shall be responsible for increasing structural supports or adding new supports as may be required as a result of any cutting, removal, or demolition work performed under this Contract.

1.5.2 Environmental Protection

The Work shall comply with the requirements of Section 01430 ENVIRONMENTAL PROTECTION.

1.6 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.

1.7 USE OF EXPLOSIVES

Use of explosives will not be permitted.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 EXISTING STRUCTURES

3.1.1 Items to be Removed and Disposed Of

The existing concrete caps on eleven of the circular cofferdams, designated as Cell Nos. 1 through 8, and 10 through 12, shall be demolished, removed and disposed of as indicated on the Contract Drawings. The approximate thickness of the existing concrete caps and depth of the underlying void were estimated at several locations within each cell, based on the results

of concrete coring through the existing cap. These results are summarized in Plate 14 of this Specification Section. The existing concrete filled steel pipe bollards shall be cut-off at the base of the proposed concrete cap in Cell Nos. 1, 5, 6, 7 and 8. Concrete filled steel pipe bollards in Cell Nos. 2, 3, 4, 10, 11 and 12 shall be optionally removed at the discretion of the Contracting Officer. The Contractor shall receive written notification of the status of the removal of the Cell Nos. 2, 3, 4, 10, 11 and 12 bollards by the Contracting Officer a minimum of 24 hours prior to the start of formwork placement for the proposed concrete caps. The following items may be removed, only to the limits necessary to perform the work, and in no case beyond the limits of excavation in each cell, if deemed necessary by the Contractor: the existing sheet pile wall; anchor piles; damaged portions of cofferdam sheet piles; double channel whalers; portions of cap support piles (if encountered); and fender lugs. upper portion of the abandoned cell within Cell No. 9 shall be removed to the limits of excavation within this cell. Items removed shall be disposed of in accordance with the requirements of this Section.

3.1.2 Items to be Removed and Salvaged

The existing rubber tire fenders shall be removed and salvaged as indicated on the Contract Drawings. The salvaged fenders shall be removed in a manner to prevent damage and shall be delivered promptly to Delaware Terminal or to a location designated by the Contracting Officer.

3.1.3 Items to be Removed and Replaced

The existing rub rails shall be removed and replaced as indicated on the Contract Drawings. The rub rails located within each section shall be removed prior to the start of work in that section, and shall be replaced prior to the completion of work in that section.

3.1.4 Items to Remain

The items located in the immediate vicinity of each circular cofferdam, including but not limited to catwalks, catwalk supports, junction boxes, conduits, pipelines and conduit supports, are indicated on the Surface Obstruction Summaries for each cell, included as Plates 1A through 13B of this Specification Section. These items, with the exception of the bollards to be removed and disposed of as specified in Section 3.1.1, shall remain in place and shall be supported and protected as necessary to perform the work specified.

3.1.4.1 Support of Product and Other Piping

Product and other piping shall be supported at the same locations as the existing supports. Product and other piping supports shall be designed for a vertical load equal to the weight of the piping assumed full of product, and a lateral load resulting from an 80 mile per hour wind, Exposure C at hurricane oceanline. The vertical and lateral deflections for the product and other piping shall be limited to the clear span between supports divided by 600 or 1/2 inch, whichever is less.

3.2 UTILITIES

Temporary disconnection of utility services, including Delaware Terminal, may be required during performance of work items associated with this Contract. Any such temporary disconnections, including the anticipated duration, must be included in the Work Plan required as part of this

Specification. The Contracting Officer must receive written notice a minimum of 24 hours in advance of any such temporary disconnection, and all utility services shall be reconnected prior to 1700 hours of each day. When utility lines are encountered that are not indicated on the Contract Drawings, the Contracting Officer shall be notified prior to further work in that area.

3.3 DISPOSITION OF MATERIAL

Title to material and equipment to be demolished, except historical items, is vested in the Contractor upon receipt of Notice to Proceed. The Government will not be responsible for the condition, loss or damage to such property after notice to proceed.

3.3.1 Historical Items

Historical items encountered during selective demolition shall remain the Government's property. Historical items shall be removed in a manner to prevent damage and shall be delivered promptly to the Contracting Officer or to a location designated by the Contracting Officer.

3.3.2 Unsalvageable Material

All material shall be disposed of promptly, off the site, in a legal manner, at the Contractor's expense. On-site storage or sale of removed items is prohibited.

3.4 CLEAN UP

Debris and rubbish shall be removed and transported in a manner that prevents spillage on streets or adjacent areas. Federal, State and Local regulations regarding hauling and disposal shall apply.

3.5 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the work specified in this Section and all costs in connection therewith shall be included in the costs of all the bid items, with the exception of the removal of the existing concrete cofferdam caps and bollards, and the support and protection of items designated to remain in place.

3.5.1 Removal and Disposal of Existing Concrete Cofferdam Caps

No measurement will be made for the removal and satisfactory disposal of the existing concrete circular cofferdam caps specified in this Section and on the Contract Drawings. Payment will be made at the Contract Lump Sum Price for Bid Item No. 4, "Removal and Disposal of Existing Concrete Cofferdam Caps," which shall constitute full compensation to the Contractor for all costs associated with the removal and satisfactory disposal of the existing concrete caps.

3.5.2 Removal and Disposal of Existing Bollards in Cell Nos. 1, 5, 6, 7 and 8

There will be no measurement for the removal and satisfactory disposal of the existing concrete filled steel pipe bollards for Cell Nos. 1, 5, 6, 7 and 8, as specified in this Section and on the Contract Drawings. Payment for the removal and disposal of these bollards will be made at the Contract

Lump Sum Price for Bid Item No. 5, "Removal and Disposal of Existing Bollards for Cell Nos. 1, 5, 6, 7 and 8," which shall constitute full compensation to the Contractor for all costs associated with the removal and satisfactory disposal of the bollards for Cell Nos. 1, 5, 6, 7 and 8.

3.5.3 Removal and Disposal of Additional Bollards

Existing bollards removed in Cell Nos. 2, 3, 4, 10, 11 and 12 as verified by the Contracting Officer, will be paid at the Contract Unit Price for Bid Item No. 6, "Removal and Disposal of Additional Bollards," which shall constitute full compensation to the Contractor for all costs associated with the removal and satisfactory disposal of these bollards.

3.5.4 Support and Protection of Items to Remain in Place

There will be no measurement for the support and protection of items to remain in place during cell cap and encasement construction, as specified in this Section and on the Contract Drawings. Payment for the support and protection of these items will be made at the Contract Lump Sum Price for Bid Item No. 7, "Support and Protection of Items to Remain in Place," which shall constitute full compensation to the Contractor for all costs associated with the support and protection of these items.

-- End of Section --

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SECTION 02271

RIPRAP

PART 1 GENERAL

1.1 SUMMARY

The work covered by this section consists of furnishing all labor, materials, plant, and equipment, and performing all operations required for placing riprap in the anchor and side trenches for the articulating concrete revetment, as specified herein and as shown on the drawings.

1.2 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 127	(1988) Standard Test Method for Specific Gravity and Absorption of Coarse Aggregate
ASTM C 131	(1989) Standard Test Method for Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion in the Los Angeles Machine
ASTM C 295	(1990) Standard Guide for Petrographic Examination of Aggregate for Concrete
CRD-C-144	(1992) Standard Test Method for Resistance of Rock to Freezing and Thawing

1.3 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted:

SD-01 Data

Sources for Riprap; GA CO. Gradation Curves; GA CO.

The Contractor shall submit to the Contracting Officer for approval, prior to delivery, his proposed source for the riprap. The test data specified herein for those sources shall be submitted. In the event such data is unavailable, the Contractor shall procure the services of an industry-recognized testing laboratory to perform the required acceptance tests. The results of all acceptance tests shall be furnished to the Contracting Officer at least 30 days prior to the delivery of the stone to the work site. All testing shall be entirely at the Contractor's expense.

The Contractor shall submit gradation curves to verify that the riprap meets the requirements specified herein.

Work Plan; GA CO.

The Contractor shall submit for approval his proposed plan for transportation, handling, storage, and placement of riprap.

PART 2 PRODUCTS

2.1 RIPRAP

2.1.1 Quality

Riprap stone shall be either cobbles or crushed stone. The stone shall be sound, durable and of suitable quality to ensure performance in the work and in the climate in which it is to be used. Stone shall be free from cracks, seams, and other defects that would tend to increase its deterioration from the elements. The stone shall be blocky and angular quarried material, with the least dimension not less than one-third the greatest dimension. Flat slabs, boulders, and parts of boulders will not be acceptable. The inclusion of objectionable quantities of dirt, sand, clay, and rock fines will not be permitted. The stone dry density shall not be less than 165 pounds per cubic foot.

2.1.2 Testing

The following tests will be used by the Government to determine the acceptability of the stone sources selected by the Contractor.

PROPERTY	TEST METHOD	ACCEPTABLE TEST RESULTS
Petrographic	ASTM C 295	Fresh, interlocking, crystalline, with few vugs, no clay minerals and no soluble minerals.
Specific Gravity and Absorption		Minimum Unit Weight (dry) of 165 pounds per cubic foot. Absorption less than 1%.
Abrasion Resistance	ASTM C 131	Less than 20% loss for 500 revolutions.
Freezing/ Thawing	CRD-C-144	Less than 10% loss for 12 cycles.

Additional testing shall be required if results from the tests, specified above, are close to the limits of acceptability. In the event test reports are not available, as in the case of newly operated sources, the Contractor shall perform such tests as are necessary to determine the acceptability of the stone for use in the work. Approval of a source of stone is not to be construed as approval of all material from that source. The right is reserved to reject materials from certain localized areas, zones, strata, or channels, when such materials are unsuitable for use as determined by the Contracting Officer. Individual stones or loads or parts of loads may

be rejected if material does not meet the specifications.

2.1.3 Gradation

Riprap shall have the following gradation.

Maximum Diameter: 18 inches D50: 9 inches Minimum Diameter: 5 inches

NOTE: The Contractor shall hand sort the stone received from the quarry if the stone does not meet the minimum sizes, specified herein.

PART 3 EXECUTION

3.1 RIPRAP

3.1.1 Method of Placement

Riprap materials shall be carefully placed in the anchor and side trenches as shown on the drawings. The riprap shall be placed in such a manner as to produce a reasonably well-graded mass of rock with the minimum practicable percentage of voids. Placement shall also be performed in such a manner as to avoid displacing the underlying geotextile and articulating concrete revetment and associated cables. The riprap shall not be dropped onto the geotextile and/or revetment from a height greater than 1 foot. Any damage to the foundation surface or to the geotextile and/or revetment system resulting from riprap placement shall be repaired as directed by the Contracting Officer before continuing with the work. Compaction of the riprap materials is not required, however, the rearranging of individual riprap stones by mechanical equipment or by hand shall be required to the extent necessary to obtain a reasonably even finished surface. Riprap placement equipment, or any other heavy equipment, will not be permitted on the geotextile, revetment or riprap.

3.1.2 Distribution of Stone Size

Placing the riprap by dumping into chutes or by similar method likely to cause segregation of the various sizes will not be permitted. The desired distribution of the various sizes of stone throughout the mass shall be obtained by selective loading of the material at the quarry, by controlled placement of successive loads during final placement, or by other methods of placement which will produce the specified results.

NOTE: The Contractor shall hand sort the stone received from the quarry if the stone does not meet the minimum sizes, specified herein.

3.1.3 Maintenance of Riprap

The Contractor shall maintain the riprap until accepted by the Government and any material displaced by any cause shall be replaced at no additional cost to the Government, to the lines and grades shown on the drawings.

3.2 MEASUREMENT AND PAYMENT

No separate measurement or payment will be made for the placement of riprap for construction of the anchor trench or side trenches for the articulating concrete revetment, as specified in this section and all costs in

connection therewith shall be included in the unit costs for these trenches as indicated in Section 02385 of this Specification.

-- End of Section --

SECTION 02300

EARTHWORK

PART 1 GENERAL

1.1 GENERAL REQUIREMENTS

The work of this Section includes excavation and disposal of materials within the cells as required for construction of the concrete caps for the circular cofferdams, excavation and disposal of soils around the exterior of the cells as required for construction of the encasements for the circular cofferdams, and excavation and disposal of soils as required for preparation of the slope to receive the articulating concrete revetment, and excavation and disposal of soils as required for construction of the anchor and side trenches for the articulating concrete revetment. All excavation shall be performed in accordance with the requirements of Section 01430, ENVIRONMENTAL PROTECTION. The Contractor shall notify the Contracting Officer of obstructions encountered during excavation activities. The Contracting Officer shall provide direction to the Contractor as to which obstructions are to be removed and which are to remain.

1.2 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01300 SUBMITTAL PROCEDURES:

SD-08 Statements

Excavation Plan; GA CO

The procedures and methods proposed for all excavation and disposal activities shall be submitted for approval at least 30 days prior to the start of any excavation activities. The Work Plan shall include a detailed description of the methods and equipment to be used for each operation, the sequence of operations and a schedule of excavation activities.

1.3 CLASSIFICATION OF EXCAVATION

No consideration will be given to the nature of the materials encountered. All excavation will be designated as unclassified excavation, except for piles, and massive concrete and/or rock obstructions encountered outside of the cells and greater than 2 cubic yards in volume, which shall be classified as obstructions.

1.4 BLASTING

Blasting will not be permitted.

1.5 DISPOSAL OF EXCAVATED MATERIALS

Soil materials removed from the excavations shall be disposed of at the

U.S. Army Corps of Engineers Wilmington Harbor South Disposal Area. Specific disposal locations within the Disposal Area shall be coordinated with the Contracting Officer. All other materials, including, but not limited to, concrete, metal, and timber shall be disposed of off-site, in a legal manner, at the Contractor's expense. Federal, State and Local regulations regarding hauling and disposal shall apply.

The Contractor shall be responsible for the maintenance, repair and stability of all dikes, roads and structures used by him under the contract. The Contractor shall restore all dikes, roads, and areas he disturbs through his operations to a satisfactory condition as approved by the Contracting Officer, at no additional cost to the Government. The Government will have the right to regulate the use of the disposal areas throughout the contract. Any existing inclinometers, piezometers and wells within the disposal area limits shall not be disturbed. Any inclinometer, piezometer or well disturbed by the Contractor will be replaced by the Government at the Contractor's expense.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL EXCAVATION

The Contractor shall perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated on the Contract Drawings and in this Specification. Excavated material shall be disposed of off-site as indicated in this Section.

3.2 Removal of Obstructions

Obstructions to be removed, as directed by the Contracting Officer, shall be removed or cut-off to a minimum depth of one foot below the proposed excavation depth.

3.3 SUBGRADE PROTECTION

During construction, excavations within the cells shall be kept shaped and the finished subgrade shall be protected and maintained by the Contractor in a satisfactory condition until concrete is placed. No backfill or concrete shall be placed until the subgrade has been checked and approved by the Contracting Officer. Should unsuitable conditions be encountered, undercut of these areas to suitable subgrade may be required, as determined by the Contracting Officer.

3.4 MEASUREMENT AND PAYMENT

3.4.1 Excavation within Cells for Construction of Cofferdam Caps

The unit of measurement for excavation within the cells will be the cubic yard, computed by the average end area method from cross sections taken before and after the excavation operations. The volume to be paid for will be the number of cubic yards of material measured in its original position and removed from the excavation areas, when the material is acceptably disposed of as herein specified. The measurements will include authorized excavation of all material within the cells. The measurement will not

include the volume of material excavated without authorization or the volume of any material used for purposes other than directed. The measurement will not include the volume of any excavation performed prior to the taking of elevations and measurements of the undisturbed grade. Payment for the excavation of the materials within the cells will be made at the Contract Unit Price for Bid Item No. 8, "Excavation within Cells for Construction of Cofferdam Caps," which shall constitute full compensation to the Contractor for all costs associated with the removal and disposal of these materials, including, but not limited to, preparation of the Excavation Plan as required by this Section.

3.4.2 Excavation for Construction of Cofferdam Encasements

There will be no measurement for excavation and disposal of materials around the cells as required for construction of the concrete cofferdam encasements. Payment for the excavation of these soils will be made at the Contract Lump Sum Price for Bid Item No. 9, "Excavation for Construction of Cofferdam Encasements," which shall constitute full compensation to the Contractor for all costs associated with the removal and disposal of these materials, including, but not limited to, preparation of the Excavation Plan as required by this Section.

3.4.3 Excavation for Articulating Concrete Revetment Trenches

There will be no measurement or payment for excavation and disposal of materials required for construction of the anchor trench or side trenches for the articulating concrete revetment. All costs associated with excavation and disposal of these materials shall be included in the unit costs for these trenches as indicated in Section 02385 of this Specification.

3.4.4 Removal of Pile Obstructions

Measurement shall be made for removal of pile obstructions as specified in this Section based on the number of pile obstructions removed, as approved by the Contracting Officer. Payment for performance of the removal of pile obstructions shall be at the Contract Unit Price for Bid Item No. 12, "Removal of Pile Obstructions." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work.

3.4.5 Removal of Concrete and Rock Obstructions

Measurement shall be made for removal of concrete and rock obstructions as specified in this Section based on the measured cubic yards (CY) of actual obstructions removed for the concrete and rock obstructions, as approved by the Contracting Officer. Payment for performance of the removal of concrete and rock obstructions shall be at the Contract Unit Price for Bid Item No. 13, "Removal of Concrete and Rock Obstructions." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work.

-- End of Section --

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SECTION 02385

ARTICULATING CONCRETE REVETMENT

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C	31	Practice for Making and Curing Concrete Test Specimens in the Field
ASTM C	33-92	Specification for Concrete Aggregates
ASTM C	39	Test Methods for compressive Strength Testing of Cylindrical Concrete Specimens
ASTM C	42-94	Test Method for Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C	150	Specification for Portland Cement
ASTM C	618	Specification for Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
ASTM D	3776	Test Method for Mass per Unit Area (Weight) of Fabric
ASTM D	3786	Test Method for Hydraulic Burst Strength of Knitted Goods and Nonwoven Fabrics - Diaphragm Bursting Strength Tester Method
ASTM D	4491	Test Method for Water Permeability of Geotextiles by Permittivity
ASTM D	4533	Test Method for Trapezoid Tearing Strength of Geotextiles
ASTM D	4632	Test Methods for Breaking Load and Elongation of Geotextiles (Grab Method)
ASTM D	4751	Test Method for Determining Apparent Opening Size for a Geotextile
ASTM D	4833	Test Method for Index Puncture Resistance of Geotextiles, Geomembranes, and Related Products
ASTM D	5261	Test Method for Measuring Mass per Unit

Area of Geotextiles

1.2 GENERAL REQUIREMENTS

The Work of this Section includes performance of a pre-construction survey of the slope to receive the articulating concrete revetment, development of a work plan for the proposed construction activities, preparation of the subgrade (including removal of obstructions and excavation of portions of the slope), installation of the articulating concrete revetment, construction of the anchor trench, and east and west side trenches, and performance of an as-built survey of the completed revetment and trenches, as specified in this Section and indicated on the Contract Drawings. The articulating concrete revetment may consist of either a precast concrete block or prewoven, grouted-filled, fabric articulating revetment meeting the requirements as specified in this Section, or some combination thereof. The articulating revetment system shall include a geosynethetic filter fabric below either the precast concrete block or the prewoven, grouted-in-place fabric mattresses. The Work covered by this Section consists of furnishing all plant, labor, equipment and materials, and performing all operations in connection with the installation of the concrete revetment in accordance with the lines, grades, design and dimensions shown on the Contract Drawings and specified herein.

1.3 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01300 SUBMITTAL PROCEDURES:

SD-01 Data

Representative Samples and Documentation of Testing; GA CO

Samples of the articulating concrete revetment, and associated cables, fittings, and filter fabric, along with product test data indicating that these materials conform to the requirements of this Specification, shall be submitted for approval, prior to the delivery of any such materials to the site of the work. The samples of the articulating concrete revetment and the filter fabric shall be a minimum of one square foot. The samples for the cables shall be a minimum of one foot long. All samples shall be obtained by the Contractor and delivered, at the Contractor's expense, to a point designed by the Contracting Officer at least 14 calendar days in advance of the time when the placing of the concrete revetment is expected to begin.

Documentation of Testing; GA CO

The Contractor shall provide to the Contracting Officer the manufacturer's certification, and all supporting test results, that the revetment system design and components meet all of the requirements of this Specification. In addition, the Contractor shall provide references (including contact names and phone numbers) for three projects where the proposed revetment system has been utilized successfully in quantities totalling 500,000 square yards or more.

SD-04 Drawings

Pre-construction Hydrographic Surveys; FIO

For each of the three phases of work, a pre-construction hyrdrographic survey of the slope to receive the articulating concrete revetment, as indicated on the Contract Drawings, shall be performed by the Contractor for use in identifying obstructions and determining the existing slope configuration. These surveys shall be performed at least 30 days prior to, but not more than 60 days prior to, the start of installation or subgrade preparation in the corresponding phase of work. The surveys shall be performed to an accuracy of one-foot contours of the mud-line and shall be referenced to the following datums: NAVD88 (Vertical) and NAD83 Delaware State Plane 0700 (Horizontal). For reference, the survey drawings shall indicate the existing location of the jetty, service platforms and mooring dolphins. The surveys shall locate all obstructions within two feet of the mud line in the designated area, and record the elevation of the mud line at each obstruction. These obstructions shall be identified on the survey drawings. These surveys shall also establish the presence or absence of the abandoned sheet pile wall, reportedly located to the north of the existing sheet pile wall, and its approximate location above the mudline, if present. Following review of the survey for each phase, the Contracting Officer will provide direction to the Contractor as to which obstructions are to be removed and which are to remain. It is anticipate that, as a minimum, the batter piles and vertical piles currently in use as part of the existing jetty system, breasting and/or mooring dolphins, and service platforms will remain.

As-built Surveys; GA CO

Within 14 calendar days of completion of each phase of the articulating concrete revetment installation, the Contractor shall submit an as-built drawing for the installation. These drawings shall include an as-built survey of the slope, the anchor trench and the side trenches prior to the installation of the revetment; the limits of the revetment; the location of the anchor trench and side trenches; a top of trench backfill survey for the anchor and side trench riprap; and the locations of obstructions which penetrate the revetment.

SD-08 Statements

Articulating Concrete Revetment Installation Work Plan; GA CO

A Work Plan for each phase of the articulating concrete revetment installation shall be submitted for approval at least 30 calendar days prior to the start of subgrade preparation or installation activities within a phase. Each work plan shall include a schedule identifying each obstruction identified as part of the pre-construction survey and whether each obstruction is to remain or be removed, as determined by the Contracting Officer; a plan for removal of obstructions, where required; shop drawings for the layout of the articulating concrete revetment, including pre-fabricated or manufacturers panel dimensions, complete panel layout, layout sequence, panel connection details, overlap details and details around obstructions which are to remain; the technique for attaching the filter geotextile to the bottom of the revetment; the installation/construction sequence and schedule for the revetment and trenches; and installation/construction techniques for the revetment and trenches.

Verification of Qualified Technical Support; GA CO

The Contractor shall provide the Contracting Officer with the resume of a registered professional engineer who will support the project on the behalf of the articulating revetment manufacturer and installer. This resume shall include references (including contact names and phone numbers) for three projects which the engineer has supported the use of the proposed revetment system in quantities totalling 500,000 square yards or more. This technical support representative shall be onsite during the first three days of revetment installation, and then once a week, as a minimum, for the duration of the revetment installation activities, including construction of the anchor and side trenches.

Qualifications of Concrete Testing Laboratory; GA CO

If any portion of the articulating concrete revetment will consist of the precast concrete block articulating revetment, the Contractor shall submit to the Contracting Officer for approval the name and qualifications of the independent testing laboratory which will perform the concrete testing for the precast concrete block articulating revetment. This information shall be submitted a minimum of 14 calendar days prior to the start of concrete testing.

Fine Aggregate Concrete Mix Design for Prewoven, Grout-filled Fabric Articulating Revetment; GA CO

The mix design for the fine aggregate concrete to be used to grout the prewoven fabric articulating revetment shall be submitted for approval at least 14 calendar days in advance of the time when the placing of the concrete revetment is expected to begin.

SD-09 Reports

Quality Control Reports; FIO

The Contractor shall inspect for compliance with contract requirements and record the inspection of all operations including, but not limited to, the following: removal of subsurface obstructions, prewoven fabric mattress, individual concrete blocks and filter fabric for soundness and defects; assembly of the revetment system, including cable connections; placement of the filter fabric and revetment on the slope; and embedment of the filter fabric, revetment and cables in the anchor trench and east and west side trenches.

PART 2 PRODUCTS

2.1 PRECAST CONCRETE BLOCK ARTICULATING REVETMENT

The precast concrete block articulating revetment system shall have a maximum bearing pressure of 45 pounds per square foot. The system shall consist of interlocking blocks, with two integral vertical cables per block, as well as one integral horizontal cable. The final revetment system shall be tied continuously throughout with cables in both directions. The Contracting Officer reserves the right to accept or reject any proposed precast concrete block system for any reason including, but not limited to, previous performance record, lack of appropriate and applicable testing results, hydraulic performance characteristics and qualified technical support.

Materials delivered to the site shall be inspected by the Contractor for damage, unloaded and stored with a minimum of handling. Material shall not

be stored directly on the ground without a separate fabric or plastic liner beneath, and shall be kept free of dirt and debris. Filter fabric not installed immediately shall be protected from the direct sunlight and in accordance with the manufacturer's recommendations.

2.1.1 Concrete

Concrete shall conform to ACI requirements for normal weight concrete, shall attain a minimum compressive strength of 4,000 psi at 28 days, and shall be resistant to deterioration in marine environments. Aggregate within the concrete matrix shall satisfy the requirements of ASTM C 33-92. Aggregate grading shall be reasonably consistent and shall be well graded from the maximum size which can be conveniently handled with available equipment. Portland cement shall conform to ASTM C 150, Type II.

2.1.2 Cellular Concrete Blocks

Cellular concrete blocks shall be either wet cast using concrete specified herein, or formed by a vibratory block forming machine, and shall have a nominal thickness of 4 inches and approximate dimensions of 16 inches square. In the latter case, testing of the concrete shall be conducted on 2-inch cubes cut from the core of a sample cellular concrete block cast at the same time from the same batch. Compressive strength testing shall be performed in accordance with ASTM C 42-94, with at least 1 cube tested at 7 days, and at least 2 cubes tested in 28 days. Testing shall be performed at a rate of one test per 5,000 blocks. The testing shall be performed by an approved, independent testing laboratory. Cellular concrete blocks shall be interlocking and penetrations shall be included for revetment cables as necessary to bind the individual blocks into mattresses both vertically and horizontally. Cable penetrations shall prevent any exposure of cables to potential UV degradation within the dimensions of the individual blocks (i.e. cables shall not pass through open areas within the dimension of individual blocks). The blocks shall be open cell and capable of articulation when formed into mattresses. The assembled mattresses shall have a range of 15 to 25 percent open area to be achieved by cavities cast within the block.

2.1.3 Revetment Cable

The concrete blocks shall be bound into mats by the use of polyester or stainless steel revetment cables and fittings.

If polyester cable is used, the cable shall be constructed of high tenacity, low elongating, continuous filament polyester fibers contained within an outer jacket or cover. The weight of the parallel core shall be between 65 to 70 percent of the total weight of the cable. Vertical cables shall be sized to provide a minimum cable strength to mat weight ratio of 5:1 for safe material lifting and handling, and shall be a minimum of 1/4 inch in diameter, with an allowable break strength of not less than 1000 lbs.

Cable shall be impervious to rot, mildew and degradation associated with marine organisms. The material used in the construction of the cable shall not be affected by continuous or cyclical immersion in fresh or salt water.

Selection of cable and fittings shall be made in manner that ensures a minimum of 5:1 design safety factor for mattresses being lifted from both ends. Consideration shall be taken for the bending of the cables around hooks or pins during lifting. Revetment cable splicing fittings shall be

selected so that the resultant splice shall develop a minimum of 100% required cable strength as specified herein. Fittings such as sleeves, stops and washers shall be in accordance with manufacturer's recommendations.

2.1.4 Filter Fabric

Filter fabric for the precast concrete block articulating revetment shall be composed of nonwoven needle-punched, discontinuous fibers with a minimum unit weight of 16 ounces per square yard as determined by ASTM D 3776, which meets or exceeds the following minimum average roll values in the weaker principal direction.

Property	Test Method	<u>Unit</u>	<u>Value</u>
Tensile Strength	ASTM D 4632	lbs	300
Puncture Strength	ASTM D 4833	lbs	200
Mullen Burst Strength	ASTM D 3786	psi	650
Trapezoidal Tear Strength	ASTM D 4533	lbs	125
Permittivity	ASTM D 4491	gal/min/sf	50
Apparent Opening Size	ASTM D 4751	U.S. Sieve #	100

Fibers used in manufacture of the geotextile shall consist of a material composed of at least 85 percent by weight polyolefins, polyesters, or polyamides. The geotextile shall be free of holes, tears, defects and patch-repairs of defects. The geotextile shall contain stabilizers or inhibitors to limit degradation due to ultraviolet light exposure and shall be chemically resistant to degradation in a marine environment.

2.2 PREWOVEN, GROUT-FILLED FABRIC ARTICULATING REVETMENT

The prewoven, grout-filled fabric articulating revetment system shall have a maximum bearing pressure of 45 pounds per square foot. The system shall be constructed by positioning a specially woven double-layer synthetic fabric form on the slope and filling it up with a pumpable fine aggregate concrete in such a way as to form a stable mat meeting the requirements of this Specification. The Contracting Officer reserves the right to accept or reject any proposed prewoven fabric system for any reason including, but not limited to, previous performance record, appropriate and applicable testing, hydraulic performance characteristics and qualified technical support.

Materials delivered to the site shall be inspected for damage, unloaded and stored with a minimum of handling. Material shall not be stored directly on the ground without a fabric or plastic liner beneath, and shall be kept free of dirt and debris. Fabric form and the filter fabric materials not installed immediately shall be protected from the direct sunlight and in accordance with the manufacturer's recommendations.

2.2.1 Fine Aggregate Concrete

Fine aggregate concrete shall consist of a mixture of portland cement, fine aggregate, and water so proportioned and mixed as to provide a pumpable grout. Pozzolan and grout fluidifier conforming to these specifications may be used at the option of the Contractor. The mix shall exhibit a compressive strength of 2000 psi at 28 days when made and tested in accordance with ASTM C 31 and ASTM C 39.

2.2.1.1 Portland cement

Portland cement shall conform to ASTM C 150, Type II.

2.2.1.2 Fine Aggregate

Fine Aggregate grading shall conform to ASTM C 33-92, except as to grading. Aggregate grading shall be reasonably consistent and shall be well graded from the maximum size which can be conveniently handled with available pumping equipment.

2.2.1.3 Pozzolan

Pozzolan, if used, shall conform to ASTM C 618.

2.2.2 Fabric Form

Fabric form material shall consist of double-layer woven, 100% nylon fabric joined together into a matrix of rectangular compartments each separated by a narrow perimeter of interwoven fabric, to produce a mat with a finished nominal thickness of 4 inches and a maximum bearing pressure of 45 pounds per square foot, when filled with grout as specified in this Section. Spacer cords shall connect the two layers of fabric at approximately the center of each compartment. Fabric form compartments shall be offset one half of a compartment length, in the mill width direction, to provide a bonded block pattern. Individual block size shall have dimensions of approximately 20 inches by approximately 10 inches. Each layer of fabric shall meet or exceed the statistical mean (average) results shown below.

<u>Property</u>	Test Method	<u>Unit</u>	Value
Mass per Unit Area (double layer)	ASTM D 5261	oz/sy	13
Grab Tensile Strength Warp Fill	ASTM D 4632	lbs	250 250
Grab Tensile Elongation Warp Fill	ASTM D 4632	8	25 25
Mullen Burst Strength	ASTM D 3786	psi	525
Trapezoid Tear Strength Warp Fill	ASTM D 4533	lbs	250 250
Puncture Strength	ASTM D 4833	lbs	65

Mill width rolls shall be cut to the length required, and the two layers of fabric separately joined bottom edge to bottom edge and top edge to top edge by means of 100% nylon sewing thread, to form multiple mill width panels. All sewn seams shall be downward facing. The tensile strength of all sewn seams shall be not less than 50% of the grab tensile strength of the unseamed fabric when tested in accordance with ASTM D 4632. Grout stops shall be installed at predetermined mill width intervals to regulate the flow of fine aggregate concrete.

Immediately following receipt of fabric forms to the job site, forms should be inspected and stored in a clean dry area where they will not be subject to mechanical damage, exposure to moisture or direct sunlight.

2.2.3 Cables

Revetment cables shall be installed between the two layers of fabric and through the compartments in a manner which provides for longitudinal and lateral binding of the finished revetment. One longitudinal revetment cable (slope cable) shall be installed approximately along the centerline of each compartment and shall be securely centered by means of the cable ducts. All cables, within each compartment, shall be completely embedded in the fine aggregate concrete.

Revetment cables shall be constructed of either stainless steel or high tenacity, low elongation continuous filament polyester. If polyester cable is used, the cable shall be constructed of high tenacity, low elongating, continuous filament polyester fibers contained within an outer jacket or cover. The weight of the parallel core shall be between 65 to 70 percent of the total weight of the cable. Vertical cables shall be sized to provide a minimum cable strength to mat weight ratio of 5:1 for safe material lifting and handling, and shall be a minimum of 1/4 inch in diameter, with an allowable break strength of not less than 1000 lbs.

Cable shall be impervious to rot, mildew and degradation associated with marine organisms. The material used in the construction of the cable shall not be affected by continuous immersion in fresh or salt water.

Selection of cable and fittings shall be made in manner that ensures a minimum of 5:1 design safety factor for panels being lifted from both ends. Consideration shall be taken for the bending of the cables around hooks or pins during lifting. Revetment cable splicing fittings shall be selected so that the resultant splice shall provide a minimum of 100% of the required cable strength as specified herein. Fittings such as sleeves, stops and washers shall be in accordance with manufacturer's recommendations.

2.2.4 Filter Fabric

Filter fabric for the prewoven, grouted-in-place, fabric articulating revetment shall be composed of nonwoven needle-punched, discontinuous fibers with a minimum unit weight of 16 ounces per square yard as determined by ASTM D 3776, which meets or exceeds the following minimum average roll values in the weaker principal direction.

Property Test Method Unit Value

Tensile Strength	ASTM D 4632	lbs 300
Puncture Strength	ASTM D 4833	lbs 200
Mullen Burst Strength	ASTM D 3786	psi 650
Trapezoidal Tear Strength	ASTM D 4533	lbs 125
Permittivity	ASTM D 4491	gal/min/sf 50
Apparent Opening Size	ASTM D 4751	U.S. Sieve # 100

Fibers used in manufacture of the geotextile shall consist of a material composed of at least 85 percent by weight polyolefins, polyesters, or polyamides. The geotextile shall be free of holes, tears, defects and patch-repairs of defects. The geotextile shall contain stabilizers or inhibitors to limit degradation due to ultraviolet light exposure and shall be chemically resistant to degradation in a marine environment.

2.3 RIPRAP

Riprap shall conform to the requirements of Section 02271 of this Specification.

PART 3 EXECUTION

3.1 SUBGRADE PREPARATION

3.1.1 Slope Excavation

The slope to receive the articulating concrete revetment shall be graded as required to attain a slope meeting the slope and elevation requirements indicated on the Contract Drawings. Slope excavation shall be performed in accordance with the requirements of Section 02300, Earthwork and Section 01430, Environmental Protection, of this Specification.

3.1.2 Removal of Obstructions

Obstructions to be removed, as designed by the Contracting Officer and in the approved Work Plans, shall be removed or cut-off to a minimum depth of two feet below the existing mudline.

3.2 ARTICULATING CONCRETE REVETMENT INSTALLATION

3.2.1 Precast Concrete Block Articulating Revetment

The precast concrete block articulating revetment shall be placed to the limits shown on the Contract Drawings. The blocks shall be attached to the filter fabric prior to installation and shall be placed in such a manner as to produce a relatively planar surface. Adjacent layers of filter fabric shall have a minimum of two feet of overlap. Placement of the revetment shall be done with mats attached to a spreader bar or other approved device to aid in the lifting and placing of the mats in their proper position by

the use of a crane or other approved equipment. The mats shall be placed side by side and end to end so that the mats abut each other. The maximum space or gap between mattresses shall be 3 inches between prefabricated mattresses, 6 inches between field connected mattresses, and 12 inches around obstructions. Individual concrete blocks which are hand-placed shall be connected and are also be subject to these spacing requirements. No overlapping of mats will be accepted. All placement of mats shall be in accordance with the manufacturer's recommendations and the Contractor's approved Work Plan. As adjacent mats are placed, they shall be secured to each other by fastening the protruding horizontal and vertical cable connections and end cable loops together along each side of the mats. The fastening shall be done with approved sleeves. The Contractor shall provide a diver to install connections between adjacent mattresses. All diving shall be performed in accordance with Section 01100, Diving Services.

3.2.2 Prewoven Fabric Articulating Revetment

3.2.2.1 Fabric Form Placement

Fabric form panels shall be placed to the limits shown on the Contract Drawings. The panels shall be attached to the filter fabric prior to the installation and shall be placed in such a manner as to produce a relatively planar surface. Adjacent fabric form panels shall be joined before fine aggregate concrete injection, by field sewing the two bottom layers of fabric together and the two top layers of fabric together. All sewn seams shall be downward facing, except with the approval of the Contracting Officer. Immediately prior to injection of fine aggregate concrete, the assembled fabric form panels shall be inspected by the Contracting Officer and no fine aggregate concrete shall be pumped therein until the fabric seams and panel connections have been approved.

3.2.2.2 Fine Aggregate Concrete Placement

Following placement of the fabric form panels, slits shall be cut in the top layer of the fabric form to allow for the insertion of the injection pipe. These size of the slits will be only as large as necessary to insert the grout injection pipes. Fine aggregate concrete shall be injected between the top and bottom layers of fabric, filling the panel to the recommended thickness and configuration. Fine aggregate concrete shall be injected in such a way that excessive pressure on the fabric form and the formation of cold joints are avoided. The maximum allowable pumping pressure for the fine aggregate concrete shall be in accordance with the geotextile manufacturer's recommendations for the type of geotextile/seam utilized. Holes in the fabric left by the removal of the injection pipe shall be temporarily closed by inserting a piece of burlap or similar material. The burlap shall be removed when the concrete is no longer fluid and the concrete surface at the hole smoothed by hand.

After the fine aggregate concrete has hardened, slits shall be cut in the narrow perimeter fabric which separates the blocks to provide relief of hydrostatic uplift pressure. Slits shall each be approximately 4 inches long and shall be cut between cable ducts. Special care shall be taken not to cut the revetment cable or the filter fabric that has been placed under the fabric form.

3.3 ANCHOR TRENCH CONSTRUCTION

The anchor trench shall be constructed to the lines and grades indicated on

the Contract Drawings. The section of the anchor trench above a given width of slope shall be completed prior to the placement of the revetment on that width of slope. Anchor trench construction shall be performed in accordance with the requirements of Section 02300, Earthwork, of this Specification. In addition, excavation of materials for the anchor trench construction shall be performed in accordance with the requirements of Section 01430, Environmental Protection, of this Specification.

3.4 SIDE TRENCH CONSTRUCTION

The east and west side trenches shall be constructed to the lines and grades indicated on the Contract Drawings. These trenches shall be constructed following completion of installation of the revetment on the slope. Excavation of the materials for the side trench construction shall be performed in accordance with the requirements of Section 02300, Earthwork, and the requirements of Section 01430, Environmental Protection, of this Specification.

3.5 MEASUREMENT AND PAYMENT

3.5.1 Pre-construction Surveys

No measurement shall be made for performance of the pre-construction survey specified in this section. Payment for performance of the pre-construction survey shall be at the Contract Lump Sum Price for Bid Item No. 10, "Pre-construction Surveys for the Articulating Concrete Revetment." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work.

3.5.2 Excavation of Slope for the Articulating Concrete Revetment

The unit of measurement for excavation for the articulating concrete revetment slope preparation will be the cubic yard, computed by the average end area method from cross sections of the slope before and after the excavation operations. These cross sections shall be taken at 50 foot intervals. The cross sections for the slope prior to excavation shall be based on the pre-construction surveys performed for each phase, as required in this Section. The cross sections for the slope following excavation shall be based on the as-built surveys performed for each phase, as also required in this Section. The volume to be paid for will be the number of cubic yards of material measured in its original position and removed from the excavation areas, when the material is acceptably disposed of as herein specified. The measurements will include authorized excavation of all material on the slope, within the Limit of Payment indicated on the Contract Drawings. The measurement will not include the volume of material excavated without authorization, outside the Limit of Payment, or the volume of any material used for purposes other than directed. measurement will not include the volume of any excavation performed prior to the pre-construction surveys of the undisturbed grade. Payment for the excavation of the materials within the cells will be made at the Contract Unit Price for Bid Item No. 11, "Excavation of Slope for Articulating Concrete Revetment," which shall constitute full compensation to the Contractor for all costs associated with the removal and disposal of these materials, including, but not limited to, preparation of the Excavation Plan as required by this Section.

3.5.3 Removal of Pile Obstructions

Measurement shall be made for removal of pile obstructions as specified in

this Section based on the number of pile obstructions identified in the Work Plan for removal. Payment for performance of the removal of pile obstructions shall be at the Contract Unit Price for Bid Item No. 12, "Removal of Pile Obstructions." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work.

3.5.4 Removal of Concrete and Rock Obstructions

Measurement shall be made for removal of concrete and rock obstructions as specified in this Section based on the measured cubic yards (CY) of actual obstructions removed for the concrete and rock obstructions identified in the Work Plan for removal. Payment for performance of the removal of concrete and rock obstructions shall be at the Contract Unit Price for Bid Item No. 13, "Removal of Concrete and Rock Obstructions." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work.

3.5.5 Articulating Concrete Revetment

The satisfactory installation of the articulating concrete revetment specified in this Section and on the Contract Drawings will be measured for payment by the square yard (SY) based on the surface area covered by the revetment measured along the slope, rounded to the nearest square yard. The pay lines of the revetment will be neat lines taken off the approved shop drawings. Revetment placed within the anchor trench and east and west side trenches will not be included in this measurement. Geotexile overlap will not be measured for payment. Payment for the installation of the articulating concrete revetment will be made at the Contract Unit Price for Bid Item No. 14, "Installation of Articulating Concrete Revetment," which shall constitute full compensation to the Contractor for all costs associated with the satisfactory installation of the revetment on the existing slope, including, but not limited to, development of the Work Plan, preparation of all required submittals, and quality control.

3.5.6 Anchor Trench

The satisfactory construction of the anchor trench for the articulating concrete revetment specified in this Section and on the Contract Drawings will be measured for payment by the lineal foot (LF). The pay limits of the anchor trench will be taken off the approved shop drawings and rounded to the nearest full foot. Payment for construction of the anchor trench will be made at the Contract Unit Price for Bid Item No. 15, "Construction of the Articulating Concrete Revetment Anchor Trench," which shall constitute full compensation to the Contractor for all costs associated with the satisfactory construction of the anchor trench.

3.5.7 Side Trenches

The satisfactory construction of the east and west side trenches for the articulating concrete revetment specified in this Section and on the Contract Drawings will be measured for payment by the lineal foot (LF). The pay limits of the east and west side trenches will be taken off the approved shop drawings, rounded to the nearest full foot. Payment for construction of the termination trenches will be made at the Contract Unit Price for Bid Item No. 16, "Construction of the Articulating Concrete Revetment Side Trenches," which shall constitute full compensation to the Contractor for all costs associated with the satisfactory construction of the side trenches.

3.5.8 As-built Surveys

No measurement shall be made for performance of the as-built surveys specified in this Section. Payment for performance of the as-built surveys shall be at the Contract Lump Sum Price for Bid Item No. 17, "As-Built Surveys for the Articulating Concrete Revetment." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work.

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SECTION 03101

FORMWORK FOR CONCRETE

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 347R

(1994) Guide for Formwork for Concrete

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 31 (1994) Making and Curing Concrete Test

Specimens in the Field

ASTM C 39 (1993a) Compressive Strength of

Cylindrical Concrete Specimens

ASTM C 1077 (1995a) Laboratories Testing Concrete and

Concrete Aggregates for Use in

Construction and Criteria for Laboratory

Evaluation

1.2 DESIGN REQUIREMENTS

The design, engineering, and construction of the formwork shall be the responsibility of the Contractor. The formwork shall be designed for anticipated live and dead loads and shall comply with the tolerances specified in Section 03307 CONCRETE, paragraph Construction Tolerances.

1.3 SUBMITTALS

Government approval is required for all submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01300 SUBMITTAL PROCEDURES:

SD-01 Data

Materials; GA CO.

Manufacturer's literature shall be submitted for form accessories, prefabricated forms, and form coating.

SD-04 Drawings

Shop Drawings; GA CO.

Drawings and design computations for all formwork required shall be submitted at least 10 working days either before shop or field fabrication or before delivery of prefabricated forms.

SD-08 Statements

Shop Drawings; GA CO.

If reshoring is permitted, the method, including location, order, and time of erection and removal shall also be submitted for review.

SD-09 Reports

Inspection; GA CO.

The Contractor shall submit field inspection reports for concrete forms and embedded items.

Formwork Not Supporting the Weight of Concrete; GA CO.

If forms are to be removed in less than 24 hours on formwork not supporting the weight of concrete, the evaluation and results of the control cylinder tests shall be submitted to and approved before the forms are removed.

1.4 SHOP DRAWINGS

The shop drawings and data submitted shall include the type, size, quantity, and strength of all materials of which the forms are made, the plan for jointing of facing panels, details affecting the appearance, and the assumed design values and loading conditions. Drawings and design computations shall be prepared under the supervision of a professional engineer registered in the State of Delaware and shall include method of supporting formwork from existing construction.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Forms and Form Liners

Forms and form liners shall be fabricated with facing materials that will produce a finish meeting the specified construction tolerance requirements and the following surface classifications as defined in ACI 347R.

Class "C" finish shall apply to all forms and form liners. The form facing shall be steel.

2.1.2 Form Coating

Form coating shall be commercial formulation that will not bond with, stain, cause deterioration, or any other damage to concrete surfaces. The coating shall not impair subsequent treatment of concrete surfaces depending upon bond or adhesion nor impede the wetting of surfaces to be cured with water or curing compounds. If special form liners are to be used, the Contractor shall follow the recommendation of the form coating manufacturer.

2.2 ACCESSORIES

Ties and other similar form accessories to be partially or wholly embedded in the concrete shall be of a commercially manufactured type. After the

ends or end fasteners have been removed, the embedded portion of metal ties shall terminate not less than 2 inches from any concrete surface either exposed to view or exposed to water. Plastic snap ties may be used in locations where the surface will not be exposed to view. Form ties shall be constructed so that the ends or end fasteners can be removed without spalling the concrete.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Form Construction

Forms shall be constructed true to the structural design and required alignment. The form surface and joints shall be mortar tight and supported to achieve safe performance during construction, concrete placement, and form removal. The Contractor shall continuously monitor the alignment and stability of the forms during all phases to assure the finished product will meet the required surface class specified in paragraph FORMS AND FORM LINERS and tolerances specified in paragraph DESIGN REQUIREMENTS. Failure of any supporting surface either due to surface texture, deflection or form collapse shall be the responsibility of the Contractor as will the replacement or correction of unsatisfactory surfaces. When forms for continuous surfaces are placed in successive units, care shall be taken to fit the forms over the completed surface to obtain accurate alignment of the surface and to prevent leakage of mortar. Forms shall not be re-used if there is any evidence of defects which would impair the quality of the resulting concrete surface. All surfaces of used forms shall be cleaned of mortar and any other foreign material before reuse.

3.1.2 Chamfering

Exposed joints, edges and external corners shall be chamfered, where indicated on the Contract Drawings, by molding placed in the forms. Chamfered joints shall not be permitted where earth or rockfill is placed in contact with concrete surfaces. Chamfered joints shall be terminated twelve inches outside the limit of the earth or rockfill so that the end of the chamfers will be clearly visible.

3.1.3 Coating

Forms for exposed or painted surfaces shall be coated with form oil or a form-release agent before the form or reinforcement is placed in final position. The coating shall be used as recommended in the manufacturer's instructions. Forms for unexposed surfaces may be wet with water in lieu of coating immediately before placing concrete, except that, in cold weather when freezing temperatures are anticipated, coating shall be mandatory. Surplus coating on form surfaces and coating on reinforcing steel and construction joints shall be removed before placing concrete.

3.2 FORM REMOVAL

Forms shall not be removed without approval. The minimal time required for concrete to reach a strength adequate for removal of formwork without risking the safety of workers or the quality of the concrete depends on a number of factors including, but not limited to, ambient temperature, concrete lift heights, type and amount of concrete admixture, and type and amount of cementitious material in the concrete. It is the responsibility of the Contractor to consider all applicable factors and leave the forms in

place until it is safe to remove them. In any case forms shall not be removed unless the minimum time and minimum compressive strength requirements below are met, except as otherwise directed or specifically authorized. When conditions are such as to justify the requirement, forms will be required to remain in place for a longer period. All removal shall be accomplished in a manner which will prevent damage to the concrete and ensure the complete safety of the structure. Where forms support more than one element, the forms shall not be removed until the form removal criteria are met by all supported elements. Form removal shall be scheduled so that all necessary repairs can be performed as specified in Section 03307 CONCRETE, paragraph FINISHING. Evidence that concrete has gained sufficient strength to permit removal of forms shall be determined by tests on control cylinders. All control cylinders shall be stored in the structure or as near the structure as possible so they receive the same curing conditions and protection methods as given those portions of the structure they represent. Control cylinders shall be removed from the molds at an age of no more than 24 hours. All control cylinders shall be prepared and tested in accordance with ASTM C 31 and ASTM C 39 at the expense of the Contractor by an independent laboratory that complies with ASTM C 1077 and shall be tested within 4 hours after removal from the site.

3.2.1 Formwork Not Supporting Weight of Concrete

Formwork for walls, columns, sides of beams, gravity structures, and other vertical type formwork not supporting the weight of concrete shall not be removed in less than 24 hours after concrete placement is completed.

3.2.2 Formwork Supporting Weight of Concrete

Formwork supporting weight of concrete and shoring shall not be removed until structural members have acquired sufficient strength to safely support their own weight and any construction or other superimposed loads to which the supported concrete may be subjected. As a minimum, forms shall be left in place until control concrete test cylinders indicate evidence the concrete has attained at least 75 percent of the compressive strength required for the structure in accordance with the quality and location requirements of Section 03307 CONCRETE, paragraph DESIGN AND PERFORMANCE REQUIREMENTS.

3.3 INSPECTION

Forms and embedded items shall be inspected in sufficient time prior to each concrete placement by the Contractor in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing.

3.4 MEASUREMENT AND PAYMENT

No measurement shall be made for design, engineering and construction of the concrete formwork as specified in this Section. Payment for the design, engineering and construction of the concrete formwork shall be at the Contract Lump Sum Price for Bid Item No. 18, "Concrete Formwork." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work as specified in this Section.

-- End of Section --

SECTION 03201

STEEL BARS FOR CONCRETE REINFORCEMENT

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 315 (1995) ACI Detailing Manual: Section

Details and Detailing of Concrete

Reinforcement

ACI 318/318R (1995) Building Code Requirements for

Reinforced Concrete

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 370 (1995a) Mechanical Testing of Steel

Products

ASTM A 615/A 615M (1996a) Deformed and Plain Billet-Steel

Bars for Concrete Reinforcement

AMERICAN WELDING SOCIETY (AWS)

AWS D1.4 (1992) Structural Welding Code -

Reinforcing Steel

1.2 SUBMITTALS

Government approval is required for all submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01300 SUBMITTAL PROCEDURES:

SD-04 Drawings

Fabrication and Placement; GA CO.

The Contractor shall submit shop drawings which include: reinforcement steel placement drawings; reinforcement steel schedules showing quantity, size, shape, dimensions, weight per foot, total weights and bending details; and details of bar supports showing types, sizes, spacing and sequence.

SD-09 Reports

Materials Tests, Inspections, and Verifications; GA CO

Certified test reports of reinforcement steel showing that the steel

complies with the applicable specifications shall be furnished for each steel shipment and identified with specific lots prior to placement. Three copies of the heat analyses shall be provided for each lot of steel furnished and the Contractor shall certify that the steel conforms to the heat analyses.

SD-18 Records

Material; GA CO.

A system of identification which shows the disposition of specific lots of approved materials in the work shall be established and submitted before completion of the contract.

PART 2 PRODUCTS

2.1 MATERIALS

Material shall conform to the following requirements.

2.1.1 Steel Bars

Steel bars shall comply with the requirements of ASTM A 615/A 615M, Grade 60, deformed, of the sizes and lengths shown.

2.1.2 Accessories

2.1.2.1 Bar Supports

Bar supports shall comply with the requirements of ACI 315. Supports for bars in concrete with formed surfaces exposed to view or to be painted shall be stainless steel or precast concrete supports. Precast concrete supports shall be wedged-shaped, not larger than 3-1/2 by 3-1/2 inches, of thickness equal to that indicated for concrete cover and have an embedded hooked tie-wire for anchorage.

2.1.2.2 Wire Ties

Wire ties shall be 16 gage or heavier black annealed wire. Ties for epoxy-coated bars shall be vinyl-coated or epoxy-coated.

2.2 TESTS, INSPECTIONS, AND VERIFICATIONS

The Contractor shall have material tests required by applicable standards and specified performed by an approved laboratory and certified to demonstrate that the materials are in conformance with the specifications. Tests, inspections, and verifications shall be performed and certified at the Contractor's expense.

2.2.1 Reinforcement Steel Tests

Mechanical testing of steel shall be in accordance with ASTM A 370 except as otherwise specified or required by the material specifications. Tension tests shall be performed on full cross-section specimens using a gage length that spans the extremities of specimens with welds or sleeves included. Chemical analyses of steel heats shall show the percentages of carbon, phosphorous, manganese, sulphur and silicon present in the steel.

PART 3 EXECUTION

FABRICATION AND PLACEMENT 3.1

Reinforcement steel and accessories shall be fabricated and placed as specified and shown on approved shop drawings. Fabrication and placement details of steel and accessories not specified or shown shall be in accordance with ACI 315 and ACI 318/318R or as directed. Steel shall be fabricated to shapes and dimensions shown, placed where indicated within specified tolerances and adequately supported during concrete placement. At the time of concrete placement all steel shall be free from loose, flaky rust, scale (except tight mill scale), mud, oil, grease or any other coating that might reduce the bond with the concrete.

3.1.1 Hooks and Bends

Steel bars, except for epoxy-coated, shall be mill or field-bent. Epoxy-coated bars shall be mill-bent prior to coating. All steel shall be bent cold unless authorized. No steel bars shall be bent after being partially embedded in concrete unless indicated or authorized.

3.1.2 Welding

Welding of steel bars will be permitted only where indicated or authorized. Welding shall be performed in accordance with AWS D1.4 except where otherwise specified or indicated.

3.1.3 Placing Tolerances

3.1.3.1 Spacing

The spacing between adjacent bars and the distance between layers of bars may not vary from the indicated position by more than one bar diameter nor more than1 inch.

3.1.3.2 Concrete Cover

The minimum concrete cover of main reinforcement steel bars shall be as shown. The allowable variation for minimum cover shall be as follows:

MINIMUM COVER	VARIATION
6 inch	plus 1/2 inch
4 inch	plus 3/8 inch
3 inch	plus 3/8 inch
2 inch	plus 1/4 inch
1-1/2 inch	plus 1/4 inch
1 inch	plus 1/8 inch
3/4 inch	plus 1/8 inch

3.1.4 Splicing

Splices in steel bars shall be made only as required. Bars may be spliced at alternate or additional locations at no additional cost to the Government subject to approval.

3.1.4.1 Lap Splices

Lap splices shall be used only for bars smaller than size 14 and welded

wire fabric. Lapped bars may be placed in contact and securely tied or spaced transversely apart to permit the embedment of the entire surface of each bar in concrete. Lapped bars shall not be spaced farther apart than 1/5 the required length of lap or 6 inches.

3.2 MEASUREMENT AND PAYMENT

3.2.1 Deformed Steel Bars for Concrete Reinforcement

Deformed Steel Bars for Concrete Reinforcement will be measured for payment based upon the quantity of pounds in place. Measurement for steel in laps will be made as indicated or required. No measurement will be made for additional steel in laps wherein the additional steel lap was made for the convenience of the Contractor. The measured lengths will be converted to weights for the size of bars listed by the use of the nominal weights per linear foot specified in ASTM A 615/A 615M. Payment will be made at the Contract Unit Price for Bid Item No. 19, "Deformed Steel Bars for Concrete Reinforcement," which shall constitute full compensation to the Contractor for furnishing and placing deformed steel bars for concrete reinforcement.

3.2.2 Accessories

No measurement and payment will be made for furnishing and placing accessories incidental to and included in the payment for other items of work.

-- End of Section --

SECTION 03307

CONCRETE

PART 1 GENERAL

1.1 REFERENCES

ASTM C 31

ASTM C 260

ASTM C 309

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI	304R	(1989) Guide for Measuring, Mixing, Transporting and Placing Concrete
ACI	305R	(1991) Hot Weather Concreting
ACI	306.1	(1990) Cold Weather Concrete
ACI	308	(1992) Standard Practice for Curing Concrete
ACI	318/318R	(1995) Building Code Requirements for Reinforced Concrete
ACI	347R	(1994) Guide for Formwork for Concrete
	AMERICAN SOCIETY FOR TE	STING AND MATERIALS (ASTM)

Specimens in the Field ASTM C 33 (1993) Concrete Aggregate ASTM C 39 (1993a) Compressive Strength of Cylindrical Concrete Specimens ASTM C 94 (1994) Ready-Mixed Concrete ASTM C 143 (1990a) Slump of Hydraulic Cement Concrete ASTM C 150 (1995) Portland Cement ASTM C 171 (1992) Sheet Materials for Curing Concrete ASTM C 172 (1990) Sampling Freshly Mixed Concrete ASTM C 231 (1991b) Air Content of Freshly Mixed Concrete by the Pressure Method

(1994) Making and Curing Concrete Test

(1994) Air-Entraining Admixtures for

(1994) Liquid Membrane-Forming Compounds

Concrete

for Curing Concrete

ASTM C 494 (1992) Chemical Admixtures for Concrete

ASTM C 618 (1994a) Coal Fly Ash and Raw or Calcined

Natural Pozzolan for Use as a Mineral Admixture in Portland Cement Concrete

ASTM C 685 (1994) Concrete Made by Volumetric

Batching and Continuous Mixing

ASTM D 75 (1987; R 1992) Sampling Aggregates

CORPS OF ENGINEERS (COE)

COE CRD-C 400 (1963) Requirements for Water for Use in

Mixing or Curing Concrete

STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC SP-10 (1985) Near-White Blast Cleaning

1.2 DESIGN AND PERFORMANCE REQUIREMENTS

The Government will maintain the option to sample and test aggregates and concrete to determine compliance with the specifications. The Contractor shall provide facilities and labor as may be necessary to assist the Government in procurement of representative test samples. Samples of aggregates will be obtained at the point of batching in accordance with ASTM D 75. Concrete will be sampled in accordance with ASTM C 172. Slump and air content will be determined in accordance with ASTM C 143 and ASTM C 231, respectively, when cylinders are molded. Compression test specimens will be made, cured, and transported in accordance with ASTM C 31. Compression test specimens will be tested in accordance with ASTM C 39. Samples for strength tests will be taken not less than once each shift in which concrete is produced. A minimum of three specimens will be made from each sample; two will be tested at 28 days (90 days if pozzolan is used) for acceptance, and one will be tested at 7 days for information.

1.2.1 Strength

Acceptance test results will be the average strengths of two specimens tested at 28 days (90 days if pozzolan is used). The strength of the concrete will be considered satisfactory so long as the average of three consecutive acceptance test results equal or exceed the specified compressive strength, f'c, and no individual acceptance test result falls below f'c by more than 500 psi.

1.2.2 Construction Tolerances

A Class "C" finish shall apply to all surfaces except those specified to receive a Class "D" finish. A Class "D" finish shall apply to all surfaces which will be permanently concealed after construction. The surface requirements for the classes of finish required shall be as specified in ACI 347R.

1.2.3 Concrete Mixture Proportions

Concrete mixture proportions shall be the responsibility of the Contractor.

Mixture proportions shall include the dry weights of cementitious material(s); the nominal maximum size of the coarse aggregate; the specific gravities, absorptions, and saturated surface-dry weights of fine and coarse aggregates; the quantities, types, and names of admixtures; and quantity of water per cubic yard of concrete. All materials included in the mixture proportions shall be of the same type and from the same source as will be used on the project. Specified compressive strength f'c shall be 4000 psi at 28 days (90 days if pozzolan is used). The maximum nominal size coarse aggregate shall be 1-3/4 inches, in accordance with ACI 318/318R. The air content shall be between 4.5 and 7.5 percent. The slump shall be between 2 and 5 inches. The maximum water cement ratio shall be 0.40.

1.3 SUBMITTALS

Government approval is required for all submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01300 SUBMITTAL PROCEDURES:

SD-01 Data

Air-Entraining Admixture; GA CO. Accelerating Admixture; GA CO. Water-Reducing or Retarding Admixture; GA CO. Curing Materials; GA CO.

Manufacturer's literature which is available from suppliers which demonstrates compliance with applicable specifications for the above materials.

Batching and Mixing Equipment; GA CO.

Batching and mixing equipment will be accepted on the basis of manufacturer's data which demonstrates compliance with the applicable specifications.

Conveying and Placing Concrete; GA CO.

The methods and equipment for transporting, handling, depositing, and consolidating the concrete shall be submitted prior to the first concrete placement.

SD-09 Reports

Aggregates; GA CO.

Aggregates will be accepted on the basis of certificates of compliance and test reports that show the material(s) meets the quality and grading requirements of the specifications under which it is furnished.

Concrete Mixture Proportions; GA CO.

Ten days prior to placement of concrete, the contractor shall submit the mixture proportions that will produce concrete of the quality required. Applicable test reports shall be submitted to verify that the concrete mixture proportions selected will produce concrete of the quality specified.

SD-13 Certificates

Cementitious Materials; GA CO.

Certificates of compliance attesting that the concrete materials meet the requirements of the specifications shall be submitted in accordance with the Special Clause "CERTIFICATES OF COMPLIANCE". Cementitious material will be accepted on the basis of a manufacturer's certificate of compliance, accompanied by mill test reports that the material(s) meet the requirements of the specification under which it is furnished.

Aggregates; GA CO.

Aggregates will be accepted on the basis of certificates of compliance and tests reports that show the materials meet the quality and grading requirements of the specifications under which it is furnished.

SD-18 Records

Work Plan for Underwater Concrete; GA CO

The Contractor shall submit for approval, at least 90 days prior to the start of work, a work plan and shop drawings detailing the procedures proposed for the underwater concrete pour. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Cementitious Materials

Cementitious materials shall conform to the appropriate specifications listed:

2.1.1.1 Portland Cement

Portland cement shall conform to ASTM C 150 Type II or IIA.

2.1.1.2 Pozzolan

Pozzolan shall conform to ASTM C 618, Class C or F, including requirements of Tables 1A and 2A.

2.1.2 Aggregates

Aggregates shall meet the quality and grading requirements of ASTM C 33 Class Designations 4M or better.

2.1.3 Admixtures

Admixtures to be used, when required or approved, shall comply with the appropriate specification listed. Chemical admixtures that have been in storage at the project site for longer than 6 months or that have been subjected to freezing shall be retested at the expense of the contractor at the request of the Contracting Officer and shall be rejected if test results are not satisfactory.

2.1.3.1 Air-Entraining Admixture

Air-entraining admixture shall meet the requirements of ASTM C 260.

2.1.3.2 Water-Reducing or Retarding Admixture

Water-reducing or retarding admixture shall meet the requirements of ASTM C 494, Type A, B, or D. High-range water reducing admixture Type F may be used only when approved, approval being contingent upon particular placement requirements as described in the Contractor's Quality Control Plan.

2.1.4 Water

Water for mixing and curing shall be fresh, clean, potable, and free from injurious amounts of oil, acid, salt, or alkali, except that unpotable water may be used if it meets the requirements of COE CRD-C 400.

2.1.5 Curing Materials

Curing materials shall conform to the following requirements.

2.1.5.1 Impervious Sheet Materials

Impervious sheet materials, ASTM C 171, type optional, except polyethylene film, if used, shall be white opaque.

2.1.5.2 Membrane-Forming Curing Compound

ASTM C 309, Type 1, Class B.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Preparation of Surfaces to Receive Concrete

Exposed surfaces of the existing sheet piles that will be in contact with concrete, shall be blast-cleaned to "near-white" surface prior to placement of concrete, in accordance with SSPC SP-10.

3.1.2 Embedded Items

Reinforcement shall be secured in place; anchors, and other embedded items shall have been positioned. Internal ties shall be arranged so that when the forms are removed all metal will be not less than 2 inches from concrete surfaces permanently exposed to view or exposed to water on the finished structures. Embedded items shall be free of oil and other foreign matters such as loose coatings or rust, paint, and scale. The embedding of wood in concrete will be permitted only when specifically authorized or directed. All equipment needed to place, consolidate, protect, and cure the concrete shall be at the placement site and in good operating condition.

3.1.3 Formwork Installation

Formwork fabrication shall have been completed in accordance with Section 03101 of this Specification.

3.1.4 Production of Concrete

3.1.4.1 Ready-Mixed Concrete

Ready-mixed concrete shall conform to ASTM C 94 except as otherwise specified.

3.1.4.2 Batching and Mixing Equipment

The contractor shall have the option of using an on-site batching and mixing facility. The facility shall provide sufficient batching and mixing equipment capacity to prevent cold joints. The method of measuring materials, batching operation, and mixer shall be submitted for review. On-site plant shall conform to the requirements of either ASTM C 94 or ASTM C 685.

3.2 CONVEYING AND PLACING CONCRETE

Conveying and placing concrete shall conform to the following requirements.

3.2.1 General

Concrete placement shall not be permitted when weather conditions prevent proper placement and consolidation without approval. When concrete is mixed and/or transported by a truck mixer, the concrete shall be delivered to the site of the work and discharge shall be completed within 1-1/2 hours or 45 minutes when the placing temperature is 85 degrees F or greater unless a retarding admixture is used. Concrete shall be conveyed from the mixer to the forms as rapidly as practicable by methods which prevent segregation or loss of ingredients. Concrete shall be in place and consolidated within 15 minutes after discharge from the mixer. Concrete shall be deposited as close as possible to its final position in the forms and be so regulated that it may be effectively consolidated in horizontal layers 18 inches or less in thickness with a minimum of lateral movement. The placement shall be carried on at such a rate that the formation of cold joints will be prevented.

3.2.2 Underwater Concrete Placement Operation

Concrete shall be deposited in water by use of a concrete pump. The methods and equipment used shall be in accordance with ACI 304R Chapter 8, and meet the approval of the Contracting Officer. The pipeline shall be inserted in the formwork to the top of the working pad and then the concrete shall be placed to the elevation shown on the contract drawings. The pipeline shall be watertight and have a minimum 4 inch inside diameter to permit a free flow of concrete and a maximum outside diameter that will fit into the formwork. The pipeline shall be sealed prior to initial placement by use of a plate and gasket tied to the mouth pipe. The concrete shall be deposited so that it enters the mass of the previously placed concrete from within, displacing water with a minimum disturbance to the surface of the concrete. The discharge end of the pipeline shall be kept continuously submerged in the concrete. All vertical movements of the pipe must be done slowly and carefully to prevent loss of seal. The underwater seal at the start of placing shall not produce undue turbulence in the water. The pipeline shall be kept full of concrete to a point well above the water surface. Placement shall proceed without interruption until the concrete has been brought to the required height. Concrete shall not be deposited in running water or in water with a temperature below 35 degrees F.

3.2.3 Consolidation

Each layer of concrete shall be consolidated by internal vibrating equipment. Internal vibration shall be systematically accomplished by

inserting the vibrator through the fresh concrete in the layer below at a uniform spacing over the entire area of placement. The distance between insertions shall be approximately 1.5 times the radius of action of the vibrator and overlay the adjacent, just-vibrated area by a few inches. The vibrator shall penetrate rapidly to the bottom of the layer and at least 6 inches into the layer below, if such a layer exists. It shall be held stationary until the concrete is consolidated and then withdrawn slowly at the rate of about 3 inches per second. Concrete placed under water shall not be consolidated by vibration.

3.2.4 Cold-Weather Requirements

Cold-weather concreting shall be in accordance with ACI 306.1 and the requirements of this Section. No concrete placement shall be made when the ambient temperature is below 35 degrees F or if the ambient temperature is below 40 degrees F and falling. Suitable covering and other means as approved shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing and at a temperature above freezing for the remainder of the curing period. Salt, chemicals, or other foreign materials shall not be mixed with the concrete to prevent freezing. Any concrete damaged by freezing shall be removed and replaced at the expense of the contractor.

3.2.5 Hot-Weather Requirements

Hot-weather concreting shall be in accordance with ACI 305R and the requirements of this Section. When the rate of evaporation of surface moisture, as determined by use of Figure 1 of ACI 308, is expected to exceed 0.2 pound per square foot per hour, provisions for windbreaks, shading, fog spraying, or covering with a light-colored material shall be made in advance of placement, and such protective measures shall be taken as quickly as finishing operations will allow.

3.3 FINISHING

3.3.1 General

No finishing or repair will be done when either the concrete or the ambient temperature is below 50 degrees F.

3.3.2 Finishing Formed Surfaces

All fins and loose materials shall be removed, and surface defects including tie holes shall be filled. All honeycomb areas and other defects shall be repaired. All unsound concrete shall be removed from areas to be repaired. Surface defects greater than 1/2 inch in diameter and holes left by removal of tie rods in all surfaces not to receive additional concrete shall be reamed or chipped and filled with dry-pack mortar. The prepared area shall be brush-coated with an approved epoxy resin or latex bonding compound or with a neat cement grout after dampening and filled with mortar or concrete. The cement used in mortar or concrete for repairs to all surfaces permanently exposed to view shall be a blend of portland cement and white cement so that the final color when cured will be the same as adjacent concrete.

3.3.3 Finishing Unformed Surfaces

All unformed surfaces that are not to be covered by additional concrete or backfill shall be float finished to elevations shown, unless otherwise

specified. Surfaces to receive additional concrete or backfill shall be brought to the elevations shown and left as a true and regular surface. Exterior surfaces shall be sloped for drainage unless otherwise shown. Unformed surfaces shall be finished to a tolerance of 3/8 inch for a float finish and 5/16 inch for a trowel finish as determined by a 10 foot straightedge placed on surfaces shown on the plans to be level or having a constant slope. Finishing shall not be performed while there is excess moisture or bleeding water on the surface. No water or cement shall be added to the surface during finishing.

3.3.3.1 Float Finish

Surfaces to be float finished shall be screeded and darbied or bullfloated to eliminate the ridges and to fill in the voids left by the screed. In addition, the darby or bullfloat shall fill all surface voids and only slightly embed the coarse aggregate below the surface of the fresh concrete. When the water sheen disappears and the concrete will support a person's weight without deep imprint, floating should be completed. Floating should embed large aggregates just beneath the surface, remove slight imperfections, humps, and voids to produce a plane surface, compact the concrete, and consolidate mortar at the surface.

3.3.3.2 Trowel Finish

A trowel finish shall be applied to the top of the cofferdam cap. Trowelling shall be done immediately following floating to provide a smooth, even, dense finish free from blemishes including trowel marks. Finished surfaces shall be protected from damage during the construction period.

3.4 CURING AND PROTECTION

Beginning immediately after placement and continuing for at least 7 days, all concrete shall be cured and protected from premature drying, extremes in temperature, rapid temperature change, freezing, mechanical damage, and exposure to rain or flowing water. All materials and equipment needed for adequate curing and protection shall be available and at the site of the placement prior to the start of concrete placement. Preservation of moisture for concrete surfaces not in contact with forms shall be accomplished by one of the following methods:

- a. Continuous sprinkling or ponding.
- b. Application of absorptive mats or fabrics kept continuously wet.
- c. Application of impervious sheet material conforming to ASTM C 171.
- d. Application of membrane-forming curing compound conforming to ASTM C 309, Type 1-D, on surfaces permanently exposed to view and Type 2 on other surfaces shall be accomplished in accordance with manufacturer's instructions.

The preservation of moisture for concrete surfaces placed against wooden forms shall be accomplished by keeping the forms continuously wet for 7 days. If forms are removed prior to end of the required curing period, other curing methods shall be used for the balance of the curing period. During the period of protection removal, the temperature of the air in contact with the concrete shall not be allowed to drop more than 25 degrees F within a 24 hour period.

3.5 TESTS AND INSPECTIONS

3.5.1 General

Sampling and Testing is the responsibility of the Contractor and shall be performed by an approved testing agency. The individuals who sample and test concrete as required in this specification shall have demonstrated a knowledge and ability to perform the necessary test procedures equivalent to the ACI minimum guidelines for certification of Concrete Field Testing Technicians, Grade I.

3.5.2 Inspection Details and Frequency of Testing

3.5.2.1 Preparations for Placing

Foundation or construction joints, forms, and embedded items shall be inspected in sufficient time prior to each concrete placement by the Contractor to certify that it is ready to receive concrete.

3.5.2.2 Air Content

Air content shall be checked at least twice during each shift that concrete is placed. Samples shall be obtained in accordance with ASTM C 172 and tested in accordance with ASTM C 231. One sample for testing shall be obtained from the first batch of concrete delivered to the site during the shift. The second sample for testing shall be obtained from a randomly selected batch, other than the first batch.

3.5.2.3 Slump

Slump shall be checked twice during each shift that concrete is produced. Samples shall be obtained in accordance with ASTM C 172 and tested in accordance with ASTM C 143. One sample for testing shall be obtained from the first batch of concrete delivered to the site during the shift. The second sample for testing shall be obtained from a randomly selected batch, other than the first batch.

3.5.2.4 Consolidation and Protection

The Contractor shall ensure that the concrete is properly consolidated, finished, protected, and cured.

3.5.3 Action Required

3.5.3.1 Placing

The placing foreman shall not permit placing to begin until he has verified that an adequate number of acceptable vibrators, which are in working order and have competent operators, are available. Placing shall not be continued if any pile is inadequately consolidated.

3.5.3.2 Air Content

Whenever a test result is outside the specification limits, the concrete shall not be delivered to the forms and the batch shall be rejected. An appropriate adjustment shall be made to the dosage of the air-entrainment admixture used in future batches.

3.5.3.3 Slump

Whenever a test result is outside the specification limits, the concrete shall not be delivered to the forms and the batch shall be rejected. An appropriate adjustment shall be made in the batch weights of water and/or fine aggregate used in future batches. The adjustments are to be made so that the water-cement ratio does not exceed that specified in the submitted concrete mixture proportion.

3.5.4 Reports

The results of all tests and inspections conducted at the project site shall be reported informally at the end of each shift and in writing weekly and shall be delivered within 3 days after the end of each weekly reporting period. See Section 01400 CONTRACTOR QUALITY CONTROL.

3.6 MEASUREMENT AND PAYMENT

Concrete will be measured for payment by the cubic yard on the basis of the actual volume of concrete within the pay lines of the structures as indicated. Measurement of concrete placed against the sides of any excavation without the use of intervening forms will be made only within the pay lines of the structure. No deductions will be made for rounded or beveled edge, for space occupied by meal work, for electrical conduits or timber, or for voids or embedded items that are either less than 5 cubic feet in volume or 1 square foot in cross section. Payment for concrete will made at the Contract Unit Price for Bid Item No. 20, "Concrete for Cofferdam Caps and Encasements," which shall constitute full compensation to the Contractor for furnishing, delivering, placing, finishing, and curing of concrete for the various items shown on the Contract Drawings. Payment for concrete for which payment is made as a lump sum is not to be included in this unit price payment item. Payment for grout, preformed expansion joints, field-molded sealants, waterstops, reinforcing steel bars or wire reinforcement is not to be included in this unit price payment item.

-- End of Section --

SECTION 05500

MISCELLANEOUS METAL

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 36	(1996) Carbon Structural Steel
ASTM A 108	(1995) Steel Bars, Carbon, Cold Finished, Standard Quality
ASTM A 123	(1989a) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1 (1994) Structural Welding Code - Steel

1.2 SUBMITTALS

Government approval is required for submittals with a "GA" designation; submittals having an "FIO" designation are for information only. The following shall be submitted in accordance with Section 01300 SUBMITTAL PROCEDURES:

SD-04 Drawings

Miscellaneous Metal Items; GA CO.

Detail drawings indicating material thickness, type, grade, and class; dimensions; and construction details. Drawings shall include fabrication details, erection details, and templates. Detail drawings for the following items: embedded plates to be cast in concrete.

SD-13 Certificates

Welder Qualifications; GA CO

Certified copies of welder qualifications test records showing qualifications in accordance with AWS $\rm D1.1$

1.3 GENERAL REQUIREMENTS

The Contractor shall verify all measurements and shall take all field measurements necessary before fabrication. Welding to or on structural steel shall be in accordance with AWS D1.1. Items specified to be galvanized, when practicable and not indicated otherwise, shall be hot-dip

galvanized after fabrication. Galvanizing shall be in accordance with ASTM A 123. All galvanized metal items exposed and accessible after erection shall be field painted with coal tar epoxy. Materials and parts necessary to complete each item, even though such work is not definitely shown or specified, shall be included. Joints exposed to the weather shall be formed to exclude water.

1.4 WORKMANSHIP

Miscellaneous metalwork shall be well formed to shape and size, with sharp lines and angles and true curves. Welding shall be continuous along the entire area of contact except where tack welding is permitted. Exposed connections of work in place shall not be tack welded. Exposed welds shall be ground smooth. Exposed surfaces of work in place shall have a smooth finish. Where tight fits are required, joints shall be milled. Corner joints shall be well formed and in true alignment. Work shall be accurately set to established lines and elevations and securely fastened in place. Installation shall be in accordance with approved drawings and details.

1.5 ANCHORAGE

Anchorage shall be provided where necessary for fastening miscellaneous metal items securely in place. Anchorage shall be as indicated on the Drawings.

PART 2 PRODUCTS

2.1 METALS, GENERAL

Metal surfaces, General: for metal fabrications exposed to view in the completed Work, provide materials with smooth, flat surfaces without blemishes. Do not use materials with exposed pitting, seam marks, roller marks, roller trade names, or roughness.

2.2 Ferrous Metals

Steel plates, shapes and bars: ASTM A 36.

2.3 Accessories

Shear Connectors: ASTM A 108, Grade 1015 through 1020, Headed-stud type, cold finished carbon steel, AWS D1.1, Type B.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

3.1.1 Cutting, Fitting, and Placement

The Contractor shall perform cutting and fitting required for installing metal fabrications. Metal fabrications shall be set accurately in location, alignment, and elevation; with edges and surfaces level, plumb, true, and free of rack; and measured from established lines and levels.

3.1.2 Temporary Bracing or Anchors

The Contractor shall provide temporary bracing or anchors in formwork for items that are to be built into concrete, masonry, or similar construction.

3.1.3 Exposed Connections

Exposed connections shall fit accurately together to form hairline joints. Connections that are not to be left as exposed joints, but cannot be shop welded because of shipping size limitations, shall be welded. Surfaces of exterior units that have been hot-dip galvanized after fabrication and are for bolted or screwed field connections shall not be welded, cut or abraded.

3.1.4 Field Welding

Field welding shall comply with the following requirements:

- a. Materials and methods that minimize distortion and develop strength and corrosion resistance of base metals shall be used.
- b. Fusion shall be obtained without undercut or overlap.
- c. Welding flux shall be removed immediately.
- d. At exposed connections, exposed welds and surfaces shall be finished smooth and blended so no roughness shows after finishing and contour of welded surface matches that of adjacent surface.

3.2 MEASUREMENT AND PAYMENT

No measurement shall be made for miscellaneous metal items specified in this Section. Payment for miscellaneous metal items shall be at the Contract Lump Sum Price for Bid Item No. 21, "Miscellaneous Metal Items." This price shall be full compensation for furnishing all material, labor, equipment, supplies and incidentals necessary to complete the work.

-- End of Section --

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